

# The Assembly Hollywood Program

## Pre-Conference Training

### Sunday 4/27

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|---------------|---|
| 8:00AM-9:00AM | <b>CAMS Exam Preparation Seminar Check-in (Separate registration and related fees apply.)</b> |
| 8:00AM-7:00PM | <b>Main Conference Registration Desk Open</b>   |
| 8:00AM-9:00AM | <b>Pre-Conference Workshop A Check-in (Separate registration and related fees apply.)</b>     |
| 9:00AM-4:30PM | <b>CAMS Exam Preparation Seminar (Separate registration and related fees apply.)</b>          |

Led by CAMS-certified instructors, the CAMS Examination Preparation Seminar reviews critical information in an easy-to-follow format that prepares you to sit for the CAMS Examination. Benefit from this interactive study session as AML experts walk you through core content areas and practice questions.

To register for a live-preparation seminar please contact [salesrequests@acams.org](mailto:salesrequests@acams.org).

9:00AM-12:00PM

**WORKSHOP A - Strengthening the Shield: Enhancing Fraud-Fighting Skills Throughout the Enterprise (Separate registration and related fees apply.)**

The exponential growth in fraud is a top concern for financial institutions. It's no longer just a matter for fraud investigators; employees across financial institutions need to upskill to be able to help win the battle. In this workshop, attendees will participate in hands-on exercises to gain a better understanding of the problem and possible solutions. You will then present your findings to the larger group and receive feedback from our expert panelists.

**You will learn how to:**

- Stay up-to-date with the latest trends and typologies in romance scams, crypto investment fraud and elder fraud
- Partner with other internal teams and law enforcement to better understand and fight fraud
- Identify when it is your institution's responsibility to file a fraud SAR
- Use data from 314 (b) information sharing effectively
- Identify and strengthen the weak links in your institution's fraud defenses

**Who Should Attend:**

- AML/BSA Specialists
- Fraud Analysts
- Financial Crimes Investigators
- Risk Managers
- Compliance Officers
- Fraud Prevention Specialists
- Cybersecurity Analysts
- Fraud Detection Analysts
- Fraud Operations Managers
- Security Engineers

*\*Separate registration required. Please inquire with your Account Representative.*

12:00PM-1:00PM

**Workshop B Check-in (Separate registration and related fees apply.)**

1:00PM-4:00PM

**WORKSHOP B - Who's Guarding the Gates? Managing Third-Party Risk in Financial Institutions (Separate registration and related fees apply.)**

Regulators have made it clear that managing third-party risk is a critical concern. In this hands-on, interactive workshop, you will develop the tools and strategies you need to address this crucial area of risk management.

**You will learn how to:**

- Determine the sufficiency of a third party's controls
- Identify technology integration challenges and information security implications
- Develop vendor diversification and exit strategies
- Maintain effective risk management even when outsourcing certain TPRM activities

**Who Should Attend:**

- AML/BSA Specialists
- Risk Managers
- Compliance Officers
- Cybersecurity Analysts
- Security Engineers
- Data analysts

**Lead Facilitator:**

Craig Timm, Senior Director of Anti-Money Laundering, **ACAMS**  
*\*Separate registration required. Please inquire with your Account Representative.*

5:00PM-5:30PM

**ACAMS First-Time Attendee Orientation**

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**

5:30PM-7:00PM

**Welcome Reception in South Palm Court**

# The Assembly Hollywood Program

## Day 1

Monday 4/28

8:00AM-4:50PM

**Registration Desk Open**

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8:00AM-6:00PM

**Exhibit Hall Open**

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8:00AM-9:00AM

**Networking Continental Breakfast in Exhibit Hall**

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9:00AM-9:10AM

**Welcome Remarks**

Neil Sternthal, Chief Executive Officer, **ACAMS**

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9:10AM-9:35AM

**Keynote Address with Q&A**

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9:40AM-10:25AM

**Special Presentation: Immediate Sanctions Priorities for the New Administration**

Nearly 100 days into the new administration, what do we know about its sanctions priorities – and what can reasonably be anticipated? Our experts will provide their analysis.

