

Day 1

Tuesday 5/3

8:00AM-5:30PM	Registration Desk Open
8:00AM-6:15PM	Exhibit Area Open
8:00AM-9:00AM	Networking Continental Breakfast in Exhibit Area
9:00AM-9:05AM	Welcome Remarks Scott Liles, President and Managing Director, ACAMS
9:05AM-9:35AM	Keynote Address with Q&A
9:35AM-10:35AM	Comply or Die: Scrutinizing the Developing US Regulatory Approach to Digital Assets <ul style="list-style-type: none">· Examining the OCC's exploration of special purpose bank charters for FinTechs to identify potential implications in terms of AML and sanctions compliance· Discussing the SEC's initiatives to protect investors including the potential monitoring of crypto exchanges and Bitcoin exchange traded funds (ETFs) that would be subject to strict mutual fund rules· Anticipating the AMLA's impact on the digital money industry and companies' plans to launch their own crypto currency Moderator: Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, ACAMS Presenters: Koko Ives, Manager, BSA/AML Compliance Section, Division of Supervision and Regulation, Federal Reserve Board Jim Vivencio, Director for Bank Secrecy Act/Anti-Money Laundering (BSA/AML) Policy, Office of the Comptroller of the Currency (OCC)
10:35AM-11:05AM	Networking Break in Exhibit Area

11:05AM-12:05PM	<p>Lessons Learned from Recent FinTech Scandals and Enforcement Actions</p> <ul style="list-style-type: none">· Reviewing allegations against Robinhood and payment settlements with both the SEC and FINRA amounting to over \$130 million for abuses of its app-based trading system· Examining current regulatory positions activities such as payment for order flow (PFOF) to identify and manage unique risks for FinTech entities· Analyzing how the FinTech sector will tackle challenges in areas such as transparency, compliance and accountability as its role continues to grow in financial markets <p>Moderator: Gregg Fields, CAMS, Content Analyst, ACAMS</p> <p>Presenters: Elvis M. Chan, Asst. Special Agent in Charge, Cyber Branch, Federal Bureau of Investigation (FBI) Carol Alexis Chen, Partner, Winston & Strawn LLP Laurel Rimon, Partner, O'Melveny & Myers LLP Sarah Runge, Director, Global Regulatory, Facebook Payments</p>
11:05AM-12:05PM	<p>DeFiand Chains: The Link Between Blockchain Growth and Decentralized Finance</p> <ul style="list-style-type: none">· Understanding the participation of regulated institutions in the DeFi lending system through segregated pools of “approved” crypto users that have passed KYC protocols· Using blockchain analysis to determine the origin of crypto transactions and protect banks from risks such as potential sanctions violations and funding of terrorists· Discussing the pros and cons of the DeFi eco-system for financial institutions such as revenue opportunities as well as potential risk exposure for specific DeFi protocols <p>Moderator: Melissa Strait, CAMS, Chief Compliance Officer, Coinbase</p> <p>Presenters: Mary Beth Buchanan, President Americas & Global Chief Legal Officer, Merkle Science Joby Carpenter, Global SME, Cryptoassets and Illicit Finance, ACAMS Camila Russo, Founder, The Defiant</p>
11:05AM-12:05PM	Presented by CipherTrace
12:05PM-1:05PM	Networking Lunch

1:05PM-2:05PM

The Buddy System: Making Bank/FinTech Partnerships Work

- Negotiating partnership terms to clarify risk management responsibilities and address regulatory compliance expectations including the recent AMLA requirements
- Developing comprehensive customer profiles jointly to establish common risk management standards and ensure robust KYC/CDD measures are in place to meet ID verification and beneficial ownership challenges
- Co-designing solutions and response protocols to detect anomalies, and flag suspicious activity pertaining to data breaches

Moderator:

Victoria Edison, CAMS, Deputy Head of International Compliance and Chief Compliance Officer, Americas, **Ant Group**

Presenters:

David Griesbach, Former Special Agent, **Internal Revenue Service - Criminal Investigations (IRS-CI)** Temitope Ibrahim Lawal, Senior Counsel and Vice President, **Citi** Siobhain Ivers, Head of Oversight, **Facebook Payments**

1:05PM-2:05PM

On Good Authority: The Race to Regulate Crypto

Cryptocurrencies are going mainstream, with massive potential to reinvent global financial services. But the promising possibilities raise the fundamental questions of who shall regulate crypto - and how. It's increasingly of global relevance, particularly as central banks explore digital currencies. The stakes: high. The answers: elusive. Our expert panelists analyze what the future may hold.

