# Pre-Conference Training

**Sunday 3/20**

<table>
<thead>
<tr>
<th>Time</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>8:00AM-9:00AM</td>
<td>CAMS Examination Preparation Seminar Check-in (Separate registration required.)</td>
</tr>
<tr>
<td>8:00AM-7:00PM</td>
<td>Main Conference Registration Desk Open</td>
</tr>
<tr>
<td></td>
<td><em>Bags, Lanyards and Badges courtesy of AI Oasis</em></td>
</tr>
<tr>
<td>8:00AM-9:00AM</td>
<td>Pre-Conference Workshop A (Separate registration required)</td>
</tr>
<tr>
<td>9:00AM-4:30PM</td>
<td>CAMS Examination Preparation Seminar</td>
</tr>
</tbody>
</table>

Led by CAMS-certified instructors, the CAMS Examination Preparation Seminar reviews critical information in an easy-to-follow format that prepares you to sit for the CAMS Examination. Benefit from this interactive study session as AML experts walk you through core content areas and practice questions. To register for a live-preparation seminar please contact salesrequests@acams.org.

**Instructor:**
Peter D. Wild, CAMS-Audit, Founder, **Wild AML Solutions**
WORKSHOP A: The Deeper Dive: Using Forensic Accounting to Solve Financial Crimes

At its most basic level, fighting financial crime is about discovery – whether it’s suspicious transactions, fraudulent activities or identities that don’t match documentation. And sometimes that requires the kind of in-depth investigation that forensic accounting provides. Led by experts in the field, attendees will explore forensic fundamentals, illustrating how it differs from tools such as audits and how it can support AML. Then teams will plunge into real-life deep dives where forensic accounting went beneath the surface to crack the case.  

Key Areas Covered
- Defining forensic accounting foundational concepts to differentiate from AML tools such as audit and determine best practices of planning and executing a forensic exam
- Managing forensic investigations including documentation to support law enforcement and potential prosecutions and rectify systemic gaps to deter recurrence of criminal activity
- Conducting interactive group exercises to deconstruct AML forensic investigations to identify best practices in areas such as unraveling complex transactions and quantifying results as well as a case study providing an accounting of the Riggs Bank relationships with Chilean President Augusto Pinochet

Who Should Attend
- Compliance Officers
- IT Specialists
- Audit Personnel
- FIU Members
- Law Enforcement
- Industry Consultants

Instructors:
- Victor R. Cardona, CAMS, Senior Vice President, BSA Officer, Golden State Bank
- Kareem Carter, Deputy Director, Global Operations Policy and Support, Internal Revenue Service - Criminal Investigations (IRS-CI)
- Bryant Moravek, CGSS, Director of AML and Sanctions Compliance, Kaufman Rossin & Co.

12:00PM-1:00PM

Workshop B Check-in (Separate registration required.)
1:00PM-4:00PM  WORKSHOP B: Orchestrating Change: The Art of Fine-Tuning Risk Assessment Systems

Risk assessments are a key instrument for fighting financial crime — and this workshop shows how to keep them in tune with today’s ever-changing challenges. Expert facilitators set the stage, sharing cutting-edge insights on the changing roles and requirements of risk assessments in a time of advanced technologies, financial innovation and growing regulatory demands. Attendees then break into groups and conduct team exercises on harmonizing risk assessment protocols in areas such as sovereign exposure, onboarding and monitoring and data-driven case management. Then lessons learned are shared with the audience, providing additional constructive thinking and creative solutions. It’s a can’t-miss master class to ensure risk assessment systems hit all the right notes. Be there.  

Key Areas Covered
  - Detailing the role of the risk assessment process and its core requirements as outlined in the FFIEC AM Examination Manual and other regulatory guidance
  - Identifying essential risk assessment components such as geography, client profile and products and analyzing methodologies to support precise analysis
  - Outlining post-assessment protocols such as validation of results and utilizing findings to strengthen control frameworks across enterprise going forward

Who Should Attend
  - Compliance Managers
  - IT Specialists
  - AML Officers
  - Regulators
  - FIU Team Members
  - Industry Consultants

Instructors:
Hue Dang, CAMS-Audit, Vice President, Global Head of Business Development and New Ventures, ACAMS  Patrick Dutton, Regional Head of Risk Assessment, HSBC  Sarah Beth Felix, CAMS, Founder and President, Palmera Consulting  Howard R. Fields, CAMS, Senior Vice President / Group Head – AML, Trade Sanctions and Export Controls Compliance Counsel, Mastercard

5:00PM-5:30PM  ACAMS First Time Attendee Orientation

5:30PM-7:00PM  Welcome Reception in South Palm Court
### Day 1
**Monday 3/21**

<table>
<thead>
<tr>
<th>Time</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>7:30AM-5:10PM</td>
<td>Registration Desk Open</td>
</tr>
<tr>
<td>7:30AM-6:10PM</td>
<td>Exhibit Hall Open</td>
</tr>
<tr>
<td>7:30AM-8:30AM</td>
<td>Networking Continental Breakfast in Exhibit Hall</td>
</tr>
<tr>
<td>8:30AM-8:40AM</td>
<td>Welcome Remarks</td>
</tr>
<tr>
<td></td>
<td>Scott Liles, Chief Executive Officer, <strong>ACAMS</strong></td>
</tr>
<tr>
<td>8:40AM-9:10AM</td>
<td>Keynote Address and Q&amp;A</td>
</tr>
<tr>
<td></td>
<td><strong>Moderator:</strong> Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, <strong>ACAMS</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Presenter:</strong> Elizabeth Rosenberg, Assistant Secretary for Terrorist Financing and Financial Crimes, <strong>U.S. Department of the Treasury</strong></td>
</tr>
<tr>
<td>9:10AM-10:35AM</td>
<td>Regulatory Roundtable: A High-Level Briefing with Top Regulatory Leaders</td>
</tr>
<tr>
<td></td>
<td>• Reviewing recent trends in regulatory developments such as growing calls for stricter oversight of cryptocurrency firms and service providers in areas including client data collection</td>
</tr>
<tr>
<td></td>
<td>• Analyzing increasing synchronization and growing divergences between US and other jurisdictions in areas such as sanctions and privacy to assess impacts for AML practitioners</td>
</tr>
<tr>
<td></td>
<td>• Discussing required skills and resources to meet evolving regulatory expectations for AML best practices in areas such as technological proficiency and oversight effectiveness</td>
</tr>
<tr>
<td></td>
<td><strong>Moderator:</strong> Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, <strong>ACAMS</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Presenters:</strong> Lisa D. Arquette, CAMS, Associate Director, Anti-Money Laundering &amp; Cyber Fraud Branch, <strong>Federal Deposit Insurance Corporation (FDIC)</strong></td>
</tr>
<tr>
<td></td>
<td>Katie Ford, Deputy Associate Director, Policy Division, <strong>Financial Crimes Enforcement Network (FinCEN)</strong></td>
</tr>
<tr>
<td></td>
<td>Omer Meisel, Vice President, National Cause and Financial Crimes Detection Programs, <strong>FINRA</strong></td>
</tr>
<tr>
<td></td>
<td>Donna M. Murphy, Deputy Comptroller for Compliance Risk, <strong>Office of the Comptroller of the Currency (OCC)</strong></td>
</tr>
<tr>
<td></td>
<td>Suzanne Williams, Deputy Associate Director, <strong>Federal Reserve Board</strong></td>
</tr>
<tr>
<td>10:35AM-11:05AM</td>
<td>Networking and Refreshment Break in Exhibit Hall</td>
</tr>
</tbody>
</table>
10:35AM-11:05AM | Priority One: Rising to the Challenges of FinCEN’s AML/CTF National Priorities