**Moderator:**

Dr. Justine Walker, Head of Global Sanctions and Risk, **ACAMS**

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10:25AM-10:35AM

**Global AFC Threats: Today's Challenges and Hazards on the Horizon - Presented by ACAMS Advisory Board**

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10:35AM-11:05AM

**Networking and Refreshment Break in Exhibit Hall**

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11:05AM-12:05PM

**Regulatory Roundtable: Guidance and Insights Straight from the Source**

When it seems as if the regulatory landscape is being redrawn at an always-increasing pace, institutions' regulatory GPS systems can have difficulty keeping up. This session is part of the solution. Here's your opportunity to hear directly from the agencies involved in implementing the rules with day-to-day impact on compliance professionals. Topics include the supervision of new and evolving products and services, common areas of concern across regulatory agencies, the practical effect of FinCEN's proposed rulemaking around a risk-based approach, takeaways from recent enforcement actions and more.

**Moderator:**Craig Timm, Senior Director of Anti-Money Laundering, **ACAMS**

12:05PM-1:20PM

**Networking Luncheon**FINANCIAL CRIME  
TRENDS  
1:20PM-2:10PM**Tangled: How Chinese Underground Banking Is Intertwined with Global Financial Crime**

In enabling Chinese citizens to evade their country's capital controls, Chinese underground banking organizations have also become deeply intertwined with many types of crime around the globe, including fentanyl trafficking in Mexico. Panelists will shed light on the geopolitical factors behind these organizations' rise, examine the breadth and depth of their activities, and describe how to detect and disrupt them.

You will learn how to:

- Focus on customers' sources of foreign exchange, not trade transactions, to disrupt money laundering
- Identify which customers require enhanced due diligence procedures
- Recognize red flags for the use of counterfeit passports, money mules and synthetic IDs

**Presenter:**John F. Tobon, Assistant Director, Countering Transnational Organized Crime Division, **Homeland Security Investigations (HSI)**

**Blueprint for BaaS Success: Insights from Recent Regulatory Scrutiny**

At last summer's ACAMS Assembly — Fintech & Crypto, speakers from regulatory agencies warned that Banking as a Service has become an area of heightened focus and oversight. In this session, learn how your institution can develop best practices in the face of increased attention.

You will learn how to:

- Assess the individual risks posed by fintech partners and products
- Identify what specifics need to be addressed in contractual arrangements, including information sharing arrangements and exit strategies
- Ensure that the appropriate AML resources are in place at a fintech partner

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**Environmental Crime - A Spotlight for Future Thematic Sanctions**

Environmental crime is among the most profitable criminal enterprises and now considered to be the third-largest criminal sector after drugs and counterfeit goods.

Our panel will set out how sanction-linked individuals and companies participate in these industries, how they generate and move funds internationally, and what it means for global supply chain controls and due diligence expectations. We will explore the latest government advisories, displacement effects from key designations, and the numerous risks that are directly and indirectly connected to the global industries surrounding environmental crime. We will further spotlight the likelihood of global action for thematic sanctions regime to be directed at illegal logging, fishing mining and associated activities. Our panel will conclude with a broader overview on the intersection between sanctions and ESG and what the future may hold.

**Moderator:**

Justyna Gudzowska, Senior Policy and Development Advisor, **The Sentry**

**Presenter:**

J. Edward Conway, Executive Secretary, **The Wolfsberg Group**

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RISK MANAGEMENT  
1:40PM-2:10PM

### **ACAMS TALK - No Silent Alarms: Risk Management of Your Whistleblower Program**

Big ideas, shorter sessions, smaller audiences. That's an ACAMS Talk. Come for stimulating intellectual exploration; leave with plenty to think and talk about.

The proliferation of whistleblower rewards increases the risk that your institution may be the subject of a whistleblower claim. And while violations of anti-money laundering laws and sanctions have been a major source of such complaints, the internal handling of whistleblower reports may reside outside compliance departments. In this ACAMS Talk, you'll hear about the institutional best practices that can help protect your sanctions and AML compliance departments from the costs of a successful whistleblower claim.

