

Pre-Conference Training

Sunday 9/26

8:00AM-9:00AM	CGSS, CAMS Examination Preparation Seminar and Workshop A Check-in (Separate registration required.)
9:00AM-6:30PM	Main Conference Registration Desk Open
9:00AM-5:00PM	CAMS Examination Preparation Seminar Led by CAMS-certified instructors, the CAMS Examination Preparation Seminar reviews critical information in an easy-to-follow format that prepares you to sit for the CAMS Examination. Benefit from this interactive study session as AML experts walk you through core content areas and practice questions. To register for a live-preparation seminar please contact salesrequests@acams.org . Instructor: Peter D. Wild, FCA, CAMS-Audit, Founder, Wild AML Solutions LLC
9:00AM-5:00PM	CGSS Examination Preparation Seminar Earn your Certified Global Sanctions Specialist (CGSS) credential, which will equip you with a global credential that represents a serious commitment to compliance with sanctions regulations. To be eligible for the CGSS Exam you must have 40 qualifying credits based on education, other professional certification, and/or professional experience in the sanctions or financial crime compliance field. To register for a live-preparation seminar please contact salesrequests@acams.org . Instructor: Kenneth B. Simmons, CAMS-Audit, CAMS-FCI, Chief Executive Officer, Compliance & AML Solutions

9:00AM-12:00PM | Workshop A: SAR Case Study: From Suspicion to Conviction

Filing SARs is a cornerstone of successful AFC programs, but compliance professionals often know much less about what comes after that. In this interactive workshop, our experts will take attendees through the many paths a SAR may take— from editing the narrative to working with law enforcement following up on the lead. Group exercises may include everything from simulating depositions to assuming the role of grand juror considering evidence. Join this comprehensive and expert-led workshop to follow the paths of SARs as they play their lead role in the AFC universe.

Key Areas Covered

- Standardizing SAR protocols in areas such as alert responses, investigation/documentation and filing decision-making to ensure clarity and quality of narratives
- Analyzing law enforcement SAR review procedures to gain insights into issues such as requests for more information, data omissions and setting collaboration rules
- Simulating escalation of SAR by law enforcement to outline prosecutorial processes such as depositions, grand jury testimony and trial proceedings

Who Should Attend

- Compliance Team Leaders
- FIU Personnel
- Legal Advisors
- Risk Management Officer

Moderator:

Lauren Kohr, CAMS, CAMS-FCI, Senior AML Director - Americas, **ACAMS**

Facilitators:

Dennis M. Lormel, CAMS, President and Chief Executive Officer, **DML**

Associates Meryl Lutsky, Esq., Vice President & General Counsel, Chief Compliance Officer, **T&M USA, LLC** Dan Stipano, Partner, **Davis Polk &**

Wardwell LLP

12:00PM-1:00PM | Workshop B and C Check-in

(Separate registration required.)

Workshop B: In It Together: Building Stronger Public-Private Partnerships

Utilizing public-private partnerships to combat financial crime would seem a clear win-win, combining financial institutions' eagle-eyed AML with law enforcement's investigative prowess. But the potential is often stymied by everything from contrasting cultures to divergent objectives to discordant protocols for information sharing. This workshop tackles those issues head-on – demonstrating how to build bridges that span the public-private divide. Kicking off with authoritative analysis of effective partnering, attendees then split into cross-sector, expert-led teams to examine cases and detail how public-private partnerships can optimize investigative outcomes. The insights continue with group presentation to fellow attendees. The bottom line: With AML, information is a valued asset and resources are often stretched. This workshop shows how public-private partnerships can leverage both to fight financial crime.

Key Areas Covered

- Detailing challenges and opportunities in creating effective, sustainable and ongoing public-private partnerships
- Conducting deep-dive analysis of case studies to identify strategies to formalize frameworks to guide public-private collaborations and optimize investigative outcomes
- Sharing team results with fellow attendees to critique findings and facilitate discussion on incorporating public-private partnerships into existing anti-financial crime infrastructure

Who Should Attend

- Law Enforcement Officers/Agents
- Compliance Specialists
- FIU Team Members
- Regulators
- Legal Advisors
- Risk Managers

Facilitators:

Raymond Villanueva, Special Agent in Charge, **Homeland Security Investigations (HSI)**

1:00PM-4:00PM

Workshop C: Preparing AML, Fraud and IT for the Revolution in Regulation

It's time to join the Regtech revolution. Already a force to contend with, Regtech is gaining notable momentum as Covid accelerated the transition to digital-driven oversight. And its influence is set to soar, buoyed by the ascent of FinTech, escalating tech advances and rapidly rising regulatory expectations. But getting Regtech right requires an informed playbook and thoughtful game plan – which this workshop delivers. Whether it's mastering how blockchain and AI can combat fraud, recruiting highly skilled human capital or integrating Regtech into existing cultures of compliance, the time to act is now. Join Regtech experts and thought leaders at this interactive and eminently forward-thinking workshop.

