

ACAMS 21st Annual AML & Anti-Financial Crime Conference – Las Vegas

Theme: Effective, Efficient, Empowered

PRE-CONFERENCE TRAINING MONDAY, OCTOBER 10, 2022	
8:00 AM – 9:00 AM <i>2nd Floor Rotunda</i>	CAMS Examination Preparation Seminar and Workshop A Check-in (Separate registration required.)
8:00 AM – 7:30 PM <i>2nd Floor Rotunda</i>	Main Conference Registration Desk Open
9:00 AM – 5:00 PM <i>Juniper 4</i>	<p>CAMS Examination Preparation Seminar</p> <p>Led by CAMS-certified instructors, the CAMS Examination Preparation Seminar reviews critical information in an easy-to-follow format that prepares you to sit for the CAMS Examination. Benefit from this interactive study session as AML experts walk you through core content areas and practice questions.</p> <p>To register for a live-preparation seminar please contact salesrequests@acams.org.</p> <p>Instructor: Kevin Sullivan, CAMS, President, The AML Training Academy and Advisory LLC</p>
9:00 AM – 12:00 PM <i>Juniper 1</i>	<p>Workshop A</p> <p>Justice League: How FIs, Law Enforcement and Prosecutors Unite to Fight Crime</p> <p>From SAR filings to filing charges, investigating cases or arguing them in court, fighting financial crime requires collaboration, with financial institutions, law enforcement and prosecutors playing complementary and mutually supportive roles. This workshop brings each of these vital constituencies to the table, providing an inside look at how this complex process works, sharing insights that attendees can put to practical professional use. Workshop leaders will walk attendees through subjects such as strengthening SAR narratives, best practices for conducting law enforcement investigations and how prosecutors leverage financial data when preparing for trial. Then, attendees will engage in interactive group exercises such as conducting mock interviews, assembling evidence and preparing to testify. Join this expert-led workshop to gain a comprehensive understanding of how, when it comes to AML, there are many players — and one team.</p> <p>Key Areas Covered</p> <ul style="list-style-type: none"> • Identifying best practices to optimize SARs and supporting documents in assisting post-filing processes such as law enforcement investigations and preparation for indictment or trials • Examining pre-trial procedures of law enforcement and prosecution to detail processes such as mapping legal strategy and anticipating potential counter-arguments of defense counsel • Conducting group exercises and presenting findings to gain perspectives on processes and roles of financial institutions, law enforcement and prosecutors in countering financial crime

	<p>Who Should Attend</p> <ul style="list-style-type: none"> ○ AML/BSA Specialists ○ Risk Managers ○ Compliance Officers ○ SAR Review Team Members ○ Law Enforcement Investigators ○ Legal Advisors ○ Prosecutors ○ Regulators <p>Lead Facilitator: Victor R. Cardona, CAMS, Senior Vice President, BSA Officer, Golden State Bank</p> <p>Facilitator: Joel Payne, CAMS, Assistant Vice President, Global Financial Crimes Division, MUFG</p>
<p>12:00 PM – 1:00 PM <i>2nd Floor Rotunda</i></p>	<p>Workshop B Check-in (Separate registration required.)</p>
<p>1:00 PM – 4:00 PM <i>Juniper 1</i></p>	<p>Workshop B</p> <p>Ready, Set, Crypto: Creating A Winning Game Plan to Manage Virtual Asset Risks</p> <p>From Wall Street to Main Street, banks to broker-dealers, the changes wrought by cryptocurrencies are touching every niche of the financial services sector. But paired with crypto's promising innovation are new financial crime and money laundering risks that financial institutions and non-bank financial institutions are exposed to. This workshop, of particular relevance to financial institutions and non-bank financial institutions, shows the way forward. Attendees will experience an expert-led deep dive that illuminates ways to review risk exposure, develop risk appetite statements and develop mitigating actions in this interactive workshop. Whether you're with a legacy institution or a neobank, a payment processor or FinTech firm, this comprehensive workshop provides practical roadmaps to guide your AML/AFC program into the next generation.</p> <p>Key Areas Covered</p> <ul style="list-style-type: none"> • Identifying crypto risk exposure for different products and sectors and developing mitigating measures in your control framework • Delineating differences between virtual asset classes to ensure AML/AFC models address unique risk management needs of distinct crypto categories • Understanding additional technology tools needed, essential staff expertise and engagement with stakeholders and clients <p>Who Should Attend</p> <ul style="list-style-type: none"> ○ AML Officers of FIs and NBFIs ○ Risk Managers ○ IT Specialists ○ Compliance Team Leaders ○ Payment Processors ○ FinTech ○ Legal Advisors

	<ul style="list-style-type: none"> o Industry Consultants <p>Facilitators:</p> <p>Shilpa Arora, Senior Director, Anti-Financial Crime (AFC) Portfolio Solutions, ACAMS</p> <p>Joby Carpenter, Global SME – Cryptoassets and Illicit Finance, ACAMS</p>
5:00 PM – 5:30 PM <i>Juniper 1</i>	ACAMS First-Time Attendee Orientation
5:30 PM – 7:30 PM <i>Ironwood Terrace</i>	Welcome Reception