TECH TRENDS  
1:40PM-2:10PM

### **ACAMS TALK - What Can a Compliance Officer Do That AI Can't?**

Big ideas, shorter sessions, smaller audiences. That's an ACAMS Talk. Come for stimulating intellectual exploration; leave with plenty to think and talk about.

We asked our favorite AI chatbot this question, and it gave us eight reasons that Generative AI is not ready to replace human compliance professionals. So even AI doesn't think you need to be worried about losing your job. But don't take our word for it - come to this session and hear one of our non-artificially intelligent human speakers tell you why.

2:10PM-2:40PM

### **Networking and Refreshment Break in Exhibit Hall**

FINANCIAL CRIME  
TRENDS  
2:40PM-3:30PM

### **CASE STUDY - Dude, Where's My Money? A Takedown of a Father-Son Crypto Conspiracy**

The messages showed that even the co-conspirator had reached his limit. "We can not accept the promise of 'its coming' when since last Wednesday night and each day since I was told I would have coins the next day," he typed. "Put yourself in my shoes." But empathy, like the missing crypto, was in short supply. Instead, the broker - who had promised to represent crypto buyers with "no KYC/CIS" involved - found himself on the losing end of the deal along with his clients.

In this case study from Homeland Security Investigations, hear about the father-son duo who swindled more than \$10 million from both sophisticated and novice investors, as well as from two defrauded banks.

## **FISHBOWL - On the Other Side of the De-Risking Crisis: Surviving and Thriving in the Caribbean's New Normal**

The termination of banking relationships poses a perennial concern in a region where correspondent banking is paramount. Although the worst of the de-risking crisis seems to have passed, Caribbean financial institutions continue to adapt to the region's "new normal." This session will focus on BSA officers' role in identifying and successfully managing emerging and evolving threats — especially when risk is often transferred from one jurisdiction or institution to another. Panelists will also address how institutions can implement technology in cost-effective ways to improve compliance.

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## **DEEP DIVE - Everyday Use Cases for Generative AI**

Generative AI isn't just for big banks or complex scenarios. This session will focus on everyday applications that increase efficiencies for everyone.

You will learn how to:

- Improve efficiency by helping employees write reports and emails
- Break down information silos within an organization, leading to better AML/AFC results
- Perform a QC review of SARs with greater sample size than is possible with human reviewers

### **Presenters:**

Mateo Arbelaez, CAMS, CGSS, Vice President, Legal Compliance Risk Management, **Mastercard**

Carrie Gilson, Director of Financial Intelligence and Analytics, Senior Vice President, **U.S. Bank**

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REGULATORY  
UPDATES  
2:40PM-3:30PM

### **AMA (ASK ME ANYTHING) - Ask Me Anything: Predictions and Preparations for (Almost) all Things Regulation**

Join us for an engaging AMA session where the conversation comes alive with pre-submitted questions, live Q&A, and a dynamic panel discussion. Bring your burning questions and curiosity; we've got the answers and more. Don't miss this opportunity for an interactive and insightful experience!

Following up on the earlier Regulatory Roundtable, financial industry leaders will discuss the topics they are still grappling with and share their best practices (and best guesses) about how to proceed with thorny implementation issues. This Ask Me Anything session will be shaped by questions submitted by attendees beforehand. Likely topics include the revised FinCEN Program Rule and incorporation of the National Priorities, the impact of the Corporate Transparency Act and its implementation; and the impact of the 2024 election on AML policy.

**Presenter:**

James Sayko, Financial Crimes Leader, Corporate and Investment Banking, **Wells Fargo**

SANCTIONS  
2:40PM-3:30PM

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### **FIRESIDE CHAT - Transatlantic Sanctions and Trade Controls - Developments, Expectations and Enforcement**

Our transatlantic discussion will examine regulatory and enforcement perspectives surrounding sanctions and trade controls, including areas of convergence and commonality and what this means for compliance frameworks. We will deep dive into U.S., U.K., and EU developments and will explore current transatlantic enforcement priorities, sanctions evasion considerations and key trends impacting sanctions compliance implementation.