Key Areas Covered

- Assessing recent Regtech developments in areas such as AI, machine learning and natural language processes to understand practical applications for strengthening compliance
- Conducting group exercises on Regtech strategic planning to determine issues such as institutional objectives, costs vs. benefits and metrics for measuring systemic performance
- Presenting results of group exercises to critique findings, facilitate dialogue with subject matter experts and analyze prospects for Regtech's compliance role going forward

Who Should Attend

- Compliance Managers
- IT Specialists
- AML Officers
- Regulators
- FIU Team Members
- Industry Consultants

5:00PM-5:30PM

ACAMS First-Time Attendee Orientation

5:30PM-7:30PM

Welcome Reception

Day 1

Monday 9/27

7:50AM-4:00PM	Registration Desk Open
7:50AM-4:00PM	Exhibit Hall Open
7:50AM-8:50AM	Networking Breakfast in Exhibit Hall
7:50AM-8:50AM	ACAMS Enterprise Breakfast (By invitation only)
8:35AM-4:00PM	Virtual Doors Open
8:50AM-9:00AM	Welcome Remarks Scott Liles, President and Managing Director, ACAMS
9:00AM-9:30AM	Keynote Address with Q&A
9:30AM-10:30AM	Regulatory Roundtable: News, Views and AML Previews from Top Financial Regulators <ul style="list-style-type: none">· Discussing significant regulatory initiatives in US and beyond to detail emerging compliance priorities in areas such as oversight effectiveness and beneficial ownership· Examining structural shifts in financial services sector to glean regulatory priorities regarding FinTech, cryptocurrencies and other trends tied to innovation and disruption· Reviewing proliferation of AML tools such as digitalization and Regtech to discern regulatory insights on potential impact on compliance systems, resources and required skills Moderator: Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, ACAMS Presenters: Suzanne Williams, Deputy Associate Director, Federal Reserve Board
10:30AM-11:00AM	Networking Break in Exhibit Hall

11:00AM-12:00PM

Senior Concerns: Strategies to Detect and Disrupt Elder Financial Exploitation

- Crafting transaction monitoring and KYC systems for elderly and vulnerable populations to detect and resolve red flags such as aberrant spending or atypical asset transfers
- Partnering with local authorities and social service organizations to enhance staff training and respond robustly to shifts in elder fraud trends such as Covid-related scams
- Studying FinCEN and CFPB guidance on filing elder financial exploitation SARs based on discrete fraud typologies such as scams by strangers vs. acquaintances and/or relatives

Moderator:Kara H. Suro, Managing Director, Fraud Risk Management, **Charles Schwab****Presenters:**

Christopher Conroy, Executive Assistant District Attorney & Chief of the Investigation Division, **New York County District Attorney's Office** Marcy Forman, CAMS, CGSS, Managing Director, Global Financial Crimes Investigations and Intelligence Head, **Citigroup** Susan J. Galli, CAMS, President, **Galli AML Advisory, LLC**

11:00AM-12:00PM

There Are No Small Sanctions: Managing the Risks at Community Institutions

- Detailing potential sanctions exposure in areas such as trade finance and wire transfers to develop risk-based programs for discrete institutional threats and vulnerabilities
- Creating robust controls to ensure changes in risk landscape such as new sanctions evasion schemes or Covid-related shifts in client supply chains are detected and resolved
- Merging disparate systems into cohesive program and acquiring needed IT capacity to enhance sanctions oversight and mitigate inefficiencies such as excessive false positives

Moderator:Sarah Beth Felix, CAMS, Founder and President, **Palmera Consulting****Presenters:**

Rebecca Schauer Robertson, CAMS-Audit, Senior Vice President, Director Financial Investigations Unit, **Atlantic Union Bank** Kenneth B. Simmons: CAMS-Audit, CAMS-FCI, Chief Executive Officer, **Compliance & AML Solutions** Elizabeth A. Slim, CAMS, Co-Chairwoman, **The Volkov Law Group, LLC**