Moderator:

Dr. William Scott Grob, CAMS-FCI, CGSS, AML Director - Americas, **ACAMS**

Presenters:

Amelia Childress, Head of Compliance, Cash App, **Block** Jennifer Fowler, Director for Public Policy, Novi, **Facebook** Eric I. Goldberg, Fintech & Consumer Finance Partner, **Akerman LLP** Melanie Hall, Chairman, **Conference of State Bank Supervisors (CSBS)**, and Commissioner, **Division of Banking and Financial Institutions, State of Montana**

1:05PM-2:05PM

Presented by

2:05PM-2:10PM

Transitional Break

2:10PM-3:10PM

Pay to Play: Managing the AML Risks of Alternative Payment Platforms

- Developing risk management strategies for new developments such as payment service providers allowing the withdrawal of cryptocurrency to third-party e-wallets
- Creating effective collaboration between banks and new payment systems amid crypto to fiat currency conversions developments
- Exploring the wider use of cryptocurrency and purchasing via social media to highlight need for robust AML and consumer regulations as alternative payment models evolve

Moderator:

Bernard Williams Jr., CAMS, Director, Global Head of AML Governance and Compliance Training, **eBay**

Presenters:

Jeff Billingham, Vice President, Capital Markets, **Chainalysis** Lisa K. Lechner, Chief Compliance Officer, **Mercari** Jas Randhawa, CAMS, Former Head of Financial Crime, **Stripe** Perla Stoeckert, Chief Compliance Officer, **Tipalti**

2:10PM-3:10PM

AML Unchained: How Blockchain Forensics Finds Links to Financial Crime

- Analyzing forensic accounting methods by which public blockchain data is used to track flow of cryptocurrencies and support risk management protocols involving digital assets
- Detailing AML applications for blockchain forensics such as corroborating onboarding data of crypto clients, efficiencies in assembling audit trails and enhancing ongoing monitoring
- Conducting a case study review of the role of blockchain forensics in tracing and disrupting multi-chain terrorist financing network funneling cryptocurrency to Hamas

Moderator:

Rick McDonell, Former Executive Secretary, **Financial Action Task Force (FATF)**, Executive Director, **ACAMS**

Presenters:

Kinsey Cronin, Business Development, **TRM Labs** Kevin Taylor, Senior Executive, Compliance and Risk, **Metallicus, Inc.** Heidi Wilder, Senior Associate, Special Investigations, **Coinbase**

2:10PM-3:10PM

Presented by

3:10PM-3:40PM

Networking Break in Exhibit Area

3:40PM-4:40PM

Keeping Up with Innovative Finance and Sanctions

- Studying OFAC's latest actions involving China, Cuba, Venezuela, Belarus and Russia and \$1.4mm civil fine vs FinTech startup Payoneer for processing payments for sanctioned persons
- Understanding the case of virtual currency researcher, Virgil Griffith, who pled guilty to advising North Korea on how blockchain technology such as "smart contracts" could be used to evade US sanctions and launder money
- Crafting unique controls for digital exchanges to mitigate sanctions risks amid cross-jurisdictional conflicts attributed to growing divergences between US and other sovereign sanctions regimes

Presenters

Melissa Duffy, Partner, Trade and National Security, **Fenwick & West LLP** Ali Moghaddam, CAMS, Head of Financial Crime Prevention, BSA and OFAC Officer, **City National Bank**

4:40PM-5:10PM

Special Presentation

Moderator:

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**

5:10PM-5:15PM

Closing Remarks

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**

5:15PM-6:15PM

Networking Reception in Exhibit Area