**MAIN CONFERENCE DAY ONE
TUESDAY, OCTOBER 11, 2022**

8:45 AM – 5:15 PM	Virtual Doors Open
8:00 AM – 5:15 PM <i>2nd Floor Rotunda</i>	Registration Desk Open
8:00 AM – 5:15 PM <i>Pinyon Ballroom</i>	Exhibit Hall Open
8:00 AM - 9:00 AM <i>Pinyon Ballroom</i>	Networking Breakfast in Exhibit Hall
GENERAL SESSIONS	
9:00 AM – 9:10 AM <i>Bristlecone 5-6</i>	<p>Welcome Remarks</p> <p>Scott Liles, Chief Executive Officer, ACAMS</p>
9:10 AM – 9:40 AM <i>Bristlecone 5-6</i>	<p>Keynote Address with Q&A</p> <p>Brian E. Nelson, Under Secretary for Terrorism and Financial Intelligence, U.S. Department of Treasury</p> <p>Moderator:</p> <p>Dr. Justine Walker, Head of Global Sanctions and Risk, ACAMS</p>
9:40 AM – 11:00 AM Panel Discussion <i>Bristlecone 5-6</i>	<p>Regulatory Roundtable: Oversight Agency Leaders Dissect AML Trends and Issues</p> <ul style="list-style-type: none"> • Examining regulatory initiatives such as FinCEN National Priorities and AMLA to detail shifting compliance expectations and outline appropriate responses by affected institutions • Analyzing regulatory trends for non-bank entities including FinTech, crypto and NFTs to identify potential systemic AML risks and review potential regulatory resolutions • Reviewing progress of effectiveness standard to assess achievement of regulatory goals and outline ramifications such as accelerated transition toward tech-driven AML systems <p>Moderator:</p> <p>Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, ACAMS</p>

	<p>Presenters: Lisa D. Arquette, CAMS, Associate Director, Anti-Money Laundering and Cyber Fraud Branch, Federal Deposit Insurance Corporation (FDIC)</p> <p>Omer Meisel, Vice President, National Cause and Financial Crimes Detection Programs, FINRA</p> <p>Jay Song, Director of the Office of Compliance, Financial Crimes Enforcement Network (FinCEN)</p> <p>Suzanne Williams, Deputy Associate Director, Federal Reserve Board</p>
11:00 AM – 11:30 AM <i>Pinyon Ballroom</i>	<i>Networking Break in Exhibit Hall</i>
11:30 AM – 12:00 PM <i>Bristlecone 5-6</i>	Special Presentation with Q&A
12:00 PM – 1:15 PM <i>Ironwood Ballroom</i>	<i>Networking Lunch for Main Conference Attendees</i>
12:00 PM – 1:15 PM	AML Knowledge Luncheon Presented by Workfusion
12:00 PM – 1:15 PM <i>Starvine 3-5</i>	<p>AML Knowledge Luncheon Presented by Quantexa</p> <p>Moderator: Clark Frogely, Global Head of KYC Solutions, Quantexa</p> <p>Presenter: Marta Michael Carpenter, Executive Director, Standard Chartered</p> <p>Scott Nathan, Managing Director, Citigroup</p>
12:00 PM – 1:15 PM	AML Knowledge Luncheon Presented by TigerGraph
1:15 PM – 2:15 PM	CONCURRENT SESSIONS
FinTech <i>Bristlecone 5-6</i>	<p>What We Do and Don't Know About Regulating Crypto</p> <ul style="list-style-type: none"> • Reviewing President Biden's executive order on digital assets to discern potential regulatory issues and responses such as mitigating systemic risks exploring a US CBDC • Comparing potential benefits of regulation such as greater stability and acceptance vs. risks such as stymied innovation and migration of crypto activity to less-regulated jurisdictions • Surveying jurisdictions initiatives to regulate crypto and detailing FATF's guidance on a risk-based approach to virtual assets to assess global challenges for standardizing oversight <p>Moderator: Joby Carpenter, Global SME – Cryptoassets and Illicit Finance, ACAMS</p> <p>Presenters: Vikas Agarwal, Financial Crimes Unit Leader, Cyber, Risk and Regulatory, PwC US</p> <p>Amelia Childress, Chief Compliance Officer, Block</p>

	Grant Rabenn, Associated General Counsel - Financial Crimes Legal, Coinbase
Regulatory Trends <i>Juniper 1</i>	Asked and Answered: A Guide to Inter-Institutional Info Sharing Using 314(b) Requests <ul style="list-style-type: none"> Detailing requirements to utilize 314(b) safe harbor provisions such as prior FinCEN notification, designating 314(b) contacts and creating policies to safeguard shared information Reviewing key components of effective information requests such as detailed entity identification, reason for inquiry and relevant data such as suspicious transaction timelines Analyzing practical benefits of 314(b) exchanges such as enhancing risk assessments, strengthening investigations and supporting SAR filing or account exiting decisions <p>Moderator: Monique L. Johnson, CAMS, CAMS-Audit, Audit Project Manager – Regulatory Compliance, U.S. Bank</p> <p>Panelists: Dan Stipano, Partner, Davis Polk & Wardwell LLP</p>
Sanctions <i>Juniper 2-3</i>	Sanctioned Strategies for Strengthening Sanctions Compliance <ul style="list-style-type: none"> Quantifying sanctions risks in areas such as business lines, geographic footprint and PEP exposure to prioritize institutional vulnerabilities and needs are resourced appropriately Assembling required IT such as AI-driven analytics and machine learning to achieve proficiency in areas such as SDN/OFAC screening, sanctions evasion detection and alert triage Reviewing recent developments such as OFAC's guidance on sanctions compliance for virtual currencies and first sanction of a crypto mixer to detail and anticipate emerging trends <p>Presenters: Jim Dinkins, President, Thomson Reuters Special Services LLC</p> <p>James Sayko, Financial Crimes Leader, Corporate and Investment Banking, Wells Fargo</p> <p>Edward Starishevsky, Global Head of Sanctions and Anti-Corruption, American Express</p>