11:00AM-12:00PM	<p>Next: Using New Technologies to Strengthen AML</p> <ul style="list-style-type: none">· Detailing “hyper-automation” concept to identify benefits of integrating disparate AI/machine learning systems, strengthen AML and facilitate automation of low-value tasks· Analyzing opportunities to leverage AML resources and enhance oversight functions such as KYC through secured data sharing technologies such as “permissioned” blockchains· Strengthening manual investigations with technologies such as data analytics and AI to reduce case resolution times and support risk mitigation decisions such as account exiting <p>Moderator: Clyde Langley, Vice President, Fraud Risk Management, Financial Crimes Risk Management, Charles Schwab</p> <p>Presenters: Patrick Dutton, Regional Head, Intelligence and Analytics, HSBC Khalid Hossain, CAMS, Audit Director – Global Financial Crime Prevention Audit, UBS Melissa Leeds, CAMS, Vice President, Global AML Risk and Scorecard Metrics, Citibank Karim A. Rajwani, CAMS, Senior Vice President, Chief Operating Officer, Scotiabank</p>
11:00AM-12:00PM	<p>Brokering Solutions: Strategies to Strengthen Compliance at Securities Firms</p> <ul style="list-style-type: none">· Reviewing guidance from oversight agencies to ensure systems conform to regulatory expectations in areas such as cybersecurity, fraud detection and adequacy of AML surveillance· Developing effective practices such as robust CIP and system testing to mitigate risks in areas including special purpose acquisition companies and foreign entity nominee accounts· Analyzing trends such as FinCEN’s effectiveness drive to devise responsive strategies to evolving regulatory priorities and address unique compliance challenges of securities firms <p>Presenter: Greg A. Ruppert, CAMS, Executive Vice President, FINRA</p>
11:00AM-12:00PM	TBA - Presented by Abrigo
11:00AM-12:00PM	TBA - Presented by LexisNexis
11:00AM-11:30AM	AML Innovations Presented by KX

11:30AM-12:00PM

Connection Corner - Networking for FinTechs

Want to expand your professional network? Then join like-minded colleagues at this networking session. Connection Corner brings together members of specific niches from across the highly diverse AML universe for contact creation, casual conversation and informal exchanging of ideas and interests. There's no agenda, and the sole learning goal is the names of new friends. Meet them at Connection Corner.

Facilitator:

Frederick Reynolds, Chief Compliance Officer, **BREX**

12:00PM-1:00PM

AML Knowledge Luncheon - Presented by WorkFusion

Seating is limited

12:00PM-1:00PM

Networking Lunch

1:00PM-2:00PM

20/20 Vision: Analyzing the AML Act of 2020

- Detailing consequential provisions of AMLA such as expanded whistleblowers rewards and protections to adjust internal policies and procedures as warranted
- Analyzing the expansion of enforcement and investigative authority of FinCEN as well as the authority of US courts to subpoena foreign institutions
- Assessing implications of expanded penalties under AMLA such as enhanced fines for repeat BSA violators and potential personal liability for convicted employees of financial institutions

Moderator:

John J. Byrne, Esq., CAMS, Executive Vice President, **AML RightSource, LLC**

Presenters:

Marina I. Adams, CAMS, Compliance and Privacy Officer, **Federal Reserve Bank of New York** Andrea B. Aronoff, U.S. Head of AML, Tax Evasion and Reputational Risk, **HSBC** Kelly Cooper, CAMS, Senior Vice President, **Citigroup** Dan Stipano, Partner, **Davis Polk & Wardwell LLP**

1:00PM-2:00PM

Generation Next: FinTech Faces the Future

- Reviewing growing impact of AI and machine learning on FinTech platforms to detail financial crime risks and AML implications in areas such as digital banking and data protection
- Reviewing regulatory trends such as OCC exploration of special purpose bank charters for FinTechs to identify potential implications in terms of AML and sanctions compliance
- Surveying emerging FinTech trends and business models such as neobanks and autonomous finance to assess potential risks and solutions for issues such as data protection and hacking

Moderator:

Gregg Fields, CAMS, Content Analyst, **ACAMS**

Presenters:

Thomas Bell, Payments and Regulatory Counsel, **Square** James A. Candelmo, CAMS, Chief Bank Secrecy Act and AML Sanctions Officer, **PNC** Alex Zuck, Senior Director, Product Strategy, Compliance, **Bureau van Dijk**

1:00PM-2:00PM

Law Enforcement Deep Dive: Will Your Subpoena Miss the Smoking Gun?

