

Pre Conference Training

Monday 5/22

8:00AM-8:00PM	Pre-Conference Registration
8:00AM-9:00AM	Workshop A Check-in (Separate registration required)
8:00AM-9:00AM	Networking Continental Breakfast
9:00AM-12:00PM	<p>WORKSHOP A - Ready, Set, Crypto: Creating A Winning Game Plan to Manage Virtual Asset Risks</p> <p>From across Europe, banks to broker-dealers, the changes wrought by cryptocurrencies are touching every inch of the financial services sector. But paired with crypto's promising innovation are new financial crime and money laundering risks that all financial institutions are exposed to. This workshop, of particular relevance to both financial institutions and non-bank financial institutions, shows the way forward. Attendees will experience an expert-led deep dive that illuminates ways to review risk exposure, develop risk appetite statements and mitigating actions. Whether you're with a legacy institution or a neobank, a payment processor or FinTech firm, this comprehensive workshop provides practical roadmaps to guide your AML/AFC program into the next generation.</p> <p>Key Areas Covered</p> <ul style="list-style-type: none">· Identifying crypto risk exposure for different products and sectors and developing mitigating measures in your control framework· Delineating differences between virtual asset classes to ensure AML/AFC models address unique risk management needs of distinct crypto categories· Understanding additional technology tools needed, essential staff expertise and engagement with stakeholders and clients <p>Who Should Attend</p> <ul style="list-style-type: none">· AML Officers of FIs and NBFIs· Risk Managers· IT Specialists· Compliance Team Leaders· Payment Processors· FinTech· Legal Advisors· Industry Consultants· MLRO's
12:00PM-1:00PM	Workshop B Check-in (Separate registration required)

1:00PM-4:00PM

WORKSHOP B - From Rule-based to AI: Achieving Effectiveness and Efficiency Through Your Transaction Monitoring Framework

With increased regulation and the cost of compliance spiraling, organisations have utilized technology to drive streamlined processes for transaction monitoring. This workshop is aimed at helping both financial institutions and non-bank financial institutions consider the effectiveness and efficiency of their TM systems and processes. Attendees will experience an expert-led deep dive that addresses challenges in determining what “good” looks like. We will examine rules-based systems, AI-led systems and the transition period. Using a case study led approach, we will explore ways to achieve the optimal result for your organisation and journey by utilising technology and reflecting on industry best practices.

Key Areas Covered

- Defining the overall objectives of TM systems and identifying key success factors based on industry benchmarks
- Identifying commonalities and differences between traditional rule-based and AI-driven transaction monitoring systems
- Examining challenges and issues in adopting AI/ ML systems, and how to achieve an optimal result

Who Should Attend (Roles)

- AML Officers/ MLROs
- Financial Crime Operations Leads
- Financial Crime Technologists
- Data Scientists
- Transaction Monitoring Leads
- Industry Consultants

Who Should Attend (Industry)

- FIs
- NBFIs (including FinTechs and Payment Processors)
- Software vendors
- Consultancies

5:30PM-7:00PM

Welcome Reception

Day 1

Tuesday 5/23

7:45AM-5:30PM	Registration Desk Open
7:45AM-7:00PM	Exhibit Hall Open
7:45AM-8:45AM	Networking Continental Breakfast in Exhibit Hall
8:45AM-9:00AM	Welcome Remarks
9:00AM-9:30AM	Keynote Address with Q&A
9:30AM-10:30AM	<p>Penalties, Priorities and Projections: A Regulatory Roundtable</p> <p>Representatives of regulatory bodies will provide insight into recent regulatory actions; regulatory priorities for 2023; and hot topics such as the effect of Brexit on financial regulation and takeaways from the last mutual evaluation round.</p>
10:30AM-11:00AM	Networking and Refreshment Break in Exhibit Hall
11:00AM-12:00PM	<p>Too Much of a Good Thing and Other Common Challenges in Sanctions Implementation</p> <p>This session will cover how to cope with the proliferation and complexity of sanctions. Topics will include:</p> <ul style="list-style-type: none"> · Looking at transaction monitoring challenges from a sanctions perspective · Examining sanctions screening in the context of SWIFT's transition to the ISO standard · Identifying the possible intermediary flows now being used for sanctions evasion
11:00AM-12:00PM	<p>The ABCs of AML for FinTechs and Their Banks</p> <p>Among Europe's largest economies, FinTech companies have become a fixture in the financial landscape. But FinTechs' AML/AFC expertise often lags behind traditional banks. This session will provide guidance on:</p> <ul style="list-style-type: none"> · Developing an AML program for a FinTech startup · Overcoming the difficulties FinTechs sometimes encounter in procuring banking services · Cultivating a culture of compliance
11:00AM-12:00PM	TBA