- Reviewing FinCEN’s reasoning to designate corruption, cybercrime, terrorist financing, TCOs, drug and human trafficking, fraud and proliferation financing as national priorities
- Analyzing key FinCEN statements to take pro-active steps such as self-assessment of institutional alignment of AML controls, policies and practices with new national priorities
- Evaluating strategies to integrate priorities into legacy compliance systems in areas such as transition monitoring or risk exposure in anticipation of issuance of final regulations

**Moderator:**
Craig Timm, Managing Director, Financial Crimes Emerging Risk, Strategic Initiatives & Government Liaison, Bank of America

**Presenters:**
Steve K. Francis, Acting Executive Associate Director, Homeland Security Investigations (HSI)  
Lisa Grigg, CAMS, Chief Executive and BSA Officer, Enterprise Financial Crimes Compliance, U.S. Bank  
Joshua Kirschenbaum, Non-Resident Fellow, German Marshall Fund  
Andrea Sharrin, Managing Director, Head of Financial Crimes for the Americas & Global Investment Banking, Barclays  
Dan Stipano, Partner, Davis Polk & Wardwell LLP

12:05PM-1:20PM | Networking Luncheon

12:05PM-1:20PM | Knowledge Luncheon - Presented by WorkFusion

**How Digital Workers Are Transforming Our Current Workforce?**
- Discovering how digital workers are helping the banking industry survive the great resignation
- Gaining insights on how to overcome the complexities and costs of moving to digital with innovative solutions
- Industry forecast: can digital workers that share industry learnings (machine learning models, NLP features) get better at making AML/Sanctions risk-based decisions than human operators?

**Moderator:**
Ty Claggett, Head of Financial Crimes, WorkFusion

12:05PM-1:20PM | Knowledge Luncheon
1:20PM-2:20PM  
FinCEN National Priorities: Fighting the New Drug Wars

- Outlining shifting typologies involving drug trafficking including elevated fentanyl trafficking from Mexico and shipments of precursor chemicals for synthetic opioids from China
- Detailing cartels’ growing use of Asian professional money laundering organizations to facilitate exchanges of US and Chinese currency or serve as brokers in TBML schemes
- Examining complexities of new laundering methods such as Mexican cartel transfers via front companies to Chinese citizens living in the US to facilitate placement, layering and integration

**Moderator:**  
Lauren Kohr, CAMS, CAMS-FCI, Senior AML Director - Americas, ACAMS

**Presenters:**  
Raul Aguilar, Deputy Assistant Director, Homeland Security Investigations (HSI)  
Craig Timm, Managing Director, Financial Crimes Emerging Risk, Strategic Initiatives & Government Liaison, Bank of America  
Wendy C. Woolcock, Special Agent in Charge, Special Operations Division, Drug Enforcement Administration (DEA)  
Arthur Wyatt, Chief, Narcotic and Dangerous Drug Section, U.S. Department of Justice

1:20PM-2:20PM  
Afghanistan, the Taliban and Where the Fight Against Terrorism Stands

The chaotic end of America’s longest war swept the Taliban back into power and raised renewed prospects for Afghanistan becoming a safe harbor for terrorism, money laundering and trafficking in illegal drugs, with the possibility of it spreading throughout the region. Furthermore, the country has also seen a resurgence in activity by ISIS-K, an ISIS affiliate that has shown it has no qualms about murdering innocents, as evidenced by the bombing attack on the Kabul airport last September. Clearly, staying ahead of the issues is a strong challenge for AML professionals. This authoritative panel – comprising experts in latest intelligence as well as terrorist financing networks – shares what they know, and what you need to.

**Moderator:**  
Dr. Justine Walker, Head of Global Sanctions and Risk, ACAMS

**Presenters:**  
Brian Filbert, Senior Director - Head of AML Investigations, Ally Bank  
Edmund Walter Fitton-Brown, Coordinator, Analytical Support and Sanctions Monitoring Team, The United Nations  
Dennis M. Lormel, CAMS, President and Chief Executive Officer, DML Associates  
Alex Zerden, Former U.S. Treasury Department Official, CNAS Adjunct Senior Fellow and Founder, Capitol Peak Strategies LLC
It Just Feels Write: Producing Top-Quality SAR Narratives

• Constructing initial outlines that address essential elements of who, what, when, where, why and how to enhance narrative's value to law enforcement and/or regulators
• Incorporating details such as instruments or mechanisms used, transaction dates and amounts and reasoning for why the subject activity is considered suspicious into narrative arc
• Editing narrative to ensure it’s clear, concise, comprehensive and chronological, re-check veracity of presented facts and delete irrelevant information to sharpen SAR focus

Moderator:
Megan D. Hodge, Executive Compliance Director, BSA/AML Officer, Ally Bank

Presenters:
Harold A. Davis, Supervisory Special Agent, Money Laundering, Forfeiture, and Bank Fraud Unit, Federal Bureau of Investigation (FBI) Lisa Grigg, CAMS, Chief Executive and BSA Officer, Enterprise Financial Crimes Compliance, U.S. Bank Steve Gurdak, Group Manager, Washington Baltimore HIDTA, Northern Virginia Financial Initiative (NVFI) Bryan Tinoco, Senior Vice President, BSA Officer, First Foundation Bank

Demystifying Crypto: Inside the World of Digital Assets

• Mapping rapid rise in crypto ecosystems including VASPs and other Digital Asset Entities to detail growing scale and unique sectoral risks such as illicit fiat conversion schemes
• Analyzing trends involving blockchain including Decentralized Finance and Exchanges to develop oversight of transactions occurring outside intermediaries such as banks or brokers
• Surveying efforts globally to define and regulate cryptocurrencies and evaluating trends such as non-fungible tokens and Central Bank Digital Currencies on AML going forward