- Outlining data often not reflected on financial statements such as currency exchanges to highlight types of “hidden” transactions that can aid investigations
- Familiarizing investigators with non-account platforms that may contain relevant data such as general ledgers, third-party vendors and internal spreadsheets
- Sharpening subpoena language to enhance quality and value of data and accessing non-transactional intelligence such as EDD and high-risk customer reviews

Moderator:

Lauren Kohr, CAMS, CAMS-FCI, Senior AML Director - Americas, **ACAMS**

Presenters:

Edward V. Owens, Deputy Special Agent in Charge, **Homeland Security Investigations (HSI)** Evan Weitz, International Controls Executive, **Wells Fargo**

1:00PM-2:00PM

Time to Audit How You Do Audits?

- Reviewing legacy audit practices such as sampling to identify opportunities for utilizing advanced technologies such as data analytics to strengthen effectiveness and efficiency
- Updating audit practices as business models and product innovations evolve to ensure quality of harvested data, identify emerging risks and adjust governance models as warranted
- Acquiring required human resources and skills in areas such as artificial intelligence and data visualization to optimize value of audit findings to AML risk management across institution

Presenters:

Promise Nevers, CAMS, Associate Director - Financial Crimes Prevention, Quality Assurance - Compliance and Operational Risk, **UBS** James Vaughn, Senior Manager, Internal Audit, **MGM Resorts International** Peter D. Wild, FCA, CAMS-Audit, Founder, **Wild AML Solutions LLC**

1:00PM-2:00PM

TBA - Presented by Thomson Reuters

1:00PM-2:00PM

TBA - Presented by TRM Labs

1:00PM-1:30PM

AML Innovations Presented by TBA

1:30PM-2:00PM

Connection Corner - Networking for Law Enforcement

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2:00PM-2:30PM

Networking Break in Exhibit Hall

2:30PM-3:30PM

Hot Topics: Your Burning AML Questions – Asked and Expertly Answered

At this perennially popular session, attendees drive the discussion. Here's how it works: Prior to the conference, ACAMS will send a survey asking attendees to submit topics for consideration by our expert panelists. No compliance-related subject is off limits, from cybersecurity to sanctions, FinTech to FinCEN's effectiveness standard. At the lively session itself, our authoritative speakers field the questions, in an open forum that combines frank dialogue with an interactive exchange of analysis and insights. Here's a question to get things started: What would you like to know?

Moderator:

Rick A. Small, CAMS, Executive Vice President, Director Financial Crimes Program, **Truist Financial Corp.**

Presenter:

Phillippe Vollot, Chief Compliance Officer, Member of the Executive Board, **Danske Bank**

3:30PM-4:00PM

Special Presentation with Q&A

6:00PM-7:00PM

Networking Reception

Day 2

Tuesday 9/28

8:00AM-4:30PM	Registration Desk Open
8:00AM-4:30PM	Exhibit Hall Open
8:00AM-9:00AM	Networking Breakfast in Exhibit Hall
8:00AM-9:00AM	ACAMS Enterprise Breakfast
8:45AM-4:30PM	Virtual Doors Open
9:00AM-9:20AM	Opening Remarks and ACAMS Awards Ceremony
9:20AM-10:00AM	Special Presentation with Q&A
10:00AM-11:00AM	TBA
11:00AM-11:30AM	Networking Break in Exhibit Hall
11:30AM-12:30PM	<p>Mastering “Cryptocracy”: Safe Banking Strategies for the Era of Virtual Currencies</p> <ul style="list-style-type: none">· Developing 360-degree view of digital currencies to detail characteristics including investment product and decentralized payment system to identify and mitigate unique risks· Examining financial crime case studies involving virtual currencies from dark web drug trafficking to developing monitoring systems to detect red flags for potentially illicit activities· Detailing growth in crypto acceptance and usage to create risk management models for digital exchange platforms and transactions involving fiat currency conversion <p>Moderator: Rick McDonell, Former Executive Secretary, Financial Action Task Force (FATF), Executive Director, ACAMS</p> <p>Presenters: Brian Arrington, CAMS, Managing Director, AML Governance, CIBC US Caitlin Barnett, Director of Regulation and Compliance, Chainalysis Melissa Strait, CAMS, Chief Compliance Officer, Coinbase</p>