<p>Fraud and Investigation <i>Juniper 4</i></p> <p>FISHBOWL SESSION</p>	<p>Protect Your Elders: Fighting Financial Abuse of Seniors and Vulnerable Populations</p> <ul style="list-style-type: none"> Partnering with law enforcement, social service agencies and community organizations to develop staff awareness of elder fraud risks such as romance or Social Security scams Creating monitoring protocols for at-risk populations to detect and escalate red flags such as power-of-attorney changes or atypical financial transfers for caregivers or relatives Formalizing SAR filing systems for suspected elder financial exploitation and regularly reviewing guidance of FinCEN, CFPB and others to keep EFE prevention protocols robust <p>Presenters: Michael Bruno, Anti-Money Laundering Program Manager, United States Postal Inspection Service (USPIS)</p> <p>Rebecca Keithley, National Program Manager, Supervisory Special Agent, Elder Justice Initiative Co-Coordinator, Federal Bureau of Investigation (FBI)</p> <p>Meryl Lutsky, Vice President and General Counsel, Chief Compliance Officer, T&M USA, LLC</p> <p>Kara Suro, Managing Director, Fraud Risk Management, Charles Schwab</p>
<p>1:15 PM – 2:15 PM Knowledge Session <i>Bristlecone 9-10</i></p>	<p><i>Presented by Verafin</i></p> <p>Counterparty Data: Three Proven Use Cases for Anti-Financial Crime</p> <ul style="list-style-type: none"> Exploring how counterparty data reduces false positives and prioritizes higher-risk activity with payee risk analysis for improved detection of Business Email Compromise and fraud schemes Understanding how counterparty data provides context to customer relationships for confident and quick decision-making to expedite AML alert reviews and investigations Examining how counterparty data uncovers previously unknown connections in criminal networks enabling consortium-based collaborative investigations to provide more actionable intelligence to law enforcement <p>Presenter: Brendan Brothers, Co-Founder, Product Specialist, Verafin</p>
<p>1:15 PM – 2:15 PM Knowledge Session <i>Bristlecone 7-8</i></p>	<p><i>Presented by Precisely</i></p>
<p>1:15 PM – 1:45 PM</p> <p>Conference Interactions <i>Bluethorn 5-6</i></p>	<p>Fireside Chat <i>Presented by Bottomline</i></p>

<p>1:50 PM – 2:20 PM Conference Interactions <i>Bluethorn 5-6</i></p>	<p>Innovations</p>
<p>2:15 PM – 2:45 PM <i>Pinyon Ballroom</i></p>	<p><i>Networking Break in Exhibit Hall Courtesy of Blockchain Intelligence Group</i></p>
<p>2:45 PM – 3:45 PM</p>	<p>CONCURRENT SESSIONS</p>
<p>FinTech <i>Bristlecone 5-6</i></p>	<p>The Innovators' Dilemma: Creating AML Frameworks for FinTech's Unique Risks</p> <ul style="list-style-type: none"> • Conducting organizational risk assessment to detail discrete challenges of FinTech entities such as client verification, high-speed transactions and evolving regulatory landscapes • Developing written AML controls with components such as designated BSA officers, alert responses and SAR filing protocols to establish expectations for compliance discipline • Engaging stakeholders in areas such as training on regulatory expectations and AML to embed a culture of compliance that incentivizes both innovation and risk-based decisioning <p>Moderator: Melissa Strait, CAMS, Chief Compliance Officer, Coinbase</p> <p>Presenters: James A. Candelmo, CAMS, Chief BSA, AML Sanctions Officer, PNC</p> <p>Nicole Kitowski, CAMS, Chief Risk Officer, Executive Vice President, Associated Bank</p> <p>Jacqueline Sanjuas, Chief Compliance Officer, Bank Secrecy Act/Anti-Money Laundering (BSA/AML) Citigroup</p>
<p>Public-Private Partnerships <i>Juniper 1</i></p>	<p>Leveraging Public-Private Partnerships to Counter the Financing of Cyber Terrorism</p> <ul style="list-style-type: none"> • Outlining ways emerging technologies such as Web3, the metaverse and alternative social media platforms can be weaponized by hacktivists and rogue states to facilitate cyber terrorism • Surveying how tech developments such as DeFi and the Dark Web enable anonymous and pseudonymous transfers and impede identifying and investigating terrorist financing flows • Analyzing public-private partnerships and initiatives such as information sharing to detail best practices for working jointly to detect and disrupt funding for cyber terrorists and extremists <p>Presenter: Christopher Conroy, Executive Assistant District Attorney, Senior Advisor to the Investigation Division, New York County District Attorney's Office</p> <p>Peter Sullivan, FBI Counterterrorism Division, Senior Financial Private Sector Outreach Liaison, Federal Bureau of Investigation (FBI)</p>