Moderator:
Amelia Childress, Head of Compliance, Cash App at Block

Presenters:
Christel Chan, Director of Compliance, Paxos Megan Monroe Coleman, Head of Compliance, TDB, Block Inc. Elena Hughes, Chief Compliance Officer, Gemini Melissa Strait, CAMS, Chief Compliance Officer, Coinbase
<table>
<thead>
<tr>
<th>1:20PM-2:20PM</th>
<th>AML Warriors: Battling Financial Crime with Advanced Technology Tools - Presented by Thomson Reuters</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>• Analyzing hot AML topics including beneficial ownership, sanctions, and virtual currencies</td>
</tr>
<tr>
<td></td>
<td>• Utilizing the latest technologies to uncover institutional risk including adverse media screening</td>
</tr>
<tr>
<td></td>
<td>• Modernizing your risk-based approach to better allocate resources to higher-value activities</td>
</tr>
<tr>
<td></td>
<td><strong>Moderator:</strong> Gina Jurva, Esq., Attorney &amp; Manager of Market Insights, Thought Leadership Corporates &amp; Government, Thomson Reuters</td>
</tr>
<tr>
<td></td>
<td><strong>Presenters:</strong> Carrie Gilson, Senior Vice President, Director of Financial Intelligence &amp; Analytics, US Bank Gabe Hidalgo, Managing Director, K2 Integrity</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>1:20PM-2:20PM</th>
<th>Make Money Good: Technology and Human Skills Unite to Fight Financial Crime - Presented by Lucinity</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>• Detailing capacity of advanced technologies such as artificial intelligence to leverage expertise of professionals and jointly strengthen AML oversight.</td>
</tr>
<tr>
<td></td>
<td>• Implementing initiatives in areas such as shared intelligence and open collaboration to strengthen culture of compliance and accelerate progress in achieving institutional goals.</td>
</tr>
<tr>
<td></td>
<td>• Understanding how to democratize AI and other deep technologies to fight financial crime.</td>
</tr>
<tr>
<td></td>
<td><strong>Presenters:</strong> Christopher Calia, Sr. Managing Director, PBWM Deposit Operations, Boston Private, an SVB company Gudmundur Kristjansson (GK), Chief Executive Officer, Lucinity Frederick Reynolds, Chief Compliance Officer, BREX</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>1:20PM-1:50PM</th>
<th>Networking for Caribbean and Latin America</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Beautiful beaches and warm waters are pretty much the norm for attendees from offshore financial centers, so this networking session provides a friendly alternative to fun in the sun: Friendly socializing with your neighbors (figuratively speaking.) Catch up with old acquaintances - and make some new ones - at this assemblage of professional peers from the dynamic community of AML and compliance specialists hailing from the vibrant world of financial centers from the Caribbean and Latin America.</td>
</tr>
<tr>
<td></td>
<td><strong>Facilitators:</strong> Dr. Toussant Boyce, CAMS, Head, Office of Integrity, Compliance and Accountability, Caribbean Development Bank Clara M. Hurtado, CAMS, Director, Financial Crime Compliance, Standard Chartered Bank</td>
</tr>
</tbody>
</table>
Networking for Women in AML

A compliance career requires grit, ambition and intelligence to get ahead. And women leaders in the AML field may face a unique set of challenges such as managing work/life balances, corporate cultures that might view assertive female leaders negatively, and difficulty in finding supportive mentors and peers. Join us at this informal networking session, where the only agenda is to meet like-minded colleagues, discuss common career hurdles for women in AML and, of course, strategies for overcoming them.

**Facilitators:**
Hue Dang, CAMS-Audit, Vice President, Global Head of Business Development and New Ventures, ACAMS  
Monica Peters, Global Head of Sanctions, Western Union

Networking and Refreshment Break in Exhibit Hall

Violence, Disrupted: FinCEN’s National Priorities on Terror and Proliferation Finance

- Analyzing financing typologies of domestic violent extremists and foreign terrorist groups to develop 360 view of threats and develop protocols for keeping CTF oversight robust
- Detailing shared objectives of FinCEN priorities on terrorism and proliferation financing to meet regulatory expectations in risk modeling, alert investigation/resolution and SAR filing
- Reviewing FinCEN’s national priorities on proliferation financing to mitigate risks such as sanctions evasion involving dual-use goods, banned armaments brokers and shell firms

**Moderator:**
Dennis M. Lormel, CAMS, President and Chief Executive Officer, DML Associates

**Presenters:**
Marcy Forman, CAMS, CGSS, Managing Director, Global Financial Crimes Investigations and Intelligence Head, Citigroup  
Jonathan Luca, Supervisory Special Agent – Counterterrorism Division, Federal Bureau of Investigation (FBI)  
Celina B. Realuyo, Global Risk, International Security and Foreign Policy Expert and Member The Global Initiative Against Transnational Organized Crime
Latin America: Analyzing Potential Impacts of the AML Act of 2020

- Outlining broadened powers of US agencies to subpoena banks with US correspondent relationships for records of any account including those records maintained outside US
- Reviewing AMLA’s increased penalties for actions such as notifying account holders named in subpoenas to determine potential consequences for non-US financial institutions
- Detailing AMLA’s beneficial ownership disclosure requirements to examine reduced capacity of individuals and entities to maintain confidentiality in wealth management structures

**Moderator:**
Marilú Jiménez, Esq., CAMS, Founder and President, Financial Intelligence Network & Compliance Advisors (FINCAAdvisors)

**Presenters:**
Clara M. Hurtado, CAMS, Director, Financial Crime Compliance, Standard Chartered Bank  
Lakshmi Kumar, Policy Director, Global Financial Integrity  
Raymond Villanueva, CAMS, Special Agent in Charge, Homeland Security Investigations (HSI)

Open Fishbowl Session – SAR Wars: Safely Combating High Suspicious Activity Report Volumes

You CAN sit with us! Join the panel and have your say alongside esteemed speakers and leaders shaping the industry. The session moderator will invite audience members to sit in the open chair and rotate throughout the session adding their take on the topic. Join this highly anticipated discussion, that will review:

- Conducting institutional and client assessments to better determine potential risks, calibrate monitoring to detect activity that is truly suspicious and limit false positive generation
- Reviewing FFIEC guidance on SAR decision-making processes to ensure effective policies, procedures and processes are adhered to and justify non-filing decisions
- Auditing suspicious activity oversight to validate systemic performance, develop objective metrics to support filing decisions and mitigate risks such as “defensive” SARs

**Moderator:**
William J. Voorhees, CAMS, Senior Vice President, Senior Director Financial Intelligence Unit, Truist Financial Corp.