11:30AM-12:30PM

COVID Cases: Lessons Learned from Pandemic-Related Fraud

- Reviewing how the Department of Justice used data analysis and law enforcement partnering to prosecute hundreds of cases involving more than \$500 million in attempted fraud
- Detailing how an alleged COVID fraud ring used ID theft, sham firms and a web of bank accounts to launder \$21 million in fraudulent loans and acquire real estate and luxury goods
- Analyzing how EDD by Rhode Island's NewportBank's raised red flags for Paycheck Protection Program fraud and supported law enforcement investigators that led to charges

Moderator:

Bernard Williams Jr., CAMS, Director, Global Head of AML Governance and Compliance Training, **eBay**

Presenters:

Derek Benner, Managing Director, **Thomson Reuters Special Services (TRSS)** Jim Robnett, Deputy Chief, **Internal Revenue Service-Criminal Investigation (IRS-CI)** Kara H. Suro, Managing Director, Fraud Risk Management, **Charles Schwab**

11:30AM-12:30PM

Arrested Development? Law Enforcement Veterans on How to Steer Your Career

- Assessing professional skills to evaluate core competencies such as managing investigations and update credentials as warranted in areas such as data analytics
- Researching transferability of law enforcement skill sets to growing professions such as cybersecurity specialist, financial intelligence analyst and fraud investigator
- Keeping current with employment trends and building a personal brand through peer group engagement, social media visibility and expansion of professional networks

Moderator:

Dennis M. Lormel, CAMS, President and Chief Executive Officer, **DML**

Associates

Presenters:

Greg A. Ruppert, CAMS, Executive Vice President, **FINRA** Raymond Villanueva, Special Agent in Charge, **Homeland Security Investigations (HSI)** Evan Weitz, International Controls Executive, **Wells Fargo**

11:30AM-12:30PM	<p>Getting into the Weeds on Managing Cannabis Banking Risks</p> <ul style="list-style-type: none"> · Assessing legality of various marijuana-related entities across business footprint to detail vulnerabilities, resolve regulatory conflicts and establish institutional risk appetite · Creating risk management models that include tools such as MRB onboarding protocols, ongoing KYC and protocols to address ancillary exposure from non-MRB clients · Keeping oversight robust with refreshed client reviews, ongoing training and updating senior management to ensure uniform cannabis risk management policies across enterprise <p>Moderator: Dr. William Scott Grob, CAMS-FCI, CGSS, AML Director - Americas, ACAMS</p> <p>Presenter: Sarah Beth Felix, CAMS, Founder and President, Palmera Consulting Steve Kemmerling, Chief Executive Officer, CRM Monitor Meryl Lutsky, Esq., Vice President & General Counsel, Chief Compliance Officer, T&M USA, LLC</p>
11:30AM-12:30PM	TBA - Presented by Quantified
11:30AM-12:30PM	TBA - Presented by Oracle
11:30AM-12:00PM	AML Innovations
12:00PM-12:30PM	<p>Connection Corner - Networking for TBA</p> <p>Want to expand your professional network? Then join like-minded colleagues at this networking session. Connection Corner brings together members of specific niches from across the highly diverse AML universe for contact creation, casual conversation and informal exchanging of ideas and interests. There's no agenda, and the sole learning goal is the names of new friends. Meet them at Connection Corner.</p>
12:30PM-1:30PM	Networking Lunch
12:30PM-1:30PM	<p>AML Knowledge Luncheon - Presented by Quantexa</p> <p><i>Seating is limited</i></p>
12:30PM-1:30PM	<p>AML Knowledge Luncheon - Presented by TigerGraph</p> <p><i>Seating is limited.</i></p>

1:30PM-2:30PM

Sanctions Solutions: Deploying a Disciplined Approach to Fortify Oversight

- Conducting an institutional sanctions assessment to identify greatest risks in areas such as geographic exposure and business lines to rationalize resource allocation
- Evaluating required systemic technological support for OFAC screening and sanctions evasion detection to ensure effective vendor partnering and strengthen oversight
- Integrating sanctions oversight into existing culture of compliance with initiatives that include ongoing training, robust responses to regulatory changes and independent model testing

Moderator:

Marina I. Adams, CAMS, Compliance and Privacy Officer, **Federal Reserve Bank of New York**

Presenters:

Ravi De Silva, CAMS, Global Head of Compliance Testing for Financial Crimes and Automation, **Citi** Kirill Meleshevich CAMS, Global Head of AML, **WorkFusion** W. Charlie Phelps Jr., CAMS, Director, Financial Intelligence Unit & Sanctions Compliance, **eBay**

1:30PM-2:30PM

MSB Focus: Retooling AML for an Age of Disruption

- Scrutinizing discrete MSB business line risks to identify and resolve systemic challenges including adequacy of client data quality and agent monitoring and training
- Identifying potential impacts of FinCEN ANPRM on AML effectiveness to develop responses in areas such as SAR quality, risk assessment protocols and resource allocation
- Assessing effects of tech advances on MSB operating models and AML to identify opportunities to strengthen oversight and mitigate threats of de-risking by banking partners

Moderator:

Marilú Jiménez Esq., CAMS, Founder and President, **Financial Intelligence Network & Compliance Advisors (FINCAdvisors)**

Presenters:

Victor R. Cardona, CAMS, Senior Vice President, BSA Officer, **Golden State Bank** Alicia Hurd, Head of Compliance, Policy & Program Office, **MoneyGram International** Anthony Luis Rodriguez, CAMS, Chief Global Risk and Compliance Officer, Data Protection Officer, **AFEX** Bernard Williams Jr., CAMS, Director, Global Head of AML Governance and Compliance Training, **eBay**

1:30PM-2:30PM

Couples Counseling: Solving Legal and Regulatory Issues of Bank/FinTech Partnerships

- Ensuring FinTechs supporting bank lending develop content management systems to address regulatory compliance requirements of BSA, AMLA 2020 and OFAC screening
- Formalizing partnership governance to define responsibilities in areas such as data use, monitoring and KYC and achieve clarity on risk management expectations
- Creating response protocols for developments such as data breaches to ensure suspicious activities are flagged and resolved to satisfaction of both bank and FinTech partners

Presenters:

Joshua Blazer, Esq. CAMS, Chief BSA/AML Officer, **SoFi** Jas Randhawa, U.S. Compliance Officer, **Stripe** Frederick Reynolds, Chief Compliance Officer, **BREX**

1:30PM-2:30PM

The Faults In Our SARs – and How to Fix Them

- Analyzing internal alert issuance and resolution systems to confirm escalation decisions meet regulatory expectations and are in conformance with institutional risk tolerances
- Leveraging technologies such as AI to strengthen transaction monitoring, create efficiencies in data analysis and enhance detection of criminal networks and patterns
- Formalizing SAR filing decision protocols to achieve standardized practices across enterprise, mitigate issues such as “defensive” SAR volumes and validate non-filing outcomes

Moderator:

John J. Byrne, Esq., CAMS, Executive Vice President, **AML RightSource, LLC**

Presenters:

Brendan Brothers, Co-Founder and Product Specialist, **Verafin** Rebecca Schauer Robertson, CAMS-Audit, Senior Vice President, Director Financial Investigations Unit, **Atlantic Union Bank** William J. Voorhees, CAMS, Senior Vice President, BSA/AML Financial Intelligence Unit Manager, **Truist Financial Corp.**

1:30PM-2:30PM

TBA - Presented by CipherTrace

1:30PM-2:30PM

TBA - Presented by Thomson Reuters

1:30PM-2:00PM

AML Innovations

2:00PM-2:30PM

Connection Corner - Networking for TBA

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2:30PM-3:00PM

Networking Break in Exhibit Hall

3:00PM-4:00PM

TBA

4:00PM-4:30PM

Special Presentation

Moderator:

Dr. William Scott Grob, CAMS-FCI, CGSS, AML Director - Americas, **ACAMS**

Presenter:

Scott Rembrandt, Deputy Assistant Secretary for Strategic Policy, **U.S. Department of the Treasury**

4:30PM-5:30PM

Reception

Day 3

Wednesday 9/29

8:00AM-3:30PM	Registration Desk Open
8:00AM-3:30PM	Exhibit Hall Open
8:00AM-9:00AM	Networking Breakfast in Exhibit Hall
8:00AM-9:00AM	ACAMS Enterprise Breakfast
8:45AM-3:30PM	Virtual Doors Open
9:00AM-9:30PM	Special Presentation with Q&A Presenter: Jim Lee, Deputy Chief, Internal Revenue Service-Criminal Investigation (IRS-CI)
9:30AM-10:30AM	This Just In: Reviewing Late-Breaking AML and Financial Crime News In today's fast-paced world the news cycle runs 24/7, and that means a lot of important developments in the AML world happened after the ACAMS Las Vegas program went to press. So get up-to-date with this up-to-the-minute panel, where experts analyze AML news so recent it hasn't even happened yet. It's news you can use – and don't want to miss. Presenters: Markus E. Schulz, Global Head of Change Management Financial Crimes Compliance, ING Rick A. Small, CAMS, Executive Vice President, Director Financial Crimes Program, Truist Financial Corp. John E. Smith, Partner, Morrison & Foerster LLP
10:30AM-11:00AM	Networking Break in Exhibit Hall

11:00AM-12:00PM

Clear Answers on the Corporate Transparency Act's Beneficial Owner Registry

- Analyzing envisioned role of beneficial ownership registry to combat financial crimes such as tax evasion through use of shell companies and other anonymous vehicles
- Detailing FinCEN's progress on developing a secure registry and protocols for information access by law enforcement agencies and financial institutions
- Reviewing requirements for reporting companies such as exemptions for certain entities, defining "substantial control" and FinCEN timetable for implementation

Moderator:

Kelly Cooper, CAMS, Senior Vice President, **Citigroup**

Presenter:

Dan Stipano, Partner, **Davis Polk & Wardwell LLP**

11:00AM-12:00PM

Dancing with the SARs: The Choreography of SAR Narrative Writing

- Formalizing content requirements for SAR filing to ensure narrative includes vital information and addresses the Five W's and H: who, what, when, where, why and how
- Analyzing collected data to identify information of special relevance to law enforcement and regulators and draft outline based on investigative findings that led to SAR filing decision
- Following FinCEN guidance to write narrative with introduction summarizing reasons for filing, body with activity details and summary that includes contacts for follow-up inquiries

Moderator:

Gregg Fields, CAMS, Content Analyst, **ACAMS**

Presenter:

Christopher Conroy, Executive Assistant District Attorney & Chief of the Investigation Division, **New York County District Attorney's Office**

11:00AM-12:00PM	<p>Artificial Intelligence: AML Gets Smart</p> <ul style="list-style-type: none"> · Utilizing AI to generate granular data that identifies and quantifies client-specific risks and validates risk management decisions in areas such as onboarding and account exiting · Deploying technologies such as natural language processing and machine learning to enhance accuracy in areas such as beneficial ownership validation and suspicious activity patterns · Developing human expertise to ensure data quality, mitigate systemic risks such as algorithmic bias, and upgrade systems as technological or risk environments shift <p>Moderator: Melissa Leeds, CAMS, Vice President, Global AML Risk and Scorecard Metrics, Citibank</p> <p>Presenters: Andrew Davies, Vice President, Global Market Strategy, Financial Crime Risk Management, Fiserv Khalid Hossain, CAMS, Audit Director – Global Financial Crime Prevention Audit, UBS Karim A. Rajwani, CAMS, Senior Vice President, Chief Operating Officer, Scotiabank</p>
11:00AM-12:00PM	<p>Financial Crime Focus: Rethinking Public-Private Partnerships for a Digital Age</p> <ul style="list-style-type: none"> · Reviewing public-private information sharing tools such as SARs to identify issues such as fragmented data and limited multi-institution criminal network detection · Outlining uses for public-private collaborative analytics to discuss potential benefits such as centralized data-driven analysis and aid financial crime detection · Addressing challenges in digitalizing public-private collaboration such as cross-platform communication, data security and hacks and divergent sovereign privacy laws <p>Presenters: Marcy Forman, CAMS, CGSS, Managing Director, Global Financial Crimes Investigations and Intelligence Head, Citigroup Edward V. Owens, Deputy Special Agent in Charge, Homeland Security Investigations (HSI)</p>
11:00AM-12:00PM	TBA - Presented by CRDF
11:00AM-12:00PM	TBA
11:00AM-11:30AM	AML Innovations Presented by BAE Systems
12:00PM-1:00PM	Networking Lunch
1:00PM-2:00PM	TBA

1:00PM-2:00PM

Crooked from the Start: Cybercriminals and New Account Fraud Schemes

- Analyzing how cybercriminals aggregate breached data to sell “fullz” – full information on individuals – via the dark web and facilitate synthetic identity accounts for fraud and money laundering
- Detailing factors fueling rising new account fraud including pandemic-related migration to online banking, digital banking growth and the real-time movement of money
- Reviewing new account fraud cases to discover AML countermeasures such as rigorous ID document verification, biometric recognition, ID theft & synthetic identity alerts, consortium data and two-factor authentication

Moderator:

Clyde Langley, Vice President, Fraud Risk Management, Financial Crimes Risk Management, **Charles Schwab**

Presenters:

Tim Griskey, CAMS, Senior Director, Head of Financial Crimes, Fraud, Reputation, and Model Risk, Consumer Lending, **Wells Fargo** Elizabeth Roper, Chief, Cybercrime and Identity Theft Bureau, **New York County District Attorney's Office** Moyara Ruehsen, CAMS, Head of the Financial Crime Management Program, **Middlebury Institute of International Studies in Monterey, California**

1:00PM-2:00PM

What Are the Alternatives? Crafting AML for Alternative Payment Systems

- Outlining trends such as surge in cashless transactions amid Covid to detail AML issues and ensure effective collaboration between financial institutions and new payment platforms
- Profiling app-based services, e-wallets and other innovations to develop risk management strategies in areas such as real-time payments and cross-border transfers
- Exploring developments including wider cryptocurrency use and purchasing via social media to highlight need for robust AML by NPPs and FIs as alternative payment models evolve

Moderator:

William J. Voorhees, CAMS, Senior Vice President, BSA/AML Financial Intelligence Unit Manager, **Truist Financial Corp.**

Presenters:

Robyn Mitchell, CAMS, Senior Vice President, Risk Management, Chief Compliance Officer, **North American Bancard** W. Charlie Phelps Jr., CAMS, Director, Financial Intelligence Unit & Sanctions Compliance, **eBay** Markus E. Schulz, Global Head of Change Management Financial Crimes Compliance, **ING**

1:00PM-2:00PM

Sanctions Update: Analyzing Recent Policy Changes to Keep Compliance Current

- Reviewing recent sanctions against Russia by the Biden administration to identify trends such as sanctions designed to combat cyberattacks and disinformation campaigns
- Assessing most recent developments regarding Iranian sanctions to ensure conformance to changing dynamics related to JCPOA and uranium enrichment issues
- Examining sanctions involving China, Venezuela, Cuba and North Korea to detail potential risks such as exposure to phantom shipping and/or dual-use goods trading

Moderator:

Dr. Justine Walker, Head of Global Sanctions and Risk, **ACAMS**

Presenter:

John E. Smith, Partner, **Morrison & Foerster LLP**

1:00PM-2:00PM

The High-Risk Risk Based Approach: Mitigating AML Challenges of High-Risk Clients

- Evaluating high-risk products/sectors matching operating goals to identify unique AML issues and craft metrics-based models to quantify risks such as PEP exposure or sanctions
- Creating discrete onboarding and ongoing monitoring models for high-risk entities using tools such as targeted analytics to detect issues such as lapses in transaction transparency
- Formalizing systems for reviewing high-risk clients to ensure client profile reflects regulatory and business model changes and take actions such as account exiting as warranted

Moderator:

Hue Dang, CAMS-Audit, Vice President, Global Head of Business Development and New Ventures, **ACAMS**

Presenters:

David Caruso, Vice President, Risk Intelligence Data, **Steele Global** Lisa Grigg, CAMS, Chief Executive Enterprise Financial Crimes, **U.S. Bank** Marilú Jiménez Esq., CAMS, Founder and President, **Financial Intelligence Network & Compliance Advisors (FINCAdvisors)** Mia S. Lee, Deputy General Manager, **Woori Bank**

1:00PM-2:00PM

TBA

1:00PM-2:00PM

TBA

1:00PM-1:30PM

AML Innovations

2:00PM-2:30PM

Networking Break in Exhibit Hall

2:30PM-3:25PM

Recovering from Covid? How the Pandemic Changed AML

- Examining creative solutions for challenges of Covid pandemic including managing remote workforces and addressing issues such as KYC/CDD without interpersonal client contact
- Surveying lessons learned from Covid-related scams and fraud such as those involving Paycheck Protection Program to identify and mitigate new fraud risks
- Discussion on potential long-term reorientation of AML practices including acceleration of technological adoption, reduced cash transactions and robust cyber hygiene

Presenters:

Darcy Allen, Senior Director AML, Financial Services Compliance, **Walmart**
Lisa Grigg, CAMS, Chief Executive Enterprise Financial Crimes, **U.S. Bank**
Raymond Villanueva, Special Agent in Charge, **Homeland Security Investigations (HSI)** Phillippe Vollot, Chief Compliance Officer, Member of the Executive Board, **Danske Bank**

3:25PM-3:30PM

Closing Remarks

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**