<p>Sanctions <i>Juniper 2-3</i></p>	<p>Uncharted Waters: Navigating the Unique Sanctions Risks of Complex Global Supply Chains</p> <ul style="list-style-type: none"> • Detailing sanctions risks tied to the global complexity of supply chains such as changes in suppliers or trade routes to mitigate issues such as transactions with prohibited persons, entities or jurisdictions • Analyzing the inter-relationship between sanctions, ESG and responsible business conduct, including how this is shaping the management of indirect risks such as violations of OFAC's 50% rule to develop beneficial owner controls and the mapping of higher risk exposure • Outlining the major sanctions trends impacting supply chains, including implementation of the Uyghur Forced Labor Prevention Act, the provision of 'clear and convincing' evidence on the absence of forced labor, and the balance of obligations between importers, banks and financiers <p>Moderator: Dr. Justine Walker, Head of Global Sanctions and Risk, ACAMS</p> <p>Presenter: Rachel Fiorill, Of Counsel – National Security and Sanctions, Morrison & Foerster's National Security Practice</p>
<p>Data Management <i>Juniper 4</i></p>	<p>Conduct Unbecoming: Can Behavioral Analytics Help Fight Financial Crime?</p> <ul style="list-style-type: none"> • Analyzing how behavioral analytics strengths in areas such as targeting anomalous activity, reducing false positives and predicting criminal threats to identify AML applications • Understanding limitations and potential risks in an AML context such as algorithmic bias and data security to preserve client privacy and confidentiality of information • Converging behavioral analytics with legacy rules-based systems to create hybrid systems that leverage value of both models in detecting and disrupting criminal activity <p>Moderator: Clyde Langley, Managing Director, Financial Crimes Risk Management, Charles Schwab</p>
<p>2:45 PM – 3:45 PM Knowledge Session <i>Bristlecone 9-10</i></p>	<p><i>Presented by Abrigo</i></p>
<p>2:45 PM – 3:45 PM Knowledge Session <i>Bristlecone 7-8</i></p>	<p><i>Presented by RedCompass</i></p>
<p>2:45 PM – 3:15 PM <i>Bluethorn 5-6</i> Conference Interactions</p>	<p>Innovations <i>Presented by alessa</i></p>

<p>3:20 PM – 3:50 PM <i>Bluethorn 5-6</i> Conference Interactions</p>	<p>Innovations <i>Presented by BAE Systems</i></p>
<p>3:45 PM – 4:15 PM <i>Pinyon Ballroom</i></p>	<p><i>Networking Break in Exhibit Hall</i></p>
<p>4:15 PM – 5:15 PM</p>	<p>CONCURRENT SESSIONS</p>
<p>FinTech <i>Bristlecone 5-6</i></p>	<p>Blockchain Reactions: Managing Financial Crime Risks of Distributed Ledgers</p> <ul style="list-style-type: none"> • Charting growing blockchain-driven developments such as NFTs and Decentralized Finance to identify defenses for unique risks such as fraud and lack of intermediary oversight • Adapting KYC/CDD and monitoring to mitigate unique risks of VASPs and crypto assets such as potential roles involving illicit fiat conversions and ransomware schemes • Developing response plans for crypto alerts such as corroborating KYC/CDD data and deploying blockchain forensics to resolve red flags such as potential terrorist financing activity <p>Moderator: Craig Timm, AML Leader</p> <p>Presenter: James Sayko, Financial Crimes Leader, Corporate and Investment Banking, Wells Fargo</p> <p>Melissa Strait, CAMS, Chief Compliance Officer, Coinbase</p>
<p>Public-Private Partnerships <i>Juniper 1</i></p>	<p>Rock SARs: Law Enforcement SAR Review Teams, Harmonious Public-Private Partnering</p> <ul style="list-style-type: none"> • Explaining processes of law enforcement SAR review teams to detail benefits of multi-agency analysis of suspicious activity reports such as identifying those meriting greater scrutiny • Utilizing SAR review teams to foster proactive outreach to financial sector through SAR feedback, addressing common challenges and detailing data most useful to law enforcement • Evaluating current trends and typologies emerging from SAR team reviews and detailing best practices for producing SAR narratives of optimal value to law enforcement investigators <p>Moderator: Meryl Lutsky, Vice President and General Counsel, Chief Compliance Officer, T&M USA, LLC</p> <p>Presenters: James A. Candelmo, CAMS, Chief BSA, AML Sanctions Officer, PNC</p> <p>Steve Gurdak, CAMS Initiative Manager, Northern Virginia Financial Initiative, Washington/Baltimore HIDTA</p>