3:30PM-4:00PM

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### **Networking and Refreshment Break in Exhibit Hall**

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**CASE STUDY - Building on a Foundation of Fraud: Payroll Tax Evasion in the Construction Industry**

It's no secret that many day laborers on construction sites are paid in cash, which enables the evasion of both payroll taxes and worker's compensation insurance payments. What many people may not realize is the scale and sophistication of the schemes required to pull off this type of fraud. In 2023, the growing scale of the problem led to a FinCEN notice about the use of shell companies and fraudulent documents.

This case study focuses on an Oregon check-cashing company that cashed more than 19,000 checks from a handful of phony companies from 2014 through 2017. The operation hid \$177 million in wages, which cost \$44 million in unpaid employment and income taxes and helped the owner of the store take in \$4 million while the scam was in operation. In this session, you'll learn how the operation was discovered and dismantled and what you should be looking out for to prevent similar crimes.

**MINI WORKSHOP - Into the Unknown: Risk Assessment for New Products**

A shorter version of our hands-on, multi-hour instructional sessions, mini workshops pack an interactive learning experience into just 50 minutes. After 25 minutes of guidance from our expert panelists, you'll confer with tablemates and then share takeaways with the entire group. In addition to gaining insights from our top-notch speakers, participants also benefit from the collective wisdom and experience of other attendees.

An environment in which financial services are constantly evolving poses a risk assessment challenge. How do you support the creation of high-value products while ensuring regulatory compliance?

You will learn how to:

- Find a "parallel paradigm" when no existing regulatory guidance exists
- Foster effective cross-functional collaboration among teams
- Regularly reassess risk throughout the development process

REGULATORY  
UPDATES  
4:00PM-4:50PM

### **Fewer Open and Shut Cases: New Pain Points Around Account Closures**

In 2023, the Consumer Financial Protection Bureau received 2,941 complaints about bank savings and checking account closures, about a 50% increase from 2022 and nearly double the number in 2020. Our panelists will tackle how your policies and procedures should address this greater scrutiny, including guarding against allegations of AI bias; mitigating fraud risk when account closures are made more difficult; doing business in states with “anti-woke” laws affecting banking; and guarding against reputational risk from account closures.

**Presenter:**

Carolina Spalding, Head of Global Financial Crimes Policy Adherence and Engagement, **Citi**

SANCTIONS  
4:00PM-4:50PM

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### **The Role of Technology in Transforming Sanctions Compliance**

Industry experts will discuss how they use technology to enhance sanctions compliance and reduce risk. They will explore current technological solutions in tracking data, screening, list management and risk mapping. They will explore what has been successful in meeting the complexity of current sanctions compliance expectations. This session will spotlight expanding AI opportunities in supporting sanctions compliance, including how to approach adoption and finding right balance between AI-driven solutions and human expertise.

NON-BANK  
INSTITUTIONS  
4:00PM-4:50PM

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### **Lightning Round: Big Ideas, Quick Takes**

Inspired by ESPN’s Pardon the Interruption, this session will leave you trying keep up with our experts as they dive into a fast-paced, dynamic discussion covering hot topics for non-bank institutions, all without skipping a beat.

4:50PM-6:00PM

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### **Networking Reception in Exhibit Hall**

# The Assembly Hollywood Program

## Day 2

Tuesday 4/29

8:00AM-5:20PM

**Registration Desk Open**

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8:00AM-5:20PM

**Exhibit Hall Open**

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8:00AM-9:00AM

**Networking Continental Breakfast in Exhibit Hall**

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9:00AM-9:05AM

**Opening Remarks**

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9:05AM-9:45AM

**Keynote Address with Q&A**

**Moderator:**

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**

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9:45AM-10:35AM

**In AI Alignment: The Emerging Consensus on Risks and Benefits of AI in Financial Services**

In response to the rapid developments in artificial intelligence, U.S. regulators and lawmakers have begun to identify areas of both concern and opportunity in sectors including financial services. This session will look at the likely pillars of AI regulation and legislation in the United States and how financial institutions can begin to prepare.