**Presenters:**
Joshua Blazer, Esq., CAMS, Chief BSA/AML Officer, SoFi  
Megan D. Hodge, Executive Compliance Director, BSA/AML Officer, Ally Bank  
Max Levine, Managing Director, Deputy AML Compliance Officer, Charles Schwab
Brainstorming: What the Next Wave of Artificial Intelligence Means for AML

- Reviewing how pandemic-related disruptions have accelerated migration of manual AML functions such as data entry and report generation toward efficient AI solutions
- Enhancing data quality by utilizing AI processes such as machine learning and natural language processing to convert unstructured data into harmonized structured data formats
- Utilizing AI to aid integration of algorithmic-led risk functions across enterprise such as fraud pattern detection and transaction mapping to boost AML precision and efficiencies

**Moderator:**
Bernard Williams Jr, CAMS, Director, Global Head of AML Compliance Governance and Compliance Training, eBay

**Presenters:**
Scott Nathan, Managing Director, Global Head of AML Transaction Monitoring, Citigroup  
Jack Oskvarek, CAMS, Senior Vice-President, BSA Executive Director, Wintrust  
Grant Vickers, Head of Financial Crimes Strategy, WorkFusion

---

AMLA and the Culture of Compliance - Presented by Abrigo

- Cultivating a strong culture of compliance under the provisions of the AML Act is more critical than ever
- Assessing what the inclusion of enhanced penalties and a whistleblower provisions mean for a strong culture of compliance
- Analyzing a plan for AML Act provisions will ensure a strong AML program so there are no surprises or gaps for your regulators to discover

**Presenter:**
Terri Luttrell, CAMS-Audit, Compliance and Engagement Director, Abrigo

---

Leveraging Digital Identity Attributes In A New Sanctions Environment - Presented by LexisNexis

- Accelerating pandemic-related digital adoption is driving additional financial crime risk across many digital channels resulting in a global digital revolution
- Increasing efficiencies via automation while improving the digital customer experience is imperative
- Meeting rapidly emerging regulatory requirements requires tools designed to better detect digital risk and drive enhanced SAR reporting

**Presenters:**
Leslie Bailey, Vice President, Financial Crime Compliance, LexisNexis Risk Solutions  
Daniel Wager, Vice President, Global Financial Crime Compliance Strategy, LexisNexis Risk Solutions
Networking for FinTech & Crypto

Get to know those who know crypto, at this networking event that brings together digital asset enthusiasts - and those who want to learn more. No matter your level of expertise or field of specialization, this informal gathering is the place to make real connections in the virtual asset sector that is rapidly gaining currency throughout the financial industry and around the globe.

Facilitator:
Joby Carpenter, Global SME – Cryptoassets and Illicit Finance, ACAMS

Networking and Refreshment Break in Exhibit Hall

Networking for Law Enforcement and Banking Professionals

Want to strengthen your private/public network for optimal collaboration? This connection corner brings together law enforcement and banking professionals from across the highly diverse AML universe for contact creation, casual conversation and informal exchanging of ideas and interests. There’s no agenda, and the sole learning goal is the names of new friends and fostering partnerships.

Facilitators:
Harold A. Davis, Supervisory Special Agent, Money Laundering, Forfeiture, and Bank Fraud Unit, Federal Bureau of Investigation (FBI)  Frederick Reynolds, Chief Compliance Officer, BREX

The Disruption of Corruption: Staying in Step With FinCEN’s National Priorities

- Outlining how domestic and foreign corruption threatens national security by eroding rule of law, misappropriating public assets and weakening faith in democratic institutions
- Analyzing guidance by FinCEN and other authorities to ensure risk-based models target corrupt proceeds laundering typologies and related crimes such as human rights abuses
- Reviewing successfully prosecuted corruption cases to identify best practices to optimize value of SAR narratives, public/private collaboration and peer group alliances

Moderator:
John J. Byrne, Esq., CAMS, Chairman, Company Advisory Board, AML RightSource

Presenters:
Marisa DeBiase, Vice President, Global Complex Investigations, MUFG
Michael Greenman, Senior Vice President, Chief Counsel, AML and Economic Sanctions Law, U.S. Bank  Ali M. Moghaddam, JD, CAMS, Head of Financial Crime Prevention, BSA and OFAC Officer, City National Bank
Scott Rembrandt, Deputy Assistant Secretary for Strategic Policy, U.S. Department of the Treasury
Caribbean Focus: AML, TBML and Correspondent Banking in a Post-Covid Context

- Analyzing pandemic’s elevated TBML risks such as supply chain disruptions and digital banking growth and evaluating defenses such as public-private partnerships and data sharing
- Reviewing post-pandemic trends involving de-risking issues to discern regulatory priorities and develop pro-active strategies to obtain and maintain correspondent accounts
- Scrutinizing pandemic’s permanent effects on Caribbean AML such as accelerated use of technology and new financial crime typologies to adjust oversight protocols as warranted

**Moderator:**
Melissa Raman, Senior Vice President, Correspondent Banking & Payments Leader, CIB Financial Crimes, *Wells Fargo Corporate & Investment Banking*

**Presenters:**
Dr. Toussant Boyce, CAMS, Head, Office of Integrity, Compliance and Accountability, *Caribbean Development Bank*  
Sarah Beth Felix, CAMS, Founder and President, *Palmera Consulting*  
Jon Fishman, Assistant Director, Office of Terrorist Financing and Financial Crimes, *U.S. Department of Treasury*  
Candice Huggins, Chief Compliance Officer, *CIBC FirstCaribbean*

A Connected World: Implementing Sanctions Controls Across e-platforms, Payments and Technology Infrastructure

- Mapping exposure to sanctions risk through global technology and payments infrastructure
- Sanctions evasion networks’ abuse of e-platforms and technology: case studies & red flags
- Forward-looking outlook: technology and the future of global cross border compliance

