

Day 1

Tuesday 11/21

8:00AM-9:00AM	Registration and Morning Tea
9:00AM-9:15AM	Welcome Remarks Caryn Leong, CAMS, Regional AML Director – APAC, ACAMS
9:15AM-10:25AM	Regulatory Update In this session, experts on Hong Kong’s regulatory regime will give their thoughts on topics with the most day-to-day relevance for compliance professionals. Expect to hear about stepped-up efforts to help fight fraud, including the new Anti-Scam Consumer Protection Charter; what “data-driven supervision” means for your institution; new regulatory proposals for the virtual asset industry; and more. Moderator: Caryn Leong, CAMS, Regional AML Director – APAC, ACAMS Presenters: Stewart McGlynn, Head, Anti-Money Laundering and Financial Crime Risk, Hong Kong Monetary Authority Joyce Pang, Associate Director and Head of Anti-Money Laundering, Intermediaries, Securities and Futures Commission
10:25AM-10:55AM	Networking and Refreshment Break
10:55AM-11:55AM	Virtual Assets, Actual Dilemmas Hong Kong’s new regulatory regime for virtual assets poses both new challenges and new opportunities for banks and Virtual Asset Service Providers. <ul style="list-style-type: none">· Identify similarities between VASPs and providers of new payment products and use them to craft compliance policies and procedures· Create “Know Your Customer’s Customer” protocols for VASPs· Modify transaction monitoring systems for the unique needs of VASPs Moderator: Henry Yu, Chief Compliance Officer, Airstar Bank Presenters: Sean Lee, Senior Advisor, Crypto Council for Innovation Gilbert Ng, Director, Association of Blockchain Compliance Professionals

11:55AM-12:25PM

Special Presentation

Charles Fung, Superintendent, Money Laundering Expert Cadre, **Hong Kong Police Force**

12:25PM-1:25PM

Networking Luncheon

1:25PM-2:25PM

Compliance and 'Commodities of Concern': Identifying Export Control Evasion

Though it's often conflated with sanctions enforcement, fighting export control evasion is a topic worthy of consideration on its own.

- Apply Customer Due Diligence measures instead of transaction monitoring to combat export control evasion
- Find red flags for suspicious activity, including comparing transaction volumes before and after sanctions
- Cooperate across borders with branches of your own financial institutions to help identify export control evasion

Moderator:

Lynn Li, CAMS-Audit, AML Director – Greater China, **ACAMS**

Presenters:

Ajay Budhrani, CAMS, Head of Compliance Advisory, for Consumer, Private and Business Banking, Asia, **Standard Chartered Bank**

Mike Kung, Executive Director and Senior Counsel, **Goldman Sachs**

2:25PM-3:25PM

What to do About Data? Special Privacy Considerations in Hong Kong

Conflicting international laws concerning data privacy put global financial institutions in a difficult position. Hong Kong's own data protection law can also pose a challenge to information sharing. Our experts will share ways to resolve these issues.

- Evaluate how the Hong Kong data protection law, which limits ability to export data about individuals, affects your financial institution
- Overcome the data privacy challenges of cross-border AML investigations
- Resolve the apparent conflict between the use of KYC technology and data privacy requirements

Moderator:

Esther Tsang, Executive Director, **Goldman Sachs**

Presenters:

Carolyn Bigg, Partner, **DLA Piper Hong Kong**

Michele Fung, General Manager, **Lianlian International Co. Ltd**

3:25PM-3:55PM

Networking and Refreshment Break

3:55PM-4:55PM

Sanctions: Charting a Compliance Course Amid Conflict

The sanctions environment in Hong Kong is among the most complex in the world. Our experts will help you navigate it in this session.

- Map the rules of often-conflicting foreign jurisdictions to your business operations as part of a sanctions risk assessment
- Maintain sanctions compliance while keeping in mind customer experience and profitability considerations
- Leverage the latest technological innovation to take your sanctions compliance program to the next level

Moderator:

Maggie Qiu, Anti-Financial Crime Professional, Co-Communications Director, **ACAMS Hong Kong Chapter**

Presenters:

Danny Tsui, CAMS, CGSS, Senior Sanctions Compliance Manager

Nick Turner, CAMS, Managing Associate General Counsel, Global Financial Crimes Legal Advisory, **HSBC**

4:55PM-5:00PM

Closing Remarks

Lynn Li, CAMS-Audit, AML Director – Greater China, **ACAMS**