

The Assembly FinTech & Crypto Program

Day 1

Monday 6/10

12:30PM-5:45PM CDT

Registration Desk Open

12:30PM-2:00PM CDT

Networking Break in Exhibit Area

12:30PM-5:00PM CDT

Exhibit Area Open

2:00PM-2:10PM CDT

Welcome Remarks

Neil Sternthal, Chief Executive Officer, **ACAMS**

Regulatory Roundtable: Insights on the Current FinTech and Crypto Regulatory Landscape

What's top of mind for industry regulators? This panel is your chance to find out. Possible topics include AMLA implementation affecting crypto/fintech industries; developments affecting traditional banks' crypto involvement; President Biden's executive order on AI and its effect on compliance; the new \$10K+ crypto transaction reporting rule; and privacy chains and mixers – plus whatever developments have arisen almost overnight.

Moderator:

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**

Presenters:

Michael Benardo, Associate Director, Anti-Money Laundering & Cyber Fraud Branch, **Federal Deposit Insurance Corporation (FDIC)**

Eric Ellis, Director for Bank Secrecy Act/Anti-Money Laundering (BSA/AML) Policy, **Office of the Comptroller of the Currency**

Jim Gentile, Investigative Specialist, **Financial Crime Enforcement Network (FinCEN)**

Koko Ives, Manager, BSA/AML Policy Section, Division of Supervision and Regulation, **Federal Reserve Board**

Jamie Udinson, Senior Director, Crypto Asset Investigations, Complex Investigations and Intelligence, **Financial Industry Regulatory Authority**

GENERAL SESSIONS
3:30PM-4:20PM CDT

Case Study - Putting the AI in Compliance: Use Cases and Implications

AI is the new “gluten-free”: A descriptor that, while accurate, doesn’t always reflect anything truly new. This hype-free session will focus on how large-language models, including ChatGPT, are being used today by compliance departments and other industry professionals.

You will learn how to:

- Assess the effects of large-language models on compliance, including staffing levels and potential regulatory issues
- Apply generative AI for uses other than transaction monitoring or false positive reduction
- Explain your use of AI to regulators

Moderator:

Craig Timm, Senior Director Anti-Money Laundering, **ACAMS**

Presenters:

Ana Davila, CAMS, Head of Financial Crime Intelligence Investigations, **Stripe**

Carrie Gilson, Director of Financial Intelligence & Analytics, Senior Vice President, **U.S. Bank**

Melissa Strait, CAMS, Chief Compliance Officer, **Coinbase**

GENERAL SESSIONS
4:20PM-4:45PM CDT

Keynote Address with Q&A

Striking the Right Balance: The Costs and Benefits of AML in FinTech

Moderator:

Craig Timm, Senior Director Anti-Money Laundering, **ACAMS**

Presenter:

Cesare Fracassi, Associate Professor of Finance, Director of the Blockchain Initiative, McCombs School of Business, **The University of Texas at Austin**

4:45PM-4:50PM CDT

Closing Remarks

Craig Timm, Senior Director Anti-Money Laundering, **ACAMS**

5:00PM-6:30PM CDT

Networking Reception at The Reverber, Hilton Austin

The Assembly FinTech & Crypto Program

Day 2

Tuesday 6/11

8:00AM-4:00PM CDT

Registration Desk Open

8:00AM-4:00PM CDT

Exhibit Area Open

8:00AM-9:00AM CDT

Networking Continental Breakfast in Exhibit Area

9:00AM-9:05AM CDT

Opening Remarks

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content,
ACAMS

9:05AM-9:35AM CDT

Special Presentation with Q&A

Financial Crime Matters Live Podcast with Kieran Beer - From the main stage at the 2024 ACAMS Fintech & Crypto Assembly

Binance.US: A Compliance Chief's Lessons Learned

Christina Rea spent six months in 2021-2022 at Binance.US as a part-time, contracted U.S. compliance head of the crypto exchange. But she says that was enough time to see the danger signs, and hear what everyone should know about crypto compliance.

Moderator:

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content,
ACAMS

Presenter:

Christina Rea, Former Interim Chief Compliance Officer, **Binance.US**

9:35AM-10:35AM CDT

Executive Roundtable: A View from the C-Suite

Hear from top executives about how their institutions are identifying and implementing best practices to build and maintain a robust AFC framework. What does it take to survive and thrive in an environment where new technologies, products and risks are constantly emerging?

Moderator:

Hue Dang, CAMS-Audit, Global Head of New Ventures, **ACAMS**

Presenters:

Michael Aleman, Senior Director, Global Financial Crime Blockchain Innovation, **PayPal**

Megan Gonzales, CAMS, Global Head of Financial Crimes, **Cash App**

Melissa Strait, CAMS, Chief Compliance Officer, **Coinbase**

10:35AM-11:05AM CDT

Networking Break in Exhibit Area

GENERAL SESSIONS
11:05AM-11:35AM CDT

Special Presentation with Q&A

Using Tech Against Traffickers: Finding and Following the Money

Moderator:

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**

Presenters:

Theresa M Forbes, Associate General Counsel, **Meta**

Nelka Fernando, Global Financial Intelligence Unit (FIU), **Google**

11:35AM-11:40AM CDT

Transitional Break

FINANCIAL CRIME
TRENDS
11:40AM-12:20PM CDT

ACAMS Talk - Operation Shamrock: Where Romance Scams Run out of Luck

Big ideas, shorter sessions, smaller audiences. That's an ACAMS Talk. Come for stimulating intellectual exploration; leave with plenty to think and talk about.