**Moderator:**
Bryant Moravek, CGSS, Director of AML and Sanctions Compliance, *Kaufman Rossin & Co.*

**Presenters:**
Melissa Duffy, Partner, Trade and National Security, *Fenwick & West LLP*  
Brian O’Toole, CAMS, Senior Vice President, Director of Sanctions and Screening, *Truist Financial Corp.*  
Monica Peters, Global Head of Sanctions, *Western Union*  
John E. Smith, Partner, *Morrison & Foerster LLP*
<table>
<thead>
<tr>
<th>Time</th>
<th>Event Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>4:10PM-5:10PM</td>
<td>Keeping a Finger on the Pulse of Digital Banking’s AML Challenges</td>
</tr>
<tr>
<td></td>
<td>• Differentiating between digital banking, online banking and neobanks to detail distinctive operating models and sectoral risks such as transparency, hacking and data privacy/protection</td>
</tr>
<tr>
<td></td>
<td>• Reviewing best practices to fight financial crime and fraud with data-driven onboarding and ongoing client lifecycle monitoring via algorithmic-led tools such as machine learning</td>
</tr>
<tr>
<td></td>
<td>• Assessing institutional risks at neobanks to prioritize AML needs, craft distinct oversight systems and invest appropriately in areas such as skilled personnel and data analytics capacity</td>
</tr>
<tr>
<td>Moderator:</td>
<td>Sarah Runge, Director, Global Payments, Regulatory, Facebook Payments</td>
</tr>
<tr>
<td>Presenters:</td>
<td>Shilpa Arora, Senior Director, Anti-Financial Crime (AFC) Portfolio Solutions, ACAMS</td>
</tr>
<tr>
<td></td>
<td>Jim Dinkins, President, Thomson Reuters Special Services (TRSS)</td>
</tr>
<tr>
<td></td>
<td>Jas Randhawa, Global Head of Financial Crime Compliance, Airwallex</td>
</tr>
<tr>
<td></td>
<td>William J. Voorhees, CAMS, Senior Vice President, Senior Director Financial</td>
</tr>
<tr>
<td></td>
<td>Intelligence Unit, Truist Financial Corp.</td>
</tr>
<tr>
<td>4:10PM-5:10PM</td>
<td>Charting a New Course: Solving the Complex Challenges of AML - Presented by Verafin</td>
</tr>
<tr>
<td></td>
<td>• Exploring the industry-wide challenge of keeping pace with financial crime, aligning programs with national priorities, and preparing for impending guidance from the AMLA.</td>
</tr>
<tr>
<td></td>
<td>• Examining the inefficiencies and limitations of conventional AML approaches within each institution, as well as the industry-wide impact.</td>
</tr>
<tr>
<td></td>
<td>• Understanding how innovative approaches will improve your financial institution’s effectiveness and efficiency in the fight against financial crime and provide more actionable intelligence to law enforcement.</td>
</tr>
<tr>
<td>Presenters:</td>
<td>Bill Heys, Product Lead, AML, Verafin</td>
</tr>
<tr>
<td></td>
<td>Corey Lynch, Product Manager, AML, Verafin</td>
</tr>
<tr>
<td>4:10PM-4:40PM</td>
<td>TBA</td>
</tr>
<tr>
<td>5:10PM-6:10PM</td>
<td>Networking Reception in Exhibit Hall</td>
</tr>
</tbody>
</table>
Day 2
Tuesday 3/22

7:30AM-5:20PM  Registration Desk Open

Bags, Lanyards and Badges courtesy of AI Oasis

7:30AM-5:20PM  Exhibit Hall Open

7:30AM-8:30AM  Networking Continental Breakfast in Exhibit Hall

8:30AM-8:35AM  Opening Remarks

Rick McDonell, Former Executive Secretary, Financial Action Task Force (FATF), Executive Director, ACAMS

8:35AM-8:50AM  ‘Seismic’: What Sanctions Against Russia’s G20 Economy Mean for Compliance Professionals

Dr. Justine Walker, Head of Global Sanctions and Risk, ACAMS

8:50AM-9:10AM  Special Presentation

Presenter:
Kenneth A. Polite Jr., Assistant Attorney General, U.S. Department of Justice

9:10AM-10:15AM  One and Not Done: A Progress Report on AMLA’s First Year – And Year Two’s Prospects

• Assessing progress on AMLA 2020’s key provisions on obligatory whistleblower awards and removal of payment cap for information leading to fines, penalties and forfeitures
• Reviewing impact of FinCEN’s establishment of beneficial ownership registry of reporting companies and potential applications for assisting law enforcement and regulators
• Updating status of AMLA measures such as amending BSA to cover cryptocurrencies and classifying antiquities dealers as financial institutions with resultant KYC/CDD obligations

Moderator:
Kieran Beer, CAMS, Chief Analyst, Director of Editorial Content, ACAMS

Presenter:
Angelena Bradfield, Senior Vice President, AML/BSA, Sanctions and Privacy, Bank Policy Institute
Jamal El-Hindi, Counsel, Americas Litigation and Dispute Resolution, Clifford Chance
Debra Roberts, Managing Director, General Counsel for Global Financial Crimes and Political Law, JPMorgan Chase & Co.
Dan Stipano, Partner, Davis Polk & Wardwell LLP
10:15AM-10:45AM  Networking and Refreshment Break in Exhibit Hall - Sponsored by MinervaAI

10:45AM-11:35AM  Beneficial Information: The Latest on FinCEN’s Beneficial Ownership Reporting Rules

• Reviewing Corporate Transparency Act to detail scope of FinCEN mandate to create confidential and secure register of beneficial owners of corporations, LLCs and similar entities
• Analyzing envisioned role of beneficial owner information to assist law enforcement in combating financial crimes such as law money laundering, tax evasion and terrorist financing
• Updating CTA requirements to revise existing FIs’ CDD regulations concerning beneficial ownership to ensure conformity with new direct reporting protocols

Moderator:
Colby Adams, Managing Editor, ACAMS moneylaundering.com

Presenters:
James A. Candelmo, CAMS, Chief Bank Secrecy Act and AML Sanctions Officer, PNC
Lynda Cassell, Americas Head of Policy and Programs for Financial Crimes, MUFG
Michael Dobson, Senior Advisor, Policy Division, FinCEN
Rick A. Small, CAMS, Executive Vice President, Director Financial Crimes Program, Truist Financial Corp.

11:35AM-12:05PM  Special Presentation with Q&A

Moderator:
Rick McDonell, Former Executive Secretary, Financial Action Task Force (FATF), Executive Director, ACAMS

Presenter:
Catherine Chen, Chief Executive Officer, Polaris Project

12:05PM-1:20PM  Networking Luncheon

12:05PM-1:20PM  Knowledge Luncheon
1:20PM-2:20PM  
A Prime Time to Fight Cybercrime: A Roadmap from FinCEN’s National Priorities

- Surveying vulnerabilities such as hacking, DoS attacks and dark web markets to identify cybercrime risks such as ransomware and use of virtual assets to launder illicit proceeds
- Detailing unique risk mitigation challenges of cyber-enabled financial crime including rapid cross-border transfers and formally organized cybercrime to identify defensive responses
- Reviewing FinCEN advisories on predominant trends, typologies and indicators for cybercrime and guidance on tools such as safe-harbor information sharing between institutions

**Moderator:** Rick McDonell, Former Executive Secretary, Financial Action Task Force (FATF), Executive Director, ACAMS

**Presenters:**
Vikas Agarwal, Financial Crimes Unit Leader, PwC  
Caroline Horres, Policy Advisory, U.S. Department of Treasury  
Clyde Langley, Vice President, Fraud Risk Management, Financial Crimes Risk Management, Charles Schwab  
Bryan Smith, Section Chief, Cyber Criminal Investigations, Federal Bureau of Investigation (FBI)