**Moderator:**

Craig Timm, Senior Director of Anti-Money Laundering, **ACAMS**

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10:35AM-11:05AM

**Networking and Refreshment Break in Exhibit Hall**

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11:05AM-11:35AM

**The ACAMS Great Debate: Should Criteria for “High Risk” Institutions and Customers Be Reassessed?**

Identifying risk is foundational to a BSA/AML program. With that in mind, regulators have identified criteria that financial institutions should consider when determining their risk appetite. Proponents of the current approach point out that examiners are specifically instructed that “banks that ... reasonably manage and mitigate risks ... are neither prohibited nor discouraged from providing banking services to any specific class or type of customer.” But in an industry undergoing rapid transformation, some suggest that new regulatory approaches to risk are needed. One topic. Two opposing opinions. Who will get your vote?

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11:45AM-12:15PM

**Special Presentation with Q&A**

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12:15PM-1:30PM

AML OPERATIONS  
1:30PM-2:20PM**Networking Luncheon**

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**Some Assembly Required: Building and Managing Next-Gen Compliance Teams**

As AFC compliance professionals face the demands of a new era in financial crime, it's crucial to rethink the skills needed to deal with both emerging threats and advancing technologies. Should recruiting strategies change to place greater emphasis on technical skills? Is enhanced QA needed when less tech-oriented teams begin to use more technology? Our panel will discuss how they have seen this evolution across teams at their institutions and how they expect the evolution to continue.

You will learn how to:

- Identify the types of roles where change management and project management skills may now be needed
- Recruit and train team members with analytical and technical backgrounds
- Adapt your leadership skills to manage and inspire evolving teams

**Moderator:**

David Clark, CAMS, Senior Financial Crime SME and Change Manager Group Compliance, **ING**

**Presenter:**

Tyler Reynolds, CAMS, Executive Director Enterprise Financial Crimes Compliance, BSA/AML Officer, **U.S. Bank**

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**FIRESIDE CHAT - Mapping Global Hot Spots: Terrorism Financing in the Middle East, Gulf and Africa**

The 2023 Hamas attacks on Israel showed that longstanding terrorist organizations will not be relegated to the shadows even as new threats arise. At the same time, the collapse of fragile jurisdictions around the globe creates fertile soil for these groups and new ones to thrive.

You will learn how to:

- Identify the main sources of funds for terror groups in the Middle East, Gulf and Africa
- Combat terrorism financing without disrupting legitimate humanitarian aid efforts
- Increase CTF capacity through partnerships such as the international task force of FIUs formed after the Hamas attacks

**Moderator:**

Colby Adams, Managing Editor, **ACAMS moneylaundering.com**

**Presenters:**

Edmund Fitton-Brown, Senior Advisor, **Counter Extremism Project (CEP)**

Dr. Hans-Jakob Schindler, Senior Director, **Counter Extremism Project (CEP)**

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**Audit and Sanctions Evasion: Looking Through a Risk-Based Lens**

The audit process plays an essential role in ensuring that policies, procedures and controls are working effectively. This session will highlight the regulatory expectations of the sanctions audit, including how to optimize the sanctions audit process to ensure models work as intended, evolve to identify new risks, and appropriately influence risk management processes. It will take a deep dive into the intersection between the audit process, sanctions evasion risks and risk-based decision making. This will include how current approaches may need refinement to reflect evolving typologies, risk assessments, and enhanced regulatory focus on beneficial ownership and trade control expectations.

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**Networking for Caribbean and Latin American Professionals**

Beautiful beaches and warm waters are pretty much the norm for attendees from offshore financial centers, so this networking session provides a friendly alternative to fun in the sun: Friendly socializing with your neighbors (figuratively speaking.) Catch up with old acquaintances – and make some new ones – at this assemblage of professional peers from the dynamic community of AML and compliance specialists hailing from the vibrant world of financial centers from the Caribbean and Latin America.

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**ACAMS TALK - You Only Need a Spreadsheet to Fight Child Sexual Exploitation**

Big ideas, shorter sessions, smaller audiences. That's an ACAMS Talk. Come for stimulating intellectual exploration; leave with plenty to think and talk about.

