

Day 1

Wednesday 11/16

8:00AM-9:00AM	Registration and Morning Tea
9:00AM-9:15AM	Welcome Remarks Hue Dang, CAMS-Audit, Global Head of New Ventures and Senior Asia Pacific Leader, ACAMS
9:15AM-10:25AM	Regulatory Roundtable: Insights from Top Regulators and Experts <ul style="list-style-type: none">· Reviewing the latest regulatory developments concerning oversight of virtual assets and cryptocurrency· Leveraging FinCrime tools and FinTech to fight environmental crime, a concerted public-private effort· Surveying AML-related fines and trends in the region· Examining the continuing repercussions of the U.S. Anti-Money Laundering Act of 2020 for Hong Kong financial institutions and other companies in the region <p>Moderator: Hue Dang, CAMS-Audit, Global Head of New Ventures and Senior Asia Pacific Leader, ACAMS</p> <p>Speakers: Prof. Lapman Lee, Professor of Practice (ESG, Fintech, Governance), Hong Kong Polytechnic University</p> <p>Stewart McGlynn, Head, Anti-Money Laundering, Hong Kong Monetary Authority</p> <p>Jill Wong, Partner, Howse Williams</p>
10:25AM-10:45AM	Morning Break

10:45AM-11:55AM

Between a Rock and a Hard Place: Navigating a Unique Sanctions Environment in Hong Kong

- Reviewing lessons learned from recent sanctions enforcement actions
- Examining how global sanctions (including Russia sanctions and other high risk jurisdictions) affect Hong Kong financial institutions, with their many touchpoints with China
- Horizon scanning: Discuss emerging trends or risks and changes in the regulatory landscape

Moderator:

Caryn Leong, CAMS, AML Director – APAC, **ACAMS**

Speakers:

Sasha Kalb, CAMS, Director, Financial Crimes Legal, **Barclays**

Maggie Qiu, Regional Head of Sanction Compliance, Greater China and North East Asia, **Standard Chartered Bank**

Nick Turner, CAMS, Managing Associate General Counsel, Global Financial Crimes Legal Advisory, **HSBC**

David A. Wolber, Registered Foreign Lawyer (New York State) and Of Counsel, **Gibson, Dunn & Crutcher**

11:55AM-12:25PM

Special Presentation: Regulatory Perspectives on Emerging Anti-money Laundering Challenges

Joyce Pang, Associate Director and Head of Anti-Money Laundering Unit, **Securities and Futures Commission**

12:25PM-1:25PM

Networking Luncheon

1:25PM-2:25PM

Virtual Assets/Fintech: Digital Drivers of Change

- Forecasting the requirements and likely effects of the Hong Kong VASP licensing regime scheduled for March 2023
- Comparing the red flags for money laundering when Virtual Assets are traded via an intermediary or a peer-to-peer system
- Discussing new product development, innovation and offerings e.g. security token offering (STO) and the impact to the Financial Services industry and AFC Practitioners

Moderator:

Victor Yim, Head of FinTech, **Cyberport Hong Kong**

Speakers:

Ken Lo, Co-Founder and Chief Strategy Officer, **HKbitEX**

Gary Tiu, Executive Director and Head of Regulatory Affairs, **BC Technology Group**

Albert Yip, Chairman, **Syndicate Capital**

2:25PM-2:30PM

5 mins break

2:30PM-3:30PM

Transaction Monitoring/KYC/Technology: Data as a Difference-Maker

- Assessing the shift from rule-based transaction monitoring scenarios to behavioural analysis
- Analysing the challenges of data compliance including how to meet the “Garbage In, Garbage Out” challenge posed for RegTech in transaction monitoring data
- Outlining technology solutions for mitigating KYC challenges in an increasingly digital environment

Speakers:

Michele Fung, General Manager, **Lite Pay Company Limited, A LianLian Digitech Company**

Tony Mak, Head of Financial Crime Compliance Department, **Industrial and Commercial Bank of China (Asia)**

Esther Tsang, Head of KYC APAC - Financial Crime Compliance, **Goldman Sachs**

3:30PM-3:50PM

Afternoon Break

3:50PM-4:50PM

Forward-Facing Risk Management

- Outlining the knowledge, skills and resources needed to implement AML best practices, especially in areas requiring technological proficiency
- Finding ways to incorporate new risk-management priorities into legacy compliance systems and better allocate resources to higher value activities
- Understanding the convergence of financial institutions' ESG efforts and their AML and AFC performance

Moderator:

Hue Dang, CAMS-Audit, Global Head of New Ventures and Senior Asia Pacific Leader, **ACAMS**

Speakers:

Millie Pau, Chief Compliance Officer, **WeLab Bank**

Joseph Wong, Head of Compliance, **CICC International**

Lawrence Yeung, Honorary Treasury, **RegTech Association of Hong Kong**

4:50PM-5:00PM

Closing Remarks

Caryn Leong, CAMS, AML Director - APAC, **ACAMS**