<p>Community Bank Spotlight <i>Juniper 2-3</i></p> <p>FISHBOWL SESSION</p>	<p>Community Institution Focus: Mitigating Risks of Banking Higher-Risk Clients</p> <ul style="list-style-type: none"> • Consulting with business lines and senior management to develop risk appetite standards and identify potential opportunities involving high-risk entities or sectors • Developing controls for onboarding and managing high-risk entities such as enhanced due diligence, client transparency requirements and regular reviews to keep risk profiles current • Formalizing alert escalation and investigation protocols to ensure conformity with risk tolerances and take additional actions such as SAR filing or accounting exiting as warranted <p>Moderator: Victor R. Cardona, CAMS, Senior Vice President, BSA Officer, Golden State Bank</p> <p>Panelists: Monique L. Johnson, CAMS, CAMS-Audit, Audit Project Manager – Regulatory Compliance, U.S. Bank</p> <p>Erik Vingelen, CAMS, Senior Vice President, Director of Financial Crime Risk Management, BSA/AML/OFAC Officer, Banner Bank</p> <p>Amy M. Wotapka, CAMS, Vice President, BSA Officer, First American Bank</p>
<p>Data Management <i>Juniper 4</i></p>	<p>AML Data: Quality Is Job 1</p> <ul style="list-style-type: none"> • Conducting data quality assessment of for key compliance stakeholders to identify and rectify issues such as inadequate information, systemic duplication and false alert proliferation • Establishing controls for assessing quality of externally acquired data to ensure accuracy, determine potential limitations and define its role in achieving AML objectives • Creating management protocols such as naming data stewards and collaborating with business lines and IT leaders to ensure ongoing monitoring and maintenance of data quality <p>Moderator: Markus E. Schulz, Global Head of Change Management Financial Crimes Compliance, ING</p> <p>Presenters: Nicole Kitowski, CAMS, Chief Risk Officer, Executive Vice President, Associated Bank</p> <p>Melissa Leeds, CAMS, Vice President, Global AML Risk and Scorecard Metrics, Citigroup</p>
<p>4:15 PM – 5:15 PM Knowledge Session</p>	<p><i>Presented by Quantifind</i></p>
<p>4:15 PM – 5:15 PM Knowledge Session</p>	

4:15 PM – 4:45 PM Conference Interactions <i>Bluethorn 5-6</i>	Innovations
4:50 PM – 5:20 PM Conference Interactions <i>Bluethorn 5-6</i>	Innovations
6:00 PM – 7:30 PM <i>Palms Pool</i>	Networking Cocktail Reception

**MAIN CONFERENCE DAY TWO
WEDNESDAY, OCTOBER 12, 2022**

8:45 AM – 5:15 PM	Virtual Doors Open
8:00 AM – 5:15 PM <i>2nd Floor Rotunda</i>	Registration Desk Open
8:00 AM – 6:15 PM <i>Pinyon Ballroom</i>	Exhibit Hall Open
8:00 AM - 9:00 AM <i>Pinyon Ballroom</i>	Networking Breakfast in Exhibit Hall
GENERAL SESSIONS	
9:00 AM – 9:20 AM <i>Bristlecone 5-6</i>	Opening Remarks and ACAMS Awards Ceremony
9:20 AM – 9:50 AM <i>Bristlecone 5-6</i>	Keynote Address with Q&A Himamauli Das, Acting Director, Financial Crimes Enforcement Network's (FinCEN) Moderator: Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, ACAMS
9:50 AM – 10:40 AM <i>Bristlecone 5-6</i>	Arresting Insights: Law Enforcement Leaders on Transforming Financial Crime-Fighting Learn what leaders of law enforcement agencies are seeing and foreseeing, doing and pursuing, as the battle against financial crime grows ever-more complex. This high-level briefing features senior executive level law enforcement leaders who'll share the transformations their agencies are undergoing in response to financial crime's fast-evolving landscape. Join us for this authoritative panel offering a front-row seat for viewing AFC's future. Moderator: Lauren Kohr, Senior Director, AML-Americas, ACAMS Presenters: Steve K. Francis, Acting Executive Associate Director, Homeland Security Investigations (HSI) Jim Lee, Chief, Internal Revenue Service-Criminal Investigation (IRS-CI) Aaron G. Tapp, Section Chief, Financial Crimes Section, Federal Bureau of Investigation (FBI)

10:40 AM – 11:10 AM <i>Pinyon Ballroom</i>	<i>Networking Break in Exhibit Hall</i>
11:10 AM – 12:00 PM <i>Bristlecone 5-6</i>	<p>Web3 To Get Ready: Rebooting AML/AFC for the Next 'Net</p> <ul style="list-style-type: none"> • Contrasting Web3 and Web 2.0 to identify key differences such as blockchain-driven decentralization, reduced role of intermediaries and private control of user data • Analyzing effective controls for unique Web3 AML/AFC issues in areas such as due diligence, cross-border regulatory coordination and beneficial ownership verification • Exploring how building components such as digital IDs and cryptographic public safety networks into Web3 architecture could deter human trafficking and other crimes
12:00 PM – 1:15 PM <i>Ironwood Ballroom</i>	<i>Networking Lunch for Main Conference Attendees</i>
12:00 PM – 1:15 PM	AML Knowledge Luncheon Presented by Deloitte
12:00 PM – 1:15 PM	AML Knowledge Luncheon Presented by Promontory
1:15 PM – 2:15 PM	CONCURRENT SESSIONS
<p>Financial Crime Case Studies <i>Bristlecone 5-6</i></p>	<p>Crypto-Cons: Analyzing Typologies of Recent Virtual Currency Criminal Cases</p> <ul style="list-style-type: none"> • Reviewing how crypto trading platform BitMEX utilized lax AML and assistance in obscuring client identities to enable transactions with darknet markets and unregistered MSBs • Detailing alleged methods of Ilya Lichtenstein and Heather Morgan such as "chain-hopping" bitcoin and high-volume automated trading to launder proceeds stolen from Bitfinex • Examining investigative procedures of law enforcement such as decryption of virtual currency wallets and blockchain forensics to identify evolving anti-financial crime practices <p>Moderator: Rick McDonell, Former Executive Secretary, Financial Action Task Force (FATF), Executive Director, ACAMS</p>
<p>Regulatory Trends <i>Juniper 1</i></p>	<p>AML 2020 Vision: Clear-Eyed Insights on the Impact of the AML Act of 2020</p> <ul style="list-style-type: none"> • Evaluating progress of AMLA objectives such as enhancing effectiveness of anti-financial crime efforts through initiatives such as greater information sharing and PPPs • Examining development status of beneficial ownership registry to details its potential — and challenges — in combatting financial crimes such as laundering proceeds of corruption • Reviewing practical implications of AMLA's regulatory mandate to foster use of "innovative methods, processes and new technologies" to enhance compliance with BSA <p>Presenters: Vasilios P. Chrisos, CAMS, Advisory Board Member, ACAMS</p>