Child sexual exploitation is the crime that financial institutions most want to help put an end to. But where there's the will, it's still sometimes hard to find the way. Banks' usual detection mechanisms are not suited to catch the small-value, low-frequency transactions most often involved in these crimes. In this ACAMS Talk, Karim Rajwani will tell the true story of how a financial institution was able to help identify cases of child sexual exploitation with nothing more complicated than an Excel spreadsheet. A former banking executive, Karim has used his experience in financial crime technology to help nonprofits, financial institutions and law enforcement develop strategies for tackling human trafficking and child sexual exploitation.

**Presenter:**

Karim Rajwani, Board Member, **Canadian Centre for Child Protection Inc.**

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**ACAMS TALK - An Elon Musk Deepfake, Crypto Scams and Millions in Losses: How a DA's Office Is Fighting Back**

Big ideas, shorter sessions, smaller audiences. That's an ACAMS Talk. Come for stimulating intellectual exploration; leave with plenty to think and talk about.

In fall 2023, the Brooklyn district attorney's office established a Virtual Currency Unit to handle complaints involving cryptocurrency scams. In one case targeting Brooklyn's Russian community, victims described Facebook ads featuring a deepfake video of Elon Musk encouraging people to invest in cryptocurrency. Because the suspected perpetrators were believed to be outside of U.S. jurisdiction, it was especially challenging for a local prosecutor's office to prosecute individual bad actors or recover stolen funds. But that didn't mean they couldn't help protect the scammers' future targets. In this ACAMS Talk, assistant district attorney Alona Katz, chief of the Virtual Currency Unit, will describe how her team disrupted this criminal operation by identifying and seizing a network of 70 linked scam domains.

**Presenter:**

Alona Katz, Chief of the Virtual Currency Unit, **Brooklyn District Attorney's Office**

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CONFERENCE  
INTERACTIONS  
2:00PM-2:25PM

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### **Networking for Risk Professionals**

Of course there's no such thing as a risk-free environment, but this networking time is as close as it comes. Our expert facilitators will guide the conversation in a smaller, more relaxed setting. You can ask questions and share insights with your professional peers – because career growth comes from both what you know and whom you know.

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2:20PM-2:50PM

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### **Networking and Refreshment Break in Exhibit Hall**

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GLOBAL ISSUES  
2:50PM-3:40PM

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### **International Developments in Digital Assets: Latin America and the Caribbean**

In both Latin America and the Caribbean, digital assets are growing in importance because of their potential to address longstanding financial challenges. Both regions share an interest in expanding financial inclusion to unbanked populations and lowering the cost of remittances. Especially in the Caribbean, de-risking by correspondent banks has fueled an interest in alternative ways of moving funds. This session will address both the expanding promise and potential AML/AFC/CTF pitfalls of alternative currencies.

**Moderator:**

Chelsea Carrick, Reporter, **ACAMS moneylaundering.com**

**Presenter:**

Kate Eyerma, Global Chief Compliance Officer, **Blockchain.com**

Darpan Khanna, Global Head of Compliance Strategy, **Kraken Digital Asset Exchange**

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DATA MANAGEMENT  
2:50PM-3:40PM

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### **Data Without Borders: Bridging Silos and Preserving Privacy with Federated Learning**

In discussions of how to take machine learning to the next level, the two most common concerns are privacy and data security. In this deep-dive session, our panelists will explain what federated learning is and how it can overcome these obstacles.

You will learn how to:

- Add data to improve your machine learning training without requiring data sharing
- Bridge internal and external data silos
- Apply federated learning to traditional data analysis that does not involve training large models

**Presenter:**

Markus E. Schulz, Deputy Global Head FCC & Head Change Management for Financial Crimes Compliance (FCC), **ING**

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CONFERENCE  
INTERACTIONS  
2:50PM-3:40PM

### **Discussion and Networking for Women in AFC**

A compliance career requires grit, ambition and intelligence to get ahead. And women leaders in the AFC field may face a unique set of challenges such as managing work/life balances, corporate cultures that might view assertive female leaders negatively, and difficulty in finding supportive mentors and peers. Join us at this informal discussion and networking session, where the only agenda is to meet like-minded colleagues, tackle common career hurdles for women in AFC and, of course, share strategies for overcoming them.

