

Day 1

Wednesday 11/3

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| 8:45AM-5:20PM | Virtual Exhibit Hall Open |
| 8:45AM-5:20PM | Virtual Doors Open |
| 9:00AM-9:10AM | Welcome Remarks |
| 9:10AM-9:40AM | Keynote Address with Q&A |
| 9:40AM-10:10AM | Networking Break in Virtual Exhibit Hall |
| 10:10AM-10:40AM | Policy Overview: A Conversation with Finance Canada |
| 10:40AM-11:10AM | Regulatory Update: A Conversation with FINTRAC |
| 11:10AM-11:40AM | Networking Break in Virtual Exhibit Hall |
| 11:40AM-12:40PM | <p>Executive Roundtable 2021: The Industry Responds to Regulatory Developments</p> <p>Join our AML experts for a lively discussion as they provide practical insights and guidance on the issues discussed in the regulatory roundtable. This panel will address current and upcoming regulatory affairs from an industry perspective, including the challenges of implementing the ongoing amendments to the PCMLTFA and its global and domestic impact on compliance professionals and financial institutions.</p> |
| 12:40PM-1:30PM | Luncheon Break |
| 1:30PM-2:30PM | <p>What's Neo: Managing New Risks of Digital Banking</p> <ul style="list-style-type: none">· Examining the AML challenges faced by neobanks in comparison to legacy banking institutions· Incorporating tools such as biometric verification to mitigate KYC/CIP risks of digital entities.· Staying abreast of the emergent typologies and threats around digital banking and electronic payments including virtual money mules |
| 2:30PM-2:35PM | Break |

2:35PM-3:35PM

A Digital Debut: Canada Regulates Crypto

- Examining the impact of new regulations requiring virtual currency exchanges to register as Money Services Businesses with FINTRAC
 - Understanding CSA/IIROC guidance on crypto-asset trading platforms to analyze regulatory expectations in areas such as market integrity, transparency and recordkeeping
 - Studying recent OSC actions against Poloniex and KuCoin to detail evolving regulatory requirements and risks involving crypto asset products under Ontario securities law
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3:35PM-4:05PM

Networking Break in Virtual Exhibit Hall

4:05PM-5:05PM

Get Out of My House: BC Takes A Stand Against Transnational Organized Crime

Canadians are sick of international criminal organizations who use the country's real estate market and gaming sector to launder their illegal proceeds. British Columbia is taking pro-active steps, most notably with the Cullen Commission, whose final report on provincial AML deficiencies is expected in December. Meanwhile, the Counter Illicit Finance Alliance of British Columbia is spearheading public-private collaboration in an effort to disrupt illicit financial activities. In this session, our experts analyze systemic roots of the problem, provide a progress report on actions to date – and assess challenges yet to be overcome.

5:05PM-5:10PM

Closing Remarks

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**

Day 2

Thursday 11/4

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|-----------------|---|
| 8:45AM-5:30PM | Virtual Exhibit Hall Open |
| 8:45AM-5:30PM | Virtual Doors Open |
| 9:00AM-9:30AM | Special Presentation with Q&A |
| 9:30AM-10:00AM | Networking Break in Virtual Exhibit Hall |
| 10:00AM-11:00AM | Emergency Response: How AML Adapted to a Global Pandemic <ul style="list-style-type: none">· Assessing creative solutions for challenges of the Covid pandemic including managing remote workforces and addressing issues such as KYC/CDD without interpersonal client contact· Understanding the pandemic's potential long-term reorientation of AML practices including acceleration of technological adoption, escalation migration of cash transactions to digital banking and robust cyber hygiene· Studying lessons learned from Covid-related scams such as those involving the Canada Emergency Response Benefit (CERB) to identify and mitigate risks of new fraud typologies |
| 11:00AM-11:30AM | Networking Break in Virtual Exhibit Hall |
| 11:30AM-12:30PM | Home Invasion: Spotlighting Domestic Terrorism in Canada <ul style="list-style-type: none">· Analyzing FINTRAC's recently published Special Bulletin on Ideologically Motivated Violent Extremism: A Terrorist Activity Financing Profile· Tracing movement of funds supporting domestic violent extremist (DVE) groups and actors into the mainstream financial system via crowdfunding sites, legitimate FinTech services and charities and NGOs acting as fronts for terrorist organizations· Detailing extremist recruitment and planning on social media sites to ensure onboarding and KYC reflect domestic terrorism threats and screen for potential ties to terrorist entities on the Criminal Code list |
| 12:30PM-1:15PM | Luncheon Break |

1:15PM-2:15PM

Unblocking Blockchain? A Forensic View on Disrupting Illicit Crypto Laundering

- Outlining tech developments in areas such as crypto/darkweb monitoring to detect high-risk activities such as Tor routing and digital transactions fitting criminal typologies
 - Analyzing crypto support organizations such as mixing services to build awareness of entities at higher risk of handling illicit proceeds and adjust risk management as warranted
 - Reviewing successful law enforcement cases to highlight methods for identifying digital wallets and procuring private keys to disrupt digital currency laundering
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2:15PM-2:20PM

Break

2:20PM-3:20PM

Hostage Situations: Fighting Back Against Ransomware Attacks

- Coordinating with cybersecurity teams to conduct ransomware risk assessment, including internal and external threats, and correcting and testing areas of vulnerability
 - Analyzing OFAC's latest guidance on ransomware attacks, and its impact on Canadian organizations with operations in the US
 - Scrutinizing the Colonial Pipeline and other recent ransomware attacks to identify contributing factors such as outdated software, weak IT oversight and deficient data backup/recovery systems
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3:50PM-4:50PM

ESG: What It Is, and How to Use It in Financial Crime Risk Mitigation

- Defining the underlying concepts of Environment, Social and Governance to determine metrics for measurement and applications in financial crime risk mitigation
 - Reviewing cases studies that illustrate mutually beneficial relationships between ESG and financial crime risk management
 - Delineating differences between individual components of ESG to ascertain the predominant benefits and roles for strengthening FCRM
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4:50PM-4:55PM

Closing Remarks

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**