12:00PM-1:00PM	Networking Luncheon
1:00PM-2:00PM	<p>Bridging the Continental Divide: The Latest on AFC/ABC Efforts in Europe</p> <p>The EU is implementing a comprehensive AML/CFT policy with AMLA, AMLD6 and other new laws and regulations. What has been the effect so far, including successes and remaining challenges? Meanwhile, diverse, sometimes discordant post-Brexit regulations and legislation pose a hurdle for compliance efforts. How can unified AML/CFT efforts in Europe be strengthened without jeopardising the diversity needed for effective national programs, such as France's SAPIN law targeting bribery and corruption?</p>
1:00PM-2:00PM	<p>Effective Compliance: Controls Plus Culture</p> <p>High-functioning organisations need both compliance controls and a compliance culture. Expert speakers in this session will:</p> <ul style="list-style-type: none">· Identify the biggest current obstacles to establishing a culture of compliance and how they can be overcome· Analyse how accountability and responsibilities are being shifted among the three lines of defence in some institutions· Highlight the best practices in training, reporting and management in organisations to enhance a culture of compliance in a global company
1:00PM-2:00PM	TBA
2:00PM-2:30PM	Networking and Refreshment Break in Exhibit Hall
2:30PM-3:30PM	<p>Boosting the Basics: How RegTech Helps with KYC and CDD</p> <p>CDD, KYC, transaction monitoring and screening are the standard arsenal of an AML/AFC program. But increasing regulatory requirements, along with new criminal typologies, can leave compliance professionals feeling under-resourced. This session will identify how the latest RegTech developments in machine learning, AI and Big Data can bring new firepower to CDD/KYC efforts.</p>

2:30PM-3:30PM	<p>Clearing up Questions About Corporate Transparency</p> <p>Judging by the many regulatory and legal discrepancies among jurisdictions, achieving corporate transparency is anything but transparent. Panelists will:</p> <ul style="list-style-type: none">· Analyse differences in how the UK, US, and EU implement UBO standards under FATF Recommendations 24 and 25· Identify how to help ensure data quality and accuracy for UBO registries· Examine how corporate transparency is essential to anti-bribery and corruption efforts
2:30PM-3:30PM	TBA
3:30PM-3:50PM	Networking and Refreshment Break in Exhibit Hall
3:50PM-4:40PM	<p>The Clean Up Crew</p> <p>They're the stories that send ripples through the financial services industry - from enforcement actions to sanctions breaches, compliance failures and hefty fines. When the dust settles, little is known or shared on how compliance teams are cleaning up their act. Hear first-hand from the world's largest financial institutions, FinTech's, crypto exchanges and more as they provide lessons learned and a look into the remedial steps taken to turn the situation around.</p>
4:30PM-5:25PM	<p>Collateral Damage: Sanctions Fallout from the Russia-Ukraine War</p> <p>In this session focusing exclusively on the sanctions impact of the Russia-Ukraine war, panellists will examine topics including:</p> <ul style="list-style-type: none">· Challenges in assessing the control criteria of Russian entities because of a lack of EU-wide guidance· National differences in applying sanctions to companies with subsidiaries throughout the EU· The obstacles to seizing assets instead of just freezing them
5:25PM-5:30PM	Closing Remarks
5:30PM-7:30PM	Networking Reception

Day 2

Wednesday 5/24

8:00AM-4:00PM	Registration Desk Open
8:00AM-4:30PM	Exhibit Hall Open
8:00AM-9:00AM	Networking Continental Breakfast in Exhibit Hall
9:00AM-9:30AM	Keynote Remarks with Q&A
9:30AM-10:30AM	Executive Roundtable: Perspectives from the C-Suite The view from the top of the organisational chart has rarely been as murky as over the past 12 months. Financial industry leaders have had to chart a course through a landscape clouded by the Russia-Ukraine war, the lingering effects of Brexit, and the challenges of the cryptoverse. Join esteemed executives as they provide a valuable perspective on compliance-related issues.
10:30AM-11:00AM	Networking and Refreshment Break in Exhibit Hall
11:00AM-12:00PM	Part 1: The UK's New Economic Crime and Transparency Act - UK Focus The Economic Crime (Transparency and Enforcement) 2022 Act contains some of the most significant rule changes for the registration of companies in the UK in almost 200 years. In addition, the law aims to create more control over freezing and seizing cryptoassets. Panellists will discuss how these changes and others, including information-sharing provisions, will affect compliance professionals operating in the UK.
11:00AM-12:00PM	TBA
11:00AM-11:30AM	TBA
12:00PM-1:00PM	Networking Luncheon

1:00PM-2:00PM

What is ESG to me? Implications for Compliance Professionals

ESG is often given short shrift by some hard-nosed professionals, but clear links exist between certain ESG concerns and AML/AFC issues – for example, the financial proceeds of environmental crimes. This session will cover:

- Integrating ESG and other “soft law” features into AML/CFT risk assessments
- Identifying red flags for criminal activity taking place under the guise of humanitarian efforts
- Analysing financial institutions’ AML/AFC risks arising from customers’ supply chains; for example, the use of forced labour

1:00PM-2:00PM

The Great Debate: Web3, Industry Revolution or Marketing Hype?

From Ancient Greece to modern times, debating provides the platform for airing contrasting views. ACAMS Europe presents the first edition of The Great Debate. Hear from leading experts on highly topical issues, as they argue their point of view and seek to win your vote.

One topic. Two opposing opinions. Who will get your vote?

1:00PM-1:30PM

TBA

2:00PM-2:30PM

Networking and Refreshment Break in Exhibit Hall

2:30PM-3:30PM

Part 2: The UK’s New Economic Crime Act - Continental Europe Focus

In the world of AML/AFC compliance, no country is an island – even when it’s an island. Although the Economic Crime (Transparency and Enforcement) 2022 Act is UK legislation, some of its provisions have more wide-ranging implications. This session will explain how the act affects compliance professionals working for companies outside of the UK.

2:30PM-3:30PM

Unity Across the EU: Understanding and Applying the Provisions of AMLD6

A directive designed to promote unified definitions and enforcement among EU member states will nevertheless have diverse impacts on different types of financial institutions and compliance professionals. Our panel of experts will identify the most important provisions of the 6th EU Anti-Money Laundering Directive and what they mean for day-to-day compliance activities.

2:30PM-3:00PM

TBA

3:30PM-4:00PM

Networking and Refreshment Break in Exhibit Hall

4:00PM-5:00PM

Cryptoassets: Fresh Opportunities, Emerging Risks, New Responses

The often-dubious world of crypto and virtual assets provides tangible opportunities for financial service providers as well as concrete challenges for AML/AFC professionals. Panellists will:

- Identify key challenges to implementation of MiCA, including gaps in effectiveness and transnational issues
 - Outline how to manage direct and indirect exposure to crypto and NFTs, including the due diligence required of banks to determine if cryptoasset providers' controls are robust enough
 - Assess the issues posed by cross-border crypto services in countries where VASPs are not licensed
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5:00PM-5:05PM

Closing Remarks