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FINANCIAL CRIME  
TRENDS  
2:50PM-3:40PM

### **Peer Pressure: The Evolution of P2P Payments**

Now that babysitters, valets and street-corner performers have QR codes for payments, it's safe to say that peer-to-peer payments have been fully integrated into the financial system. But this ubiquity has brought greater regulatory interest. Is your organization prepared for the scrutiny?

You will learn how to:

- Assess the changing landscape of P2P payment systems, including developments in countries outside of the U.S.
  - Anticipate where the greatest risks – and potential regulatory actions and changes – are likely to come from
  - Evaluate your payment policies and procedures, especially regarding potential fraud, scams and elder abuse
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SARS  
2:50PM-3:40PM

### **DEEP DIVE - The Devil is in the Details: The Importance of Data to a SAR Narrative**

When crafting a Suspicious Activity Report that is useful to law enforcement, an often-overlooked aspect is the importance of data points that may not directly relate to the narrative. In this session you will learn how to identify the types of data that can help law enforcement connect a SAR to the targets of other investigations.

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SANCTIONS  
2:50PM-3:40PM

### **Your Sanctions Compliance Program in Operation: Topics That Need Your Consideration**

Beyond headline-making developments, the past year has also seen several legislative changes and shifts of regulatory focus that will impact your day-to-day operations. Our panel of senior practitioners will unpack the priority topics that need your attention. They will explore implications arising from the doubling of the statute of limitations and its impact for records retention, internal investigations, voluntary self-disclosures, representation and warranties, and deal due diligence. They will also analyze the latest blocking and reporting developments, plus internal control frameworks for how institutions manage affiliates and third parties.

**Presenter:**

Jessica Bartlett, Managing Director, Global Head of Financial Crime Legal, **Barclays**

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3:40PM-4:10PM

### **Networking and Refreshment Break in Exhibit Hall**

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AML OPERATIONS  
4:10PM-5:00PM

### **On the Same Page: Aligning AML and Fraud Operations**

Although fraud and AML departments are often siloed, many financial institutions are recognizing the need for better communication and cooperation to effectively combat all forms of financial crime. Learn how to do this without combining resources into one FRAML unit, creating processes that give fraud and AML teams better line of sight into each other's operations – and the operations of wrongdoers who seek to exploit them.

**Moderator:**

Joby Carpenter, Global SME, Cryptoassets and Illicit Finance, **ACAMS**

**Presenter:**

Raymond Olsen, Senior Vice President Director Fraud Management, **Wintrust Financial Corporation**

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### **Fighting the Fentanyl Trade with Public-Private Partnerships**

Fentanyl abuse is responsible for at least two-thirds of all opioid overdose deaths in the U.S. and Canada – more than 75,000 people, according to the latest figures available. But the profits generated are believed to be in the billions of dollars. The laundering of these illicit funds presents a heightened risk to regulated entities and is a national priority for many countries. In this session, agencies on the front line of this fight will explain how financial institutions can help law enforcement detect and deter those dealing in death.

**Moderator:**

Dr. William Scott Grob, CAMS-FCI, CGSS, Director - Research and Analysis, **ACAMS**

**Presenter:**

Nick Annan, Senior Vice President/Director, Financial Intelligence Unit and Corporate Investigations, **Truist Financial Corp.**

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### **DEEP DIVE - Combatting Export Controls Evasion: Investigative Techniques, Fresh Intelligence and Best Practices**

In the wake of Russia's invasion of Ukraine, dual-use goods exports from Western Europe and the U.S. have been subject to greater scrutiny in an effort to keep them out of the hands of the Russian military. Sanctioned entities like Russia often use complex networks to circumvent export controls and obtain dual-use goods. This deep-dive session will introduce attendees to advanced investigative techniques to detect export-control evasion.

You will learn how to:

- Identify dual-use goods
- Highlight areas of geographic concern
- Leverage import records

**Presenter:**

Christopher Ruzich, CAMS, Executive Director, **Wells Fargo**

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DATA MANAGEMENT  
4:10PM-5:00PM

### **Problem Solving in the Tech Sandbox: The Importance of Good Data**

Dive into the Sandbox session and discover the thrill of collaborative problem-solving! This dynamic event harnesses the power of peer knowledge and diverse insights to tackle specific AFC challenges head-on. Guided by a panel of experts, attendees are energized as they break into small groups tasked with solving the following challenges:

- How can I help ensure good data hygiene, especially at a smaller institution?
- How can I leverage digital identity to streamline identity verification?