1:20PM-2:20PM  
Future-Proofing Risk Management: Updating Today’s Systems for Tomorrow’s Realities

- Documenting growing risk management duties such as vendor and FinTech oversight to chart projected broadening of AML scope and resultant needs to adjust systemic capacity
- Streamlining manual AML workflows with tools such as automated risk assessment processes, digital ID verification and third-party databases to leverage value of human capital in executing risk analysis and management
- Employing AI across AML systems including investigations to achieve risk management benefits such as ongoing monitoring vs. sporadic manual reviews and faster resolution of alerts

**Moderator:** Hue Dang, CAMS-Audit, Vice President, Global Head of Business Development and New Ventures, ACAMS

**Presenters:**
Lindsay M. Dastrup, CAMS-Audit, CAMS-FCI, Vice President, Audit Leader, American Express  
Patrick Dutton, Regional Head of Risk Assessment, HSBC  
Howard R. Fields, CAMS, Senior Vice President / Group Head – AML, Trade Sanctions and Export Controls Compliance Counsel, Mastercard  
Kate Valleau, CAMS, Senior Vice President, Internal Audit, Raymond James
1:20PM-2:20PM Fighting an Age-Old Crime: Combating Fraud Against Elderly and Other At-Risk Groups
- Building staff awareness of common elder fraud schemes such as romance and charity scams and newer typologies such as Covid, tech support and government relief scams
- Crafting KYC and monitoring to detect and resolve unique elder fraud red flags such as aberrant spending/borrowing, unusual guardianship changes and suspicious asset transfers
- Analyzing the case of Keniel Thomas, who went to prison after trying to scam former FBI director William Webster in a Jamaican lottery fraud that escalated to threatened violence

**Moderator:**
John J. Byrne, Esq., CAMS, Chairman, Company Advisory Board, AML

**Presenters:**
Ronald C. Long, Head, Aging Client Services Center of Excellence, Wells Fargo & Co.
Corey Lynch, Product Manager, AML, Verafin
Jack Oskvarek, CAMS, Senior Vice-President, BSA Executive Director, Wintrust Financial Corporation
Joel Sauer, Director, Senior & Vulnerable Investor Investigations, Charles Schwab

1:20PM-2:20PM The Real Steal: Case Studies of Money Laundering via Real Estate Transactions
- Updating FinCEN's Geographic Targeting Orders on high-value real estate in leading US markets to develop insights into enhanced oversight obligations in at-risk locations
- Tracing mechanisms used to launder illicit proceeds via real estate including shell firm and/or proxy buyers, large all-cash purchases and atypical activity involving escrow transfers
- Auditing gatekeepers including legal and real estate advisors to determine adequacy of controls in areas such as PEP and adverse media screening and beneficial owner verification

**Moderator:**
Dr. William Scott Grob, CAMS-FCI, CGSS, Director – Research and Analysis, ACAMS

**Presenters:**
Sarah Beth Felix, CAMS, Founder and President, Palmera Consulting
Jon Fishman, Assistant Director, Office of Terrorist Financing and Financial Crimes, U.S. Department of Treasury
Lakshmi Kumar, Policy Director, Global Financial Integrity
Tim Vallario, Intelligence Analyst, Complex Financial Crime Program - New York Field Office, Federal Bureau of Investigation (FBI)
AI for AML - Debunking Myths in Machine Learning for Anti-Money Laundering - Presented by Featurespace

- Redefining ways to meet regulatory obligations for AML beyond rules
- Understanding the rising value and frequency of AML fines and FinCEN’s strong message of zero-tolerance on non-compliance. The request for responses for to the Modernization of AML/CFT framework specifically seeks to devise usage guidelines for AI in AML
- Hearing from AML and machine learning experts dispel any misconceptions you may have around AI for AML, and answer your burning questions.

**Moderator:**
Todd Raque, Financial Crimes Compliance & Anti-Money Laundering Executive

**Presenters:**
Andrew Davies, Vice President, Global Market Strategy, Financial Crime Risk Management, Fiserv  
Dave Excell, Founder, Featurespace  
Ian Mitchell, Founder of The Knoble and Managing Partner, Omega FinCrime

Real-time Surveillance & Decisioning - Driving Value and Unrealized Outcomes in Modern Banking Environments - Presented by KX

- Gain insights on how intelligence information derived from surveillance strategies and technology can enable an institution to assess unprecedented volumes of financial data across its operations for optimal results and scale
- Understand how surveillance of all incoming and historic data can be viewed and analyzed for micro-second decisioning, enabling both TradFi and DeFi institutions to reduce risk exposure and enjoy proactive, intelligence-driven environments
- Realize the advantage of utilizing dynamic real-time data to optimize computing power, drive better analytics and redefine the modern banking business

**Presenters:**
John Dalton, SVP Product and Strategy, KX  
Robert Goldfinger, President, Goldchoice  
Charles Gregory, Product Owner, Product and Strategy, KX
1:50PM-2:20PM AML Innovations - Presented by Bottomline

**Fireside Chat: Optimize Your Financial Crime Investigations For Faster Decision-Making**
- Create operational efficiency by stepping away from FTE-intensive and time-consuming processes, siloed systems and fragmented risk views.
- Modernize enterprise case management with cloud-base technology to benefit from lower TCO, no-code configuration and cloud community sharing/insight to reduce overall risk.
- Revolutionize the way investigators can report on cases, provide greater transparency, and increase system auditability through the adoption of network monitoring that can bring unknown actionable insights to the forefront

**Moderator:**
Albert Laino, Solutions Consultant Team Lead, **Bottomline**

**Presenters:**
Mohammad Al-Zraiqat, Global Senior Product Manager, **Bottomline**
Thomas J. Mangine, Vice President, Capital Markets AML, **Bank of Montreal**

2:20PM-2:50PM Networking and Refreshment Break in Exhibit Hall

2:50PM-3:50PM Can Fraud Be De-Clawed? Insights from FinCEN’s National Priorities

- Enumerating multiple forms of fraud including tax, bank and consumer and analyzing growing risks such as synthetic identities, Covid scams and deepfake media images
- Understanding common fraudulent proceeds laundering methods including complex offshore transfers, money mules and accounts controlled by complicit cyber actors
- Studying FinCEN advisories to develop insights into regulatory expectations for managing fraud-related risks including intellectual property theft by foreign intelligence entities

**Moderator:**
Clyde Langley, Vice President, Fraud Risk Management, Financial Crimes Risk Management, **Charles Schwab**

**Presenters:**
Mary Hammond, Executive Director, Advanced Analytics and Innovation, **Internal Revenue Service - Criminal Investigation (IRS-CI)**
Annie Holt, Director, Global Financial Crimes, **Bank of America**
Heith Janke, Unit Chief, **Federal Bureau of Investigation (FBI)**
Kevin M. Tyrrell, CAMS, Assistant Inspector General for Investigations, **U.S. Department of Agriculture - Office of Inspector General**
The New Cold War? What You Need to Know About Ransomware