	<p>Kelly Cooper, CAMS, Senior Vice President, Citigroup</p> <p>Dan Stipano, Partner, Davis Polk & Wardwell LLP</p>
<p>Tech Trends <i>Juniper 2-3</i></p>	<p>AML Nonstop: How Perpetual KYC Can Strengthen Oversight</p> <ul style="list-style-type: none"> • Detailing concept of Perpetual KYC to highlight benefits of tech-driven systems such as enhanced efficiencies of labor and capital and robust detection and resolution of AML red flags • Analyzing key elements of PKYC such as acquiring staff expertise, clarifying desired outcomes and vetting potential vendors to ensure support of risk appetites and tolerances • Developing conversion plan to establish system management structures, ensure staff training across enterprise and facilitate cohesive and effective transition to PKYC oversight <p>Moderator: Shilpa Arora, Senior Director, Anti-Financial Crime (AFC) Portfolio Solutions, ACAMS</p> <p>Presenters: Samantha Samuel, Director, Global Investigations and Compliance, Guidehouse</p> <p>Rebecca Schauer Robertson, CAMS-Audit, Senior Vice President, Director Financial Investigations Unit, Atlantic Union Bank</p>
<p>MSBs <i>Juniper 4</i></p>	<p>Making Change: MSB Compliance Strategies for the New Era of Financial Services</p> <ul style="list-style-type: none"> • Reviewing recent MSB industry report on AML/CTF to analyze best practices in areas such as business-specific risk assessment/management, internal controls and staff training • Analyzing CSBS's Model Money Transmission Modernization Act to detail regulatory trends in areas such as multi-state harmonization of MSB licensing, regulation and supervision • Utilizing AML tools such as formalized internal information sharing to strengthen illicit activity detection, assist law enforcement agencies and facilitate stronger banking relationships <p>Presenters: Anthony Luis Rodriguez, CAMS, Compliance Executive, USEND</p> <p>Bernard Williams Jr, CAMS, Director, Global Head of AML Compliance Governance and Compliance Training, eBay</p>
<p>1:15 PM – 2:15 PM Knowledge Session</p>	<p><i>Presented By CipherTrace</i></p>
<p>1:15 PM – 2:15 PM Knowledge Session</p>	<p><i>Presented By LexisNexis</i></p>

<p>1:15 PM – 1:45PM <i>Bluehorn 5-6</i> Conference Interactions</p>	<p>Connection Corner Networking</p> <p>Want to expand your professional network? Then join like-minded colleagues at this networking session. Connection Corner brings together members of specific niches from across the highly diverse AML universe for contact creation, casual conversation and informal exchanging of ideas and interests. There's no agenda, and the sole learning goal is the names of new friends. Meet them at Connection Corner.</p>
<p>1:45 PM – 2:15PM <i>Bluehorn 5-6</i> Conference Interactions</p>	<p>Connection Corner Networking</p> <p>Want to expand your professional network? Then join like-minded colleagues at this networking session. Connection Corner brings together members of specific niches from across the highly diverse AML universe for contact creation, casual conversation and informal exchanging of ideas and interests. There's no agenda, and the sole learning goal is the names of new friends. Meet them at Connection Corner.</p>
<p>2:15 PM – 2:45 PM <i>Pinyon Ballroom</i></p>	<p><i>Networking Break in Exhibit Hall</i></p>
<p>2:45 PM – 3:45 PM</p>	<p>CONCURRENT SESSIONS</p>
<p>Financial Crime Trends <i>Bristlecone 5-6</i></p>	<p>Fighting Fentanyl At Home and Abroad: Best AML Practices to Combat Synthetic Opioids</p> <ul style="list-style-type: none"> • Reviewing transnational drivers of fentanyl epidemic such as Asia-to-Mexico precursor chemical exports, use as adulterant in other narcotics and high profits due to extreme potency • Teaming with law enforcement and social service agencies to stay current on local trends such as overdoses from fentanyl-laced drugs and in-market organized criminal networks • Analyzing recent case studies to identify emerging fentanyl distribution systems such as use of emoji drug codes on social media to strengthen monitoring and resolution of red flags <p>Moderator: Gregg Fields, CAMS, Content Analyst, ACAMS</p>
<p>Public-Private Partnerships <i>Juniper 1</i></p>	<p>“Left of Boom” Public-Private Partnerships: Beating Criminal Typologies to the Punch</p> <ul style="list-style-type: none"> • Applying law enforcement's “left of boom” concept to enhance usage of tools such as 314(a) and (b) and spur cross-sector sharing of emerging risks revealed in threat assessments • Employing “left of typology” methods at PPPs to hinder criminal typology development with near real-time sharing of intelligence and financial information and proactive monitoring • Addressing challenges in operationalizing PPPs such as cultural, technological and reputational barriers to create governance frameworks that meet all legal and regulatory dictates <p>Moderator: Clyde Langley, Managing Director, Financial Crimes Risk Management, Charles Schwab</p>