After the issues are introduced, ideas ignite and innovation flourishes during a 10-minute brainstorming cycle. The session culminates with groups sharing their breakthrough solutions and the facilitators sharing their insights, leaving everyone inspired and ready to implement new strategies.

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SARS  
4:10PM-5:00PM

### **DEEP DIVE - Writing SARs for Fraud Cases**

In most financial institutions, the investigators who uncover fraud are not the ones submitting the SAR filing. In this session, representatives from FIUs and law enforcement will discuss what makes a good SAR for cases involving fraud.

You will learn how to:

- Recognize the types of activities that might indicate a fraud SAR needs to be filed
- Identify important data that may be missing from an internal referral and help other teams recognize the kinds of information they may not realize is useful

#### **Presenter:**

Angela Ihde, Bank Secrecy Act Officer, **Mission Federal Credit Union**

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4:10PM-5:00PM

### **Discussion and Networking for Sanctions Professionals: Ask the Experts**

In this small-group session, pose your questions and get detailed answers from our trio of top-flight sanctions experts. The more intimate setting will allow for deeper interactions with both facilitators and fellow attendees. In addition to gaining depth of knowledge about the current sanctions environment, you'll also broaden your professional contacts.

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5:15PM-6:15PM

### **Networking Reception at Portico at Diplomat Landing**

# The Assembly Hollywood Program

## Day 3

Wednesday 4/30

|                 |  |
|-----------------|--|
| 8:00AM-11:15AM  | <b>Registration Desk Open</b>  |
| 8:00AM-11:15AM  | <b>Exhibit Hall Open</b>   |
| 8:00AM-9:00AM   | <b>Networking Continental Breakfast in Exhibit Hall</b>  |
| 9:00AM-9:05AM   | <b>Opening Remarks</b>   |
| 9:05AM-9:55AM   | <b>The Goal: How FIs Can Defend Against Trafficking During the World Cup</b><br><b>Presenters:</b><br>Frank P. Calestino, Senior Vice President, Financial Crime Analytics (FCA), Global Financial Crimes, <b>Bank of America</b><br><br>Rafi Aliya Crockett, CAMS, Director, Financial Intelligence Unit, <b>Polaris</b>  |
| 10:05AM-10:55AM | <b>Special Presentation: Sanctions, Geopolitics, and the impact on Global Compliance Programs</b><br><br>The intersection between sanctions, geopolitics and financial crime is more complex than ever and can create huge challenges for AFC professionals. This special presentation will examine the major sanctions and geopolitical trends that are anticipated to evolve during 2025 and their impact on economic and trade relationships. It will conclude with analyzing the related impact for organizations, global compliance programs, and overall approaches to financial crime prevention.<br><br><b>Moderator:</b><br>Dr. Justine Walker, Head of Global Sanctions and Risk, <b>ACAMS</b> |
| 10:55AM-11:25AM | <b>Networking and Refreshment Break in Exhibit Hall</b>  |

11:25AM-12:25PM

## **Breaking News: ACAMS MLDC Presents the Latest Developments in AML/AFC**

Putting together an ACAMS conference takes months of planning, but news keeps happening even after the program is developed. So this session, presented by ACAMS moneylaundering.com, is dedicated to covering everything we didn't know about when we put together the ACAMS Las Vegas lineup. No matter the late-breaking topics, our all-star authoritative panelists will be able to address them – from the latest legal/regulatory actions to whatever the world's fraudsters are up to now. Got questions? They've got answers.

### **Moderator:**

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**

### **Presenters:**

Kelly Cooper, CAMS, Executive Director, Global Head of KYC Policy and Advisory, **JPMorgan Chase & Co.**

Dan Stipano, Partner, **Davis Polk & Wardwell LLP**

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12:25PM-12:30PM

## **Closing Remarks**