

Day 1

Tuesday 12/7

8:45AM-4:45PM EST	Virtual Doors Open
9:00AM-9:10AM EST	Welcome Remarks Scott Liles, President and Managing Director, ACAMS
9:10AM-9:45AM EST	Keynote Address with Q&A Presenter: The Hon. Ryan Pinder, Chairman 2021-2022, CFAFT
9:45AM-10:20AM EST	Regulatory Update: A Caribbean Perspective Moderator: Kieran Beer, CAMS, Director of Editorial Content, Chief Analyst, ACAMS Presenters: Karen V. Rolle, Deputy Inspector, Bank Supervision Department, The Central Bank of the Bahamas Cindy Scotland, Managing Director, Cayman Islands Monetary Authority
10:20AM-10:25AM EST	Break
10:25AM-11:20AM EST	Shades of Greylisting - A Panel Discussion <ul style="list-style-type: none">· Studying lessons learned from Caribbean jurisdictions to outline impacts of greylisting such as increased FATF monitoring and sovereign reputational harm· Assessing positive outcomes of actions taken in response to increased monitoring while discussing Caribbean concerns such as potentially excessive regulation· Examining life after greylisting to identify practical strategies for exiting enhanced monitoring and detail oversight reforms to pre-empt similar situations in future Moderator: Rick McDonell, Former Executive Secretary, Financial Action Task Force (FATF) , Executive Director, ACAMS Presenters: Keron Burrell, Director, Methods, Analysis and Quality Review Department (MAQR) Financial Institutions Supervisory Division (FISD), Bank of Jamaica Elisabeth Lees, National Coordinator, Anti-Money Laundering Steering Group (AMLSG) , Cayman Islands Government Vyana Sharma, Chair, National Anti-Money Laundering Committee (NAMLC) , Head, Anti-Terrorism Unit, Office of the Attorney General and Ministry of Legal Affairs, Trinidad and Tobago

11:20AM-11:50AM EST

AFC Quiz

Test your industry knowledge during this fun game of trivia alongside fellow peers and colleagues.

11:50AM-12:45PM EST

Caribbean Focus: Dealing with Correspondent Banking and Other Risks of De-Risking

- Outlining recent trends in correspondent banking to identify prevalent derisking issues and develop strategies to obtain and maintain correspondent accounts
- Analyzing domestic de-risking issues of Caribbean financial institutions to outline best practices for quantifying client risk and develop metrics-based systems to guide account exiting
- Updating skill sets and conducting staff training to ensure robust responses to de-risking challenges as regulations, technology, criminal typologies and financial services evolve

Moderator: Dr. William Scott Grob, CAMS-FCI, CGSS, FRM, CAIA, AML Director -Americas, **ACAMS**

Presenters: Joy Carter, Senior Manager, High Risk & Correspondent Banking, **First Caribbean International Bank** Carrie Nelson, Senior Director, AML Financial Crimes & Global Head Correspondent Banking, **Royal Bank of Canada Financial Group** Adrian Sanchez, Regional Director- Business Strategy, Latin America & The Caribbean, **Lexis Nexis Risk Solutions**

12:45PM-1:20PM EST

Luncheon Break

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1:20PM-2:15PM EST

Show Me the (Digital) Money: the ABCs of CBDCs

- Examining potential for Central Bank Digital Currencies (CBDCs) to foster financial inclusion, facilitate programmable payments and encourage intraregional trade
- Anticipating new financial crime risks such as money-laundering and fraud posed by the issuance and proliferation of CBDCs across the Caribbean
- Addressing challenges posed by CBDCs in areas such as privacy, transparency, scalability and data protection

Moderator:

Candice Huggins, Chief Compliance Officer, **CIBC FirstCaribbean**
Presenters: Jeffrey Baron, Chief Compliance Officer, **Bittrex** Liane Irish-Wade, Senior Examiner, Bank Supervision, **Eastern Caribbean Central Bank** Kimwood Mott, Project Manager, **Central Bank of the Bahamas**

2:15PM-2:20PM EST

Break

2:20PM-3:15PM EST

Who's in Charge Here? Beneficial Owners, Ultimate Controllers and PEPs

- Tailoring risk management models to identify and verify beneficial owners, ultimate controllers and PEPs and creating protocols to resolve “high-risk” alerts
- Reviewing FATF recommendations on differentiating legal vs. beneficial owners and controllers and guidance on sovereign strategies to foster transparency
- Navigating reporting obligations for beneficial owners, controllers and PEPs to ensure enterprise-wide conformity to regulatory expectations and requirements

Moderator: Cherise Cox-Nottage, Executive Director, Head of Legal and Compliance Departments, **UBS Wealth**

Presenters: Cyralene Benskin-Murray, General Counsel, **Financial Services Commission Barbados** Christopher Brown, Deputy Director AML, **Bermuda Monetary Authority**

3:15PM-3:45PM EST

Ask ACAMS

3:45PM-4:40PM EST

Keeping It Clean: How Cyber Hygiene Strengthens AML and Fights Financial Crime

- Creating cyber hygiene policies that include vital elements regarding issues such as software/hardware updating, data protection and backup and network access privileges
- Analyzing how good cyber hygiene regimens can aid AML and mitigate financial crime risks resulting from new forms of fraud, security breaches and data mismanagement
- Identifying how ongoing cyber hygiene can strengthen anti-bribery and corruption (ABAC) programs, PEP oversight and disrupt flows of illicit proceeds kleptocratic regimes

Moderator:

Bartlett Morgan, Managing Director, **Chancery Advocates**

Presenters: Derek W. Smith Jr, CAMS, Compliance Officer, **Coralisle Group** Roberto Valdez, Director of Operations, **Galoy Inc.,**

4:40PM-4:45PM EST

Closing Remarks

Day 2

Wednesday 12/8

8:45AM-4:55PM EST	Virtual Doors Open
9:00AM-9:05AM EST	Opening Remarks Kieran Beer, CAMS, Director of Editorial Content, Chief Analyst, ACAMS
9:05AM-9:45AM EST	Special Presentation with Q&A Presenter: Dawne Spicer, Executive Director, Caribbean Financial Action Task Force (CFATF)
9:45AM-9:50AM EST	Break
9:50AM-10:45AM EST	The Case of COVID-19: How the Pandemic Changed AML <ul style="list-style-type: none">· Reviewing effective responses to pandemic issues such as effective KYC/CDD with minimal client contact as well as remotely managing workers and regulatory relations· Assessing potential long-term shifts to AML such as expedited adoption of tech solutions, accelerated growth of digital banking and elevated cybersecurity needs· Creating risk-management models to address specific post-pandemic challenges such as new financial crime typologies and shifts in client business models Moderator: Angela Mele, Founder, RiskPass Presenters: Andrew Dalip, CAMS, CGSS, Attorney at Law, Mason Chambers John Machin, Head of Law Enforcement Outreach Investigations and Regional Security Coordinator, Western Union Misheca Seymour-Senior, Group Chief Compliance Officer, National Commercial Bank
10:45AM-10:50AM EST	Break

10:50AM-11:45AM EST

Customers Love FinTech. Fraudsters Do, Too.

- Analyzing how payment apps and other FinTech innovations foster inclusion of unbanked populations and assessing trends for ongoing digital transformation
- Outlining fraud vulnerabilities for FinTech to detail effective strategies to enhance data protection, transaction monitoring and suspicious activity analytics
- Reviewing unique AML challenges of cash-transfer apps and similar FinTech tools to develop risk management models that protect clients and financial institution

Moderator:

Gregg Fields, CAMS, Content Analyst, **ACAMS**

Presenters: Cherise Abelard, Special Agent, Cybersecurity Task Force, **Federal Bureau of Investigation (FBI)** Fabricio Ikeda, CAMS, Director Fraud and Compliance Latin America and Caribbean, **FICO** Raymond “Nando” Quinones, Program Manager, Financial Crimes Unit, **Homeland Security Investigations (HSI)**

11:45AM-11:50AM EST

Break

11:50AM-12:45PM EST

AML Knowledge Session - Presented by CRDF

12:45PM-1:30PM EST

Luncheon Break

1:30PM-2:25PM EST

How Do You Know if You Have an Effective AML Program? And What if You Don't?

- Securing senior management buy-in for independent testing of AML systems using risk-based evaluations of the institution's size, complexity, IT capacity and strength of internal controls
- Analyzing test results to assess AML effectiveness in areas such as alert resolution, training adequacy and recordkeeping to develop strategies to remedy identified vulnerabilities
- Building robust AML effectiveness with ongoing quality assurance/quality control checks to ensure prompt attention to systemic lapses and documentation for examiners

Moderator: Glenna Smith, Managing Director, **Smith Compliance Consulting**

Presenters: Suelan De Sormeaux, CAMS, Manager, AML and Regulatory Monitoring, **Republic Bank Limited** Stacy Griffith, Director, Monitoring and Testing Lead, Compliance, English Caribbean Region, **Scotiabank** Sandra Edun-Watler, Chairman, **Caribbean Regional Compliance Association**, Head of Compliance and Reporting Services, **Mourant**

2:25PM-2:55PM EST

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Tricks of the Trade - Based Money Launderer

- Assessing how TMBL is changing in the face of tech advances such as blockchain-based decentralized finance and rapid cross-border third-party money transfers
- Auditing clients for possible TBML risks including sanctions violations, banned dual-use goods sales and transactions providing terrorist/proliferation financing
- Examining FATF and Egmont Group guidance on TBML countermeasures such as enhanced training, FIU/DNFBP collaboration and cross-jurisdiction data sharing

Moderator:Glenna Smith, Managing Director, **Smith Compliance Consulting****Presenters:** Josh Drayton, Financial Crime and Asset Recovery Specialist, **CARICOM IMPACS** Christopher Hoffmeister, Criminal Analyst, Bulk Cash Smuggling Center, **Homeland Security****Investigations (HSI)** Yevheniy Umanets, Programme Officer, Global Programme against Money Laundering, Proceeds of Crime and the Financing of Terrorism (GPML), Cybercrime and Anti-Money Laundering Section (CMLS), **United Nations Office on Drugs and Crime (UNODC)**

3:50PM-3:55PM EST

Break

3:55PM-4:50PM EST

The Shifting Sands of Sanctions

- Summarizing the most recent policy and regulatory changes that can lead to the imposition of sanctions
- Identifying ransomware risks to avoid the sanctions that can be issued on institutions that facilitate ransomware payments
- Understanding the growth of human rights sanctions and knowing what typologies to look for and instituting the right controls

Moderator: Sam Cousins, Sanctions and Risk Associate, **ACAMS****Presenters:** Ulrich de la Paz, Manager Sanctions, AML/ATF Models and Analytics, **Maduro & Curiel's Bank NV** Kim France, Sanctions Coordinator, **Financial Reporting Authority (FRA)** Shawna Lake, CAMS, CGSS, Lawyer, **Law Office of Shawna Lake**

4:50PM-4:55PM EST

Closing Remarks

Kieran Beer, CAMS, Director of Editorial Content, Chief Analyst, **ACAMS**