	<p>Presenters: Jeffrey L. Cannon, Special Agent in Charge, Washington Field Office, Federal Bureau of Investigation (FBI)</p> <p>Tyler Reynolds, Senior Director, Enterprise Financial Crimes, U.S. Bank</p>
<p>Tech Trends <i>Juniper 2-3</i></p>	<p>Tech It To the Next Level: Using AI, Machine Learning and Other Tools To Strengthen AML</p> <ul style="list-style-type: none"> Analyzing strengths and limitations of various advanced technologies such as natural language processing and negative news screening to identify potential roles in AML oversight Assessing risk management priorities and complexities in areas such as beneficial ownership and sanctions to ensure selected tech solutions are appropriate and cost-effective Formalizing staff training of new technologies and conducting post-integration audits to verify quality of results and detect and correct systemic deficiencies as warranted <p>Moderator: Hue Dang, CAMS-Audit, Global Head of New Ventures, Senior Asia Pacific Leader, ACAMS</p> <p>Presenters: Melissa Leeds, CAMS, Vice President, Global AML Risk and Scorecard Metrics, Citigroup</p> <p>Rock Magleby-Lambert, Model Risk Senior Manager, Senior Vice President, PNC Bank</p> <p>Jas Randhawa, Global Head of Financial Crime Compliance, Airwallex</p> <p>Grant Vikers, Head of Financial Crime Strategy, WorkFusion</p>
<p>Data Management <i>Juniper 4</i></p>	<p>Data-Driven AML Systems: The Right Information Makes the Strongest Foundation</p> <ul style="list-style-type: none"> Identifying data requirements and crafting data management models to design metrics-based AML systems that enhance threat identification and reduce alert response times Utilizing data-driven analytics and other technologies to more efficiently perform AML functions such as manual risk assessments and minimize friction points in compliance systems Incorporating responses for data-generated alerts and threats into controls to optimize value of data-based systems while meeting regulatory expectations for AML effectiveness <p>Presenter: Kate Salottolo, Global Head of AML and Brand Risk Management, PayPal</p>

<p>2:45 PM – 3:45 PM Knowledge Session</p>	<p><i>Presented By Thomson Reuters</i></p> <p>How to Catch an Oligarch: Using Advanced Technology Tools to Fight Financial Crimes</p> <ul style="list-style-type: none"> • Modernizing your due diligence to avoid onboarding clients with problematic associations • Developing protocols to identify risk within your existing client portfolio • Utilizing the latest technologies to conduct comprehensive investigations when red flags arise including best practices around leveraging adverse media, global beneficial ownership records, social media and more <p>Moderator: Andrew Pellington, Senior Director, Thomson Reuters</p> <p>Presenters: Gabe Hidalgo, Esq. CAMS, Managing Director, FTI Consulting</p> <p>Irene Kenyon, Director of Risk Intelligence, FiveBy Solutions</p>
<p>2:45 PM – 3:45 PM Knowledge Session</p>	<p><i>Presented By TRM Labs</i></p>
<p>2:45 PM – 3:15PM Conference Interactions Bluethorn 5-6</p>	<p>Connection Corner Networking</p> <p>Want to expand your professional network? Then join like-minded colleagues at this networking session. Connection Corner brings together members of specific niches from across the highly diverse AML universe for contact creation, casual conversation and informal exchanging of ideas and interests. There's no agenda, and the sole learning goal is the names of new friends. Meet them at Connection Corner.</p>
<p>3:15 PM – 3:45PM Conference Interactions Bluethorn 5-6</p>	<p>Connection Corner Networking</p> <p>Want to expand your professional network? Then join like-minded colleagues at this networking session. Connection Corner brings together members of specific niches from across the highly diverse AML universe for contact creation, casual conversation and informal exchanging of ideas and interests. There's no agenda, and the sole learning goal is the names of new friends. Meet them at Connection Corner.</p>
<p>3:45 PM – 4:15 PM Pinyon Ballroom</p>	<p><i>Networking Break in Exhibit Hall</i></p>

4:15 PM – 5:15 PM	CONCURRENT SESSIONS
<p>Non-Bank Institutions <i>Bristlecone 5-6</i></p>	<p>Pay to Play: Game Plans for Tackling Risk Management Issues of Sports Betting</p> <ul style="list-style-type: none"> • Surveying sports betting following Supreme Court's PASPA decision to detail AML issues such discordant state regimes, new gambling products and rapid sectoral growth • Identifying risks of online and app-based sports betting such as fraud and illicit funds conversion to identify AML solutions such as enhanced onboarding, KYC and monitoring • Analyzing gaming trends such as esports growth, bitcoin betting and memorabilia NFTs to anticipate AML challenges for sports betting going forward and develop pro-active oversight <p>Presenters: Vasilios P. Chrisos, CAMS, Advisory Board Member, ACAMS</p> <p>Amy McCane, Director of Compliance, Deputy, AML Officer, Resorts World Las Vegas</p>
<p>Public-Private Partnerships <i>Juniper 1</i></p>	<p>Two-for-One Deal? Organizing Public-Private Partnerships to Combat Organized Retail Crime</p> <ul style="list-style-type: none"> • Charting Organized Theft Group actors such as boosters, fences and diverters and detail benefits of collective actions by law enforcement, retailers and FIs such as information sharing initiatives • Analyzing PPP case studies such as Operation King of Thieves for best practices in areas such as awareness training, curating useful data for law enforcement and filing SARs with targeted key words • Detailing the nexus between ORC illicit proceeds and transnational crimes such as human trafficking, narcotics smuggling and terrorism to strengthen oversight and investigations going forward <p>Moderator: Lauren Kohr, Senior Director, AML-Americas, ACAMS</p> <p>Presenters: Raul Aguilar, Deputy Assistant Director, Homeland Security Investigations (HSI)</p> <p>Ben Dugan, President, National Coalition of Law Enforcement and Retail (C.L.E.A.R.)</p> <p>Kevin Toth, CAMS-FCI, AML Senior Manager, Special Investigations Unit (SIU), Capital One</p>