- Examining results of proprietary ACAMS survey and other evidence to quantify global perceptions of ransomware risks, vulnerabilities and priorities
- Analyzing how attack vectors such as phishing, remote desktop protocols and unpatched software allow hacker groups such as Russia’s DarkSide to launch ransomware assaults
- Studying Colonial Pipeline case to analyze factors such as potential state support for Russia-based hackers, examine efficacy of post-attack response protocols and analyze company decision to pay ransom of $5mm in bitcoin

**Moderator:**
Dr. Justine Walker, Head of Global Sanctions and Risk, ACAMS

**Presenter:**
Heather Epstein, Director, Financial Crime Legal, Barclays  Jacqueline Koven, Head of Cyber Threat Intelligence, Chainalysis  Brian O’Toole, CAMS, Senior Vice President, Director of Sanctions and Screening, Truist Financial Corp.  Bryan Smith, Section Chief, Cyber Criminal Investigations, Federal Bureau of Investigation (FBI)  Richard Weber, General Counsel, New York State Department of Financial Services

Dead Wrong: The Scary Realities of Data “Ghosts” and Fraud

- Reviewing cases of identity theft of deceased persons to detail typologies such as use of decedent’s data by unscrupulous survivors to hijack tax refunds or government benefits
- Utilizing tools such as KYC/CDD and account reviews to detect ghosting indicators such as aberrant borrowing or spending patterns to flag and resolve suspicious activities
- Filing SAR and informing relevant law enforcement and government agencies and credit reporting bureaus to escalate investigation and mitigate risks of continuing fraudulent activity

**Moderator:**
Jas Randhawa, Global Head of Financial Crime Compliance, Airwallex

**Presenters:**
Carol Alexis Chen, Partner, Winston & Strawn LLP  Devesh Desai, Financial Crimes Unit Operations Leader, PwC  Frederick Reynolds, Chief Compliance Officer, BREX  Melissa Strait, CAMS, Chief Compliance Officer, Coinbase
Open Fishbowl Session - MSB Compliance: Strategies for a Time of Transition

You CAN sit with us! Join the panel and have your say alongside esteemed speakers and leaders shaping the industry. The moderator will invite audience members to sit in the open chair and rotate throughout the session adding their take on the topic. Join this highly anticipated discussion, that will review:

- Reviewing collaborative MSB initiatives to strengthen compliance and AML such as Money Transmitter Alliance’s industry agent database to mitigate risks such as agent hopping
- Analyzing unique MSB impacts of recent regulatory developments including AMLA 2020 and FinCEN’s initiative on “reasonable and effectively designed” AML programs
- Detailing distinct MSB oversight issues with financial innovations such as crypto, digital identities and Decentralized Finance to identify best practices to keep risk management current

**Moderator:**
Duncan Deville, Senior Vice President, Global Head of Financial Crimes Compliance, **Western Union**

**Presenters:**
Victoria Liu Edison, CAMS, CISM, CRISC, Chief Compliance Officer, Americas, **Ant Group**  
Anthony Luis Rodriguez, CAMS, Former Chief Risk & Compliance Officer, Data Protection Officer, **AFEX**  
Matt Shustrin, Senior Director, Global Head of AML and Sanctions Compliance, **eBay Payments**

Unpacking Crypto AML: How to apply your current compliance knowledge to a new financial system - Presented by TRM Labs

- Understanding key terms, concepts and trends related to crypto and where illicit activity is occurring within these ecosystems
- Exploring crypto-related opportunities and use cases for financial institutions
- Highlighting similarities – and differences – in the tools and information used to assess risk and apply a risk-based approach when engaging

**Presenter:**
Kinsey Cronin, Business Development, **TRM Labs**

Networked and Refreshment Break in Exhibit Hall
Now Trending: Analyzing the Latest Breaking News on AML

This panel’s topics are so fresh they haven’t happened yet. As the ACAMS community knows, the one constant of AML is change – including after the Hollywood program was published and before the conference commenced. This session fills that gap, with expert panelists diving into recent headlines to deliver news and views on information you can use. To paraphrase a famous saying, there’s no time like the present to find out what’s happening now. Here’s where.

**Moderator:**
Gregg Fields, CAMS, Content Analyst, ACAMS

**Presenters:**
Howard R. Fields, CAMS, Senior Vice President / Group Head – AML, Trade Sanctions and Export Controls Compliance Counsel, Mastercard
Young Lee, Director, Financial Transparency and Regulatory Policy, U.S. Department of the Treasury
Frederick Reynolds, Chief Compliance Officer, BREX
Sarah Runge, Director, Global Payments, Regulatory, Facebook Payments
Alex Zuck, Managing Director – Product Strategy, KYC, Moody’s Analytics

Future Foretold: Adapting AML/CTF Audits to Meet Changing Regulatory Expectations

- Reviewing recent enforcement actions such as OCC’s penalties for “heightened standards” violations to understand effects of emerging regulatory expectations on auditing
- Detailing the essential elements of a heightened standards audit regimen to ensure risk management programs are consistent with evolving regulatory priorities and requirements
- Utilizing audit results to identify institutional risks of breaching shifting regulatory expectations and develop and implement systemic fixes as warranted across enterprise

**Moderator:**
Peter D. Wild, CAMS-Audit, Founder, Wild AML Solutions

**Presenters:**
Lindsay M. Dastrup, CAMS-Audit, CAMS-FCI, Vice President, Audit Leader, American Express
Khalid Hossain, CAMS, Audit Director – Global Financial Crime Prevention Audit, UBS
Paulette Mullings, Chief, Audit Executive, BNY Mellon
Kate Valleau, CAMS, Senior Vice President, Internal Audit, Raymond James
### 4:20 PM - 5:20 PM

#### Real Troopers: Local Law Enforcement on How to Help Them Fight Financial Crime

- Establishing formal communication with local law enforcement to build mutually beneficial relationships focused on issues and risks most relevant to immediate community
- Codifying collaboration policies in areas such as client privacy and designation of key contacts to reduce duplication, mitigate potential conflicts and optimize investigative outcomes
- Enhancing law enforcement awareness of obscured data such as non-CTR currency exchanges, third-party relationships and non-transactional intelligence such as EDD reviews

**Moderator:**
Marilú Jiménez, Esq., CAMS, Founder and President, Financial Intelligence Network & Compliance Advisors (FINCAdvisors)