"Pig butchering" seems an unlikely term for a contemporary twist on the age-old romance scam. But the trail of destruction involved as victims are "fattened up" before their financial slaughter is stomach-churning: Unwilling perpetrators trafficked; millions of dollars stolen; financial futures ruined; and at least one life lost to suicide. Crypto is crucial to these schemes. And as an attendee at The Assembly Fintech & Crypto, you're part of a crucial constituency in fighting them. Hear from our panelists about how you can join Operation Shamrock in the global effort against this form of crypto-based fraud.

Moderator:

Joby Carpenter, Global SME, Cryptoassets and Illicit Finance, **ACAMS**

Presenter:

Erin West, Deputy District Attorney, Santa Clara County, **Office of the District Attorney**

12:20PM-1:20PM CDT

Networking Lunch

AI PROFICIENCY
1:20PM-2:10PM CDT

Deep Dive - What Criminals Know About Using AI - and What You Should Know, Too

Deep fakes. Generative AI imagery. Spoofed biometrics. You've probably heard of all of them, but financial criminals' quick adoption often outpaces detection and prevention. This session is one step toward changing that. Panelists will help you get up to speed on the latest developments in frauds and scams affecting financial institutions and their customers.

Moderator:

Acacia Sacks, CAMS, Head of Financial Crimes and Identity, **Chime**

Presenters:

Jingu Chong, Deputy Chief, **Kings County District Attorney's Office**

Arun Vemury, Senior Advisor for Biometrics and Identity Technologies, **U.S. Department of Homeland Security**

Mini Workshop - Roadblocks on the Blockchain: Overcoming Transaction Monitoring Challenges

A shorter version of our hands-on, multi-hour instructional sessions, mini workshops pack an interactive learning experience into just 50 minutes. After 25 minutes of guidance from our expert panelists, you'll confer with tablemates and then share takeaways with the entire group. In addition to gaining insights from our top-notch speakers, participants also benefit from the collective wisdom and experience of other attendees.

The distributed and decentralized nature of the blockchain poses complex challenges for auditing and monitoring. There's no formalized industry standard for blockchain analysis, and different blockchain forensics tools may rely on differing data analysis methodologies. Compliance teams are finding that there's no single solution for monitoring transactions on the blockchain. In this session, panelists will provide ways to overcome this challenge.

You will learn how to:

- Identify gaps in your blockchain transaction monitoring and ways to achieve better coverage
- Review the latest developments in blockchain analysis and tools
- Understand and meet the regulatory standards for blockchain analytics

Moderator:

Yaya Fanusie, Director of Policy for AML & Cyber Risk, **Crypto Council for Innovation**

Presenters:

Nicole A. Murray, CAMS, Virtual Currency Financial Crimes Director, **New York State Department of Financial Services (DFS)**

Dustin Palmer, Bank Secrecy Act Officer, **Anchorage Digital**

David Zacks, Senior Director, Compliance, **Kraken Digital Assets Exchange**

RISK MANAGEMENT
2:40PM-3:30PM CDT

Lightning Round - The Buck Stops ... Where? Risk Management of BaaS Partners

Inspired by ESPN's Pardon the Interruption, keep up with our experts as they dive into a fast-paced, dynamic discussion covering third-party relationships and risk management issues.

The growing number of partnerships between banks and companies that provide banking as a service provides rewards and risks for both sides. At their best, these partnerships provide innovation within an established compliance framework – but what does it take for the ideal to be the norm?

Moderator:

Dana Lawrence, CAMS, Senior Director of FinTech Compliance, **Pacific West Bank**

Presenters:

Robin Garrison, CAMS, Vice President, Strategic Innovations Director of Compliance, **FirstBank**

Jas Randhawa, CAMS, Founder and Managing Partner, **StrategyBRIX**

FINANCIAL CRIME CASE
STUDIES 2:40PM-3:30PM
CDT

Case Study - Lessons Learned from a Large-Scale Crypto Investigation

For law enforcers, data analysis is usually seen as a tool in an investigation, rather than its origin. But for a sprawling money laundering case that spanned multiple continents and eventually disrupted annual flow of over \$300 million in laundered transactions, the suspicious data was the starting point. This case study will disprove the myth of crypto criminals' invulnerability.

You will learn how to:

- Identify how the U.S. banking system makes crypto money launderers vulnerable to detection
- Appreciate the importance of SARs and IC3 reports to investigators, even years after they were filed
- Recognize how collaboration enabled financial institutions, law enforcers and prosecutors to successfully uncover more than 20 crypto money launderers, charge them and seize their assets

Presenters:

L. Frank Coan, Jr., Criminal Chief, U.S. Attorney's Office, **Eastern District of Texas**

William Mack, Special Agent in Charge, **U.S. Secret Service**

3:30PM-4:00PM CDT

Networking Break in Exhibit Area

Fighting the Financing of Terrorism

Hamas' attack on Israel in October 2023 brought renewed attention to a global threat that moves in and out of the spotlight but never disappears. Money is the lifeblood of all criminal enterprises; thus, the global financial system is on the front line of the fight against terrorism.

You will learn how to:

- Recognize how Hamas and other terrorist groups attempt to use digital assets, both successfully and unsuccessfully
- Assess emerging risks posed by decentralized finance and how the crypto industry is addressing them
- Create an effective organizational framework to address unanticipated developments and new typologies
- Understand red flags, including the potential use of NPOs and NGOs, in the movement of money and goods to terrorist organizations

Moderator:

Joby Carpenter, Global SME, Cryptoassets and Illicit Finance,
ACAMS

Presenters:

Adam Drucker, Senior Director, Global Law Enforcement Outreach and Response, Global Investigations, **PayPal**

Edmund Fitton Brown, Senior Advisor, **Counter Extremism Project (CEP)**

Acacia Sacks, CAMS, Head of Financial Crimes and Identity, **Chime**

Closing Remarks**Moderator:**

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content,
ACAMS