<p>Tech Trends <i>Juniper 2-3</i></p>	<p>Chapter and Verse on Web3 and the Metaverse: Fighting Financial Crime Risks</p> <ul style="list-style-type: none"> • Outlining Web3 and metaverse basics to detail risks such as ID theft, hacking, fraud and oversight challenges including pseudonymity, nascent regulation and lack of intermediaries • Surveying global efforts to mitigate Web3 and metaverse risks in areas such as trade-based money laundering, sanctions evasion and potential terrorist financing schemes • Reviewing potential Web3 opportunities for countering financial crime through activity such as network activity monitoring, digital forensics and enhanced data auditing <p>Presenters: Joshua Blazer, Esq., CAMS, Chief BSA/AML Officer, SoFi</p> <p>Jas Randhawa, Global Head of Financial Crime Compliance, Airwallex</p>
<p>Data Management <i>Juniper 4</i></p>	<p>Seeing the Big Picture: How Data Visualization Can Strengthen AML</p> <ul style="list-style-type: none"> • Reviewing how data visualization translates information into graphical representations to detail uses in AML such as enhancing detection of suspicious trends, patterns or activities • Building linear narratives from data visualization that enhances comprehension of information and facilitates collective vision of risk mitigation issues, goals and solutions • Understanding concepts such as data ingestion and structuring to ensure visualization is comprehensive and supports AML needs and functions of constituencies across enterprise <p>Moderator: Dr. William Scott Grob, CAMS-FCI, CGSS, Director - Research and Analysis, ACAMS</p> <p>Presenter: Bill Heys, Product Lead, AML, Verafin</p>
<p>4:15 PM – 5:15 PM Knowledge Session</p>	
<p>4:15 PM – 5:15 PM Knowledge Session</p>	
<p>5:15 PM – 6:15 PM Pinyon Ballroom</p>	<p>Networking Cocktail Reception</p>

**MAIN CONFERENCE DAY THREE
THURSDAY, OCTOBER 13, 2022**

8:45 AM – 12:00 PM	<i>Virtual Doors Open</i>
8:00 AM – 11:00 AM <i>2nd Floor Rotunda</i>	Registration Desk Open <i>Bags, Lanyards and Badges</i>
8:00 AM – 11:10 AM <i>Pinyon Ballroom</i>	Exhibit Hall Open
8:00 AM – 9:00 AM <i>Pinyon Ballroom</i>	Networking Breakfast in Exhibit Hall
GENERAL SESSIONS	
9:00 AM – 9:10 AM <i>Bristlecone 5-6</i>	Opening Remarks
9:10 AM – 9:40 AM <i>Bristlecone 5-6</i>	Special Presentation with Q&A
9:40 AM – 10:40 AM <i>Bristlecone 5-6</i>	<p>Late Edition with ACAMS: The Newest News Compliance Professionals Can Use</p> <p>As ACAMS members know, there are no slow news days in the AML world. But the reality is, the Las Vegas program is published months before the event itself — and a lot can happen in the interim. So we've saved this spot, to provide up-to-the-minute coverage of AML topics so hot, and compliance news so fresh, it hasn't even happened yet. While we don't yet know the subjects we'll be covering, we can confirm our authoritative panelists will go beyond the headlines to deliver the inside scoop. Any questions? Good — they're taking them.</p> <p>Moderator: William D. Langford, CAMS, Executive Officer, Global Head of Financial Crimes Compliance & Chief Compliance Officer for the Americas, MUFG</p> <p>Presenter: Markus E. Schulz, Global Head of Change Management Financial Crimes Compliance, ING</p>
10:40 AM – 11:10 AM <i>Pinyon Ballroom</i>	<i>Networking Break in Exhibit Hall</i>
11:10 AM – 12:10 PM <i>Bristlecone 5-6</i>	<p>Ukraine and You: Strategies to Comply With Sanctions Against Russia and Hidden Oligarch Wealth</p> <ul style="list-style-type: none"> • Exploring the wide-ranging measures targeting Russian oligarchs and globally held assets, such as bank account restrictions, the global 'REPO Taskforce' and similar national and multilateral efforts, as well as moves to support the reconstruction of Ukraine • Examining key challenges, including mitigating the risks of sanctions evasion, the effective identification of beneficial ownership, and operationalizing the 50% rules and related guidance on higher risk exposure • Understanding management of blocked funds and mapping the implications of potential new powers to forfeit and re-distribute sanctioned assets, including anticipated legal challenges <p>Moderator: Dr. Justine Walker, Head of Global Sanctions and Risk, ACAMS</p>

	Presenter: John E. Smith, Co-head, Morrison & Foerster's National Security Practice
12:10 PM – 12:20 PM <i>Bristlecone 5-6</i>	<i>Closing Remarks</i>

* Program subject to change