**Presenters:**
- Victor R. Cardona, CAMS, Senior Vice President, BSA Officer, Golden State Bank
- Steve Gurdak, Group Manager, Washington Baltimore HIDTA, Northern Virginia Financial Initiative (NVFI)
- Bryan Tinoco, Senior Vice President, BSA Officer, First Foundation Bank
- Kevin Warsinsky, First Sergeant, Counter Terrorism & Criminal Interdiction Section (CCI), Virginia State Police

### 4:20 PM - 5:20 PM

#### Taking Stock - Ensuring AML Effectiveness at Broker Dealers

- Reviewing FINRA’s report on exam and risk monitoring program to identify and address potential issues such as inadequate monitoring, limited scope of SARS and data integrity gaps
- Examining SEC bulletin on best practices for managing risks of omnibus accounts of foreign institutions such as fraud, money laundering and obscured beneficial owner identities
- Adapting your AML program to emerging risks/trends and formalizing cybersecurity governance to optimize response to new typologies

**Moderator:**
Elena Hughes, Chief Compliance Officer, Gemini

**Presenters:**
- Thomas Aspinwall Jr, Director, Financial Crime Business Oversight Compliance, Americas and Global Investment Bank, Barclays
- Michelle Rector, Director, Head of the FIU, AML & Financial Crimes, Raymond James
- Gargi Sharma, Examination Manager - AMLIU, FINRA
<table>
<thead>
<tr>
<th>Time</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>4:20PM-5:20PM</td>
<td><strong>Digital Transformation &amp; AML Compliance in Practice</strong> - Presented by Refinitiv</td>
</tr>
<tr>
<td></td>
<td><strong>Digital Transformation &amp; AML Compliance in Practice</strong></td>
</tr>
<tr>
<td></td>
<td>• Understanding the challenges and opportunities being driven by digital transformation to FinTechs</td>
</tr>
<tr>
<td></td>
<td>• Identifying leading FinTech companies’ positioning to effectively navigate AML/KYC challenges</td>
</tr>
<tr>
<td></td>
<td>• Reviewing best practices observed by the industry in managing AML/KYC in a fast paced digital environment</td>
</tr>
<tr>
<td></td>
<td><strong>Moderator:</strong> Holly Sais Phillippi, Head of Market Development and Proposition Sales – Risk, <strong>Refinitiv</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Presenters:</strong> Terri Luttrell, CAMS-Audit, Compliance and Engagement Director, <strong>Abrigo</strong> ANDREW SIMPSON, Chief Operating Officer, <strong>Alessa</strong></td>
</tr>
</tbody>
</table>
| 4:20PM-5:20PM | Presented by |}

<table>
<thead>
<tr>
<th>Time</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>4:20PM-4:50PM</td>
<td><strong>AML Innovations</strong> - Presented by NICE Actimize</td>
</tr>
<tr>
<td></td>
<td><strong>The Next ‘Risk-Based Approach’: One Customer Truth</strong></td>
</tr>
<tr>
<td></td>
<td>• Revealing a new and improved ‘Risk-Based Approach’ that will step change your AML efficiency and effectiveness</td>
</tr>
<tr>
<td></td>
<td>• Providing insight into the benefits and reality of having one profile, one risk score, and one customer truth</td>
</tr>
<tr>
<td></td>
<td>• Understanding how technology can enable this new strategy and a single customer truth</td>
</tr>
<tr>
<td></td>
<td><strong>Presenter:</strong> Adam McLaughlin, Global Head of Strategy, AML SME, <strong>NICE Actimize</strong></td>
</tr>
<tr>
<td>4:50PM-5:20PM</td>
<td>TBA</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Time</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>5:30PM-6:30PM</td>
<td>Networking Reception at Portico at Diplomat Landing</td>
</tr>
</tbody>
</table>
Day 3
Wednesday 3/23

7:30AM-12:00PM
Registration Desk Open

* Bags, Lanyards and Badges courtesy of AI Oasis *

7:30AM-12:00PM
Exhibit Hall Open

7:30AM-8:30AM
Networking Continental Breakfast in Exhibit Hall

8:30AM-8:40AM
Opening Remarks and Hackathon Winner Announcement

8:40AM-9:20AM
Special Presentation with Q&A

**Moderator:**
Lauren Kohr, CAMS, CAMS-FCI, Senior AML Director - Americas, **ACAMS**

**Presenter:**
Aaron Tapp, Section Chief, Financial Crimes Section, **Federal Bureau of Investigation (FBI)**

9:20AM-10:20AM
Revealing What’s Unseen: Attacking Human Trafficking Efforts from Prevention to Prosecution and Partnership

- Analyzing human trafficking typologies such as migrant smuggling, forced labor and sex slavery to self-assess institutional vulnerabilities and tailor risk management models accordingly
- Reviewing recent public sector and private industry initiatives including new regulations, FinCEN’s national priorities and collaborations involving NGOs
- Detailing enhanced anti-trafficking strategies such as hotspot monitoring, enterprise-wide mitigation training and formalized alert resolution protocols

**Moderator:**
Rick McDonell, Former Executive Secretary, **Financial Action Task Force (FATF)**, Executive Director, **ACAMS**

**Presenters:**
Marcy Forman, CAMS, CGSS, Managing Director, Global Financial Crimes Investigations and Intelligence Head, **Citigroup**
Megan Lundstrom, Founder and Director, **The Avery Center**
Cardell T. Morant, Director, Center for Countering Human Trafficking, **Department of Homeland Security (DHS)**
Daniel Thelesklaf, Project Director, Finance against Slavery and Trafficking (FAST), United Nations University – Centre for Policy Research, New York

10:20AM-10:50AM
Networking and Refreshment Break in Exhibit Hall
Think you can't play a leadership role in an ACAMS Conference? Think again. The Hot Topics session, always a crowd favorite, is where attendees take the floor, figuratively speaking. It works like this: In the run-up to the Hollywood gathering, ACAMS will send attendees an email requesting topics for our panel to consider, analyze and debate. Nothing compliance-related is off the table, from coping with regulatory complexities to improving exam results or staying ahead of fast-changing technology. At the session itself, your questions are fielded by our experts, in a free-wheeling and frank discussion rich with diverse views and pointed, practical insights. As a wise person once observed, the questions that get answered are the ones that get asked. Ask away – and we’ll see you at Hot Topics.

**Moderator:**
Joby Carpenter, Global SME – Cryptoassets and Illicit Finance, ACAMS

**Presenters:**
Jennifer Fowler, Director for Public Policy, Facebook Financial, Facebook
Markus E. Schulz, Global Head of Change Management Financial Crimes Compliance, ING
Rick A. Small, CAMS, Executive Vice President, Director Financial Crimes Program, Truist Financial Corp.
John E. Smith, Partner, Morrison & Foerster LLP
Patricia Sullivan, Head of Financial Crime Business Control and Oversight for the IB/CB, Deutsche Bank