

Day 1

Friday 5/20

9:55AM-10:00AM	Virtual Doors Open
10:00AM-10:05AM	Welcome Remarks Dr. William Scott Grob, CGSS, CAMS-FCI, Director of Research & Analysis, ACAMS
10:05AM-10:35AM	Special Presentation Dr. Justine Walker, Global Head - Sanctions, Compliance and Risk, ACAMS
10:35AM-10:40AM	Break
10:40AM-11:35AM	Laundering in Luxury: Identifying Money Laundering Involving High-End Real Estate, Big-Budget Construction Loans and Lavish Consumer Goods <ul style="list-style-type: none">· Understanding emerging money-laundering typologies in luxury goods and services and recognizing how AML programs can detect and resolve potential red flags· Identifying sources of funds when lending for construction and assessing what due diligence looks like in the real estate and construction industries· Exploring how to know your customers' customers: Understanding the KYC challenges posed when dealing with sellers of lavish consumer goods (art and antiques, yachts, precious metals and diamonds) Moderator: Dr. William Scott Grob, CGSS, CAMS-FCI, Director Research & Analysis, ACAMS Speakers: Claudia Brady, Head of Enforcement and Compliance, Cayman Islands Department of Commerce and Investment Kaisa de Bel, Policy Analyst, Global Financial Integrity Diane Jimenez, Supervisory Special Agent, Homeland Security Investigations (HSI)
11:35AM-12:05PM	Break

12:05PM-1:00PM

A Proliferation of Information: Lessons from the Cayman Islands’
Proliferation Financing Self-Assessment

- Analysing case studies on the misuse of legal persons in proliferation financing
- Identifying mechanisms used to evade proliferation financing sanctions
- Evaluating policies and procedures to combat proliferation financing, ensure compliance and manage risk, including case studies involving dual-use goods

Moderator:

Carolina Prelazzi, Global SME, Sanctions, Proliferation and AFC, **ACAMS**

Speakers:

Neil Bhatiya, Policy Advisor, Office of Terrorist Financing and Financial Crimes, **U.S. Department of the Treasury** Dr. Jonathan Brewer, Visiting Professor, **King’s College, London** Kai Ereme, Special Agent / Country Representative, **Homeland Security Investigations (HSI), U.S Embassy, Kingston, Jamaica, and the Cayman Islands**

1:00PM-1:30PM

Break

1:30PM-2:25PM

Stopping the Shell Game: AML Strategies to Fight Misuse of Legal Persons

- Identifying red flags, including distinguishing characteristics of entities established specifically to misuse legal personhood
- Examining the nexus of corruption and the misuse of legal persons
- Reviewing best KYC practices for beneficial owners, including the pros and cons of centralizing UBO registries

Moderator:

Glenna Smith, Managing Director, **Smith Compliance Consulting**

Speakers:

Cara Hennessy, Director of Compliance, **SIMUR** Justine Plenkiewicz, Principal, **Claritas Compliance** Sarah Wheeler, Head of AML Division, **Cayman Islands Monetary Authority (CIMA)**

2:25PM-2:30PM

Break

2:30PM-3:25PM

Regulatory Roundtable/Hot Topics

At this always-popular event, attendees set the agenda. Based on survey responses received by ACAMS before the conference, our expert panelists will address the compliance-related topics you want to hear about. No relevant subject is off limits. At the lively session itself, our authoritative speakers field the questions, in an open forum that combines frank dialogue with an exchange of analysis and insights. Be sure to fill out the survey to help get your burning questions before the panel

Moderator:Gregg Fields, CAMS, Content Analyst, **ACAMS****Speakers:**Sandra Edun-Watler, CAMS Chairman, Caribbean Regional Compliance Association, Head of Compliance and Reporting Services, **Mourant**Lakshmi Kumar, Policy Director, **Financial Global Integrity** Shawna Lake, CAMS, CGSS, Lawyer, **Law Offices of Shawna Lake**

3:25PM-3:55PM

Ask ACAMS

Moderator:Denise Pérez, CAMS, Head of Caribbean, **ACAMS**

3:55PM-4:50PM

Correspondent Banking: How to Obtain It, How to Maintain It

- Evaluating how AMLA 2020 and the Corporate Transparency Act affect correspondent relationships
- Identifying best practices for working with correspondent banks, including how to convey your business culture and communicate effectively.
- Assessing the challenges posed by the UK's issuance of its own sanctions after Brexit

Moderator:Elisabeth Lees, Senior Advisor, **Financial Transparency Advisors****Speakers:**

Joy Carter, Senior Manager, High Risk & Correspondent Banking,

FirstCaribbean International Bank Josh Drayton, Financial Crime and Asset Recovery Specialist, **CARICOM IMPACS** Tanya McCartney, Chief Executive Officer and Executive Director, **Bahamas Financial Services Board**

4:50PM-4:55PM

Closing Remarks

Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